How Not to Preserve Privacy

## *k*-Anonymity: a Model For Protecting Privacy

Latanya Sweeny

#### **Releasing a Database**

Name	ID Number	Gender	Birth Date	Zip code	Medical Condition	
Amy Colon	523950649	Male	11/02/1956	98097	Uncommon Cold	1
Inga Hull	991039441	Male	14/09/1967	48254	Heaped Piles	
Jessica Walls	746510555	Male	02/04/1954	66950	Bloaty Head	_
Cameran Prince	272922661	Female	19/10/1953	89395	Uncommon Cold	Tuple
Beatrice Oliver	367636643	Male	20/02/1950	58484	Slack Tongue	•
Stewart Schroeder	573424830	Female	12/08/1969	78345	Heaped Piles	
Guy Cleveland	426525813	Female	05/01/1970	69107	Slack Tongue	
Yoshi Sweet	744617659	Female	29/07/1960	66015	Uncommon Cold	
Herman Wilkerson	355495414	Male	29/11/1970	12794	Uncommon Cold	
Lara Shaffer	930512852	Female	18/06/1961	76031	Bloaty Head	
Wynter Bryan	385448496	Female	09/02/1971	68597	Slack Tongue	
Adria Mcbride	337515106	Female	15/11/1968	18392	Bloaty Head	
Eugenia Key	322441746	Female	24/03/1967	46997	Uncommon Cold	
Rowan Barrera	383749474	Male	31/05/1952	63570	Heaped Piles	
Urielle Riley	795856737	Female	01/08/1985	08603	Uncommon Cold	
Caesar Lancaster	995946734	Male	01/01/1986	93861	Uncommon Cold	
Irene Curry	046498803	Male	09/04/1978	87454	Slack Tongue	
Aline Hess	865009451	Female	05/06/1966	78956	Bloaty Head	
Peter Calderon	336136140	Female	17/04/1987	60254	Bloaty Head	
Hu Parrish	693587559	Male	03/02/1984	51213	Bloaty Head	
Valentine Haynes	048717454	Female	10/04/1965	86362	Uncommon Cold	
Amos Edwards	025759543	Male	13/07/1954	13197	The Squits	

Attribute

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Identifying

Sensitive

#### **Quasi Identifiers**

- The database cannot be after removing the identifying attributes
- Latanya Sweeny was able to find the medical records of the governor of Massachusetts from a database that was released for research purposes and the voter list of Cambridge Massachusetts using his zip code, gender and birth date
- Philippe Golle showed in a research that over 60% of the population in the US are uniquely identifiable from these attributes

#### **Quasi Identifiers**

- Quasi identifiers are the set of attributes that are unique for a specific tuple and enable identification of the object a tuple corresponds to
- This definition assumes knowledge of the type of data the attacker will use (attributes of the database the attacker has)

# *k*-Anonymity

- Each tuple is indistinguishable from at least *k*-1 other tuples with respect to the quasi identifiers
- Guarantees: quasi identifiers cannot be used to link data to less than k tuples

#### k-Anonymity - Example

Race	Birth Date	Gender	ZIP Code	Problem
Black	1965	Male	0214*	short breath
Black	1965	Male	0214*	chest pain
Black	1965	Female	0213*	hypertension
Black	1965	Female	0213*	hypertension
Black	1964	Female	0213*	obesity
Black	1964	Female	0213*	chest pain
White	1964	Male	0213*	chest pain
White	1964	Male	0213*	obesity
White	1964	Male	0213*	short breath
White	1967	Male	0213*	chest pain
White	1967	Male	0213*	chest pain

#### Attacks on k-Anonymity

#### Original (Private) Table Birth Date Gender ZIP Code Problem Race 09/20/1965 02141 black male short breath 02141 black 02/14/1965 Imale chest pain 02138 black 10/23/1965 lfemale painful eye 02138 08/24/1965 lfemale wheezing black 02138 11/07/1964 female obesity black 12/01/1964 female 02138 black chest pain 02138 10/23/1964 short breath white Imale 03/15/1965 02139 hypertension white female 08/13/1964 02139 obesity white Imale 02139 05/05/1964 white Imale lfever 02/13/1967 02138 vomiting white Imale 03/21/1967 02138 back pain male white

Linked Table				
Race	Birth Date	Gender	ZIP Code	Problem
black	1965	male	02141	short breath
black	1965	male	02141	chest pain
black	1965	female	02138	painful eye
black	1965	female	02138	wheezing
black	1964	female	02138	obesity
black	1964	female	02138	chest pain
white	1964	male	02138	short breath
white	1965	female	02139	hypertension
white	1964	male	02139	obesity
white	1964	male	02139	fever
white	1967	male	02138	vomiting
white	1967	male	02138	back pain

#### Released Table #2

Race	Birth Date	Gender	ZIP Code	Problem
black	1965	male	02141	short breath
black	1965	male	02141	chest pain
black	1965	female	02138	painful eye
black	1965	female	02138	wheezing
black	1964	female	02138	obesity
black	1964	female	02138	chest pain
white	196*	male	02138	short breath
white	196*	human	02139	hypertension
white	196*	human	02139	obesity
white	196*	human	02139	fever
white	196*	male	02138	vomiting
white	196*	male	02138	back pain

Birth Date	Gender	ZIP Code	Problem		
1965	male	02141	short breath		
1965	male	02141	chest pain		
1965	female	0213*	painful eye		
1965	female	0213*	wheezing		
1964	female	02138	obesity		
1964	female	02138	chest pain		
1964	male	0213*	short breath		
1965	female	0213*	hypertension		
1964	male	0213*	obesity		
1964	male	0213*	fever		
1967	male	02138	vomiting		
1967	male	02138	back pain		
	Birth Date 1965 1965 1965 1965 1964 1964 1964 1964 1965 1964 1964 1964	Birth DateGender1965male1965male1965female1965female1964female1964female1965female1964male1964male1964male1964male1964male1964male1964male1964male1964male1964male	Birth DateGenderZIP Code1965male021411965male021411965female0213*1965female0213*1964female021381964male0213*1965female0213*1964male0213*1964male0213*1964male0213*1964male0213*1964male0213*1964male0213*1967male02138		

Released Table #1

#### How to Break Anonymity of the Netflix Prize Dataset

Arvind Narayanan Vitaly Shmatikov

#### **Netflix Prize**

- Netflix, the largest online movie rental service, announced \$1,000,000 prize for improving their movie recommendation service
- A database, consisting of 100,480,507 movie ratings (on a 1 to 5 scale, with dates dates the ratings were entered) created by 480,189 subsribers

# **Does Privacy of Ratings Matter?**

- Movie rating can leak sensitive information
- Future privacy

#### Definitions

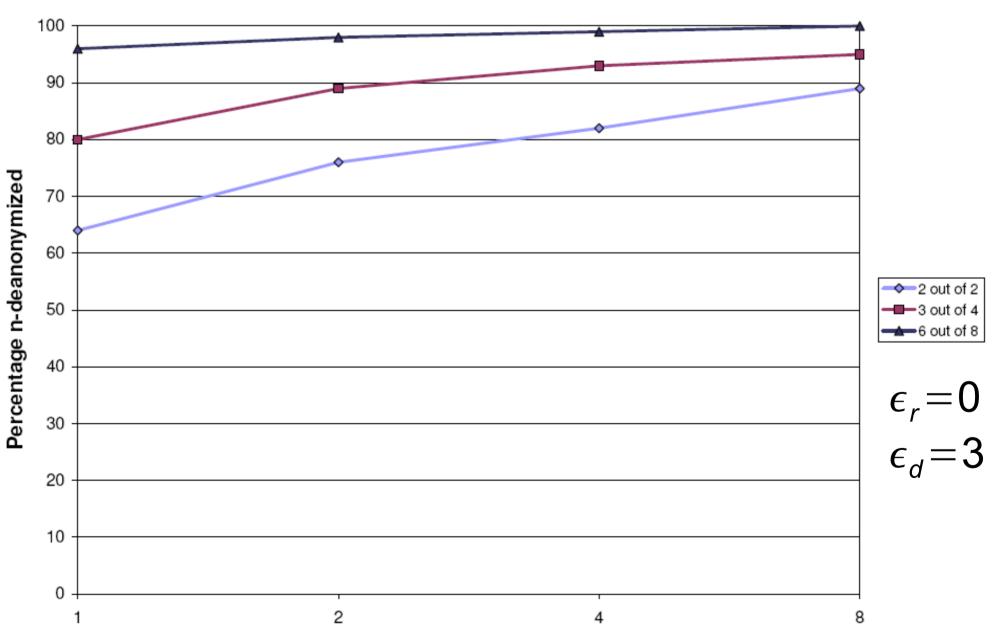
V - set of all moviesC – set of all subscribers  $\forall m \in N, c \in C$  $r_{c}(m) - c$ 's rating of movie m  $d_{c}(m)$  – date rating entered For a fixed c and some  $M \subseteq V$ ,  $\epsilon_d$ ,  $\epsilon_r$ ,  $\delta_d$ ,  $\delta_r$  the attacker knows  $\hat{r}_{c}(m), \hat{d}_{c}(m) \forall m \in M$  such that  $\mathbf{P}_{m \in M}(|r_{c}(m) - \hat{r}_{c}(m)| \leq \epsilon_{r}) \geq 1 - \delta_{r}$  $\mathbf{P}_{m \in M}(|d_{c}(m) - \hat{d}_{c}(m)| \leq \epsilon_{d}) \geq 1 - \delta_{d}$ 

#### Definitions

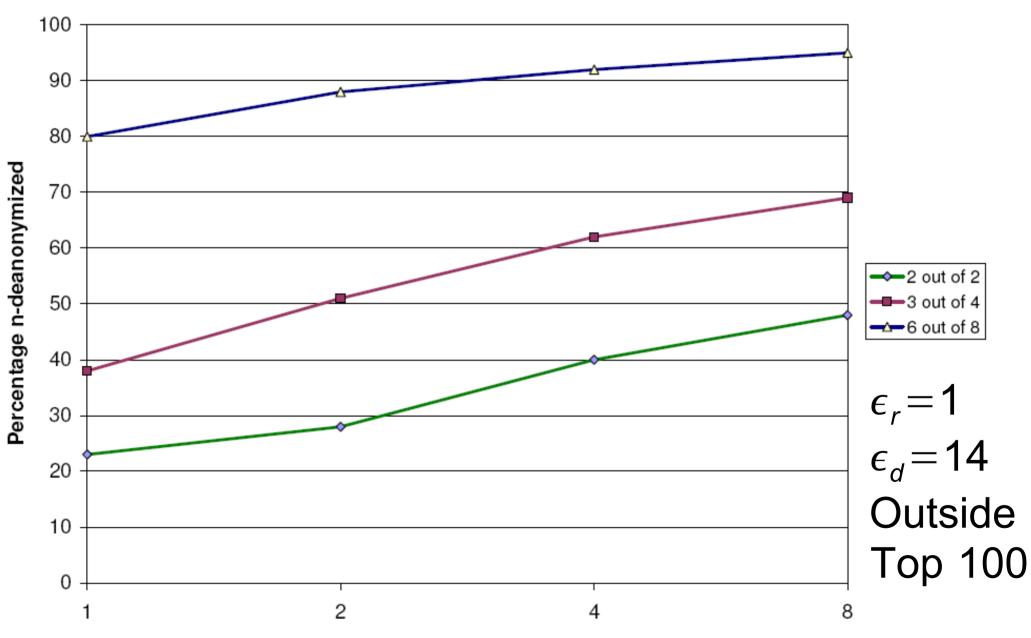
Neighborhood of *c* (with respect to *M*)  $N_M(c) := \{c' \in C : \mathbf{P}_{m \in M}(|r_c(m) - \hat{r_c}(m)| \le \epsilon_r) \ge 1 - \delta_r \land \mathbf{P}_{m \in M}(|d_c(m) - \hat{d_c}(m)| \le \epsilon_d) \ge 1 - \delta_d\}$  $N_M(c) := |N_M(c)|$ 

*M* is uniformly chosen from *c*'s rated movies and |M| = k, possibly with some restriction (not in the top 100 or 500 most rated movies)  $\mu(n,k) = \mathbf{P}_{c \in c}(n_M(c) \leq n)$ 



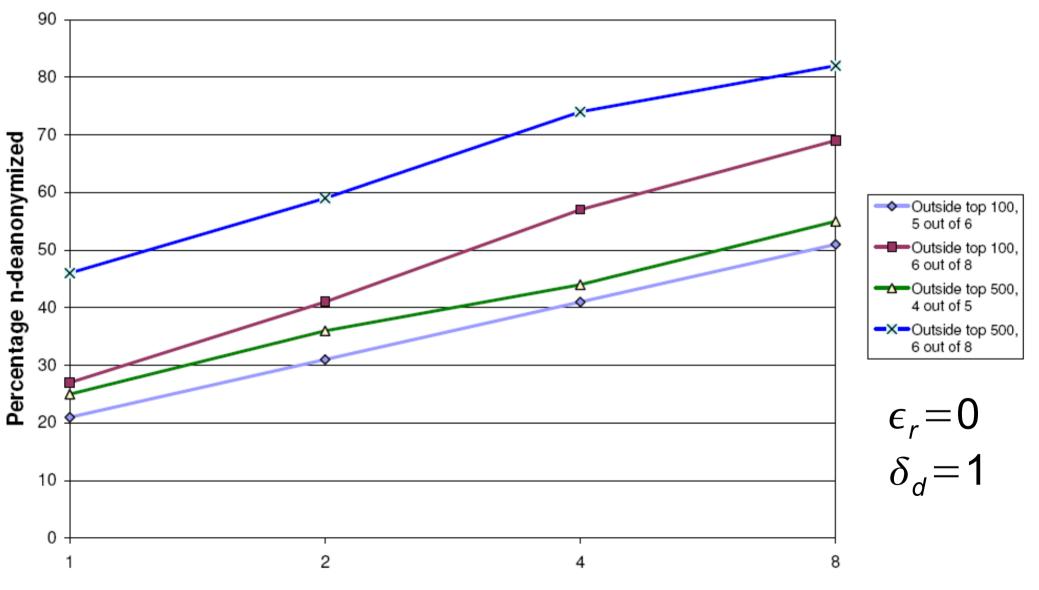


#### Results



n

#### Results



n

# **De-anonymization using IMDb**

- Movies that do not appear in both databases
- Users may enter only a comment, so rating might be missing
- Dates might not be correlated
- However, entire user's data in IMDb is available

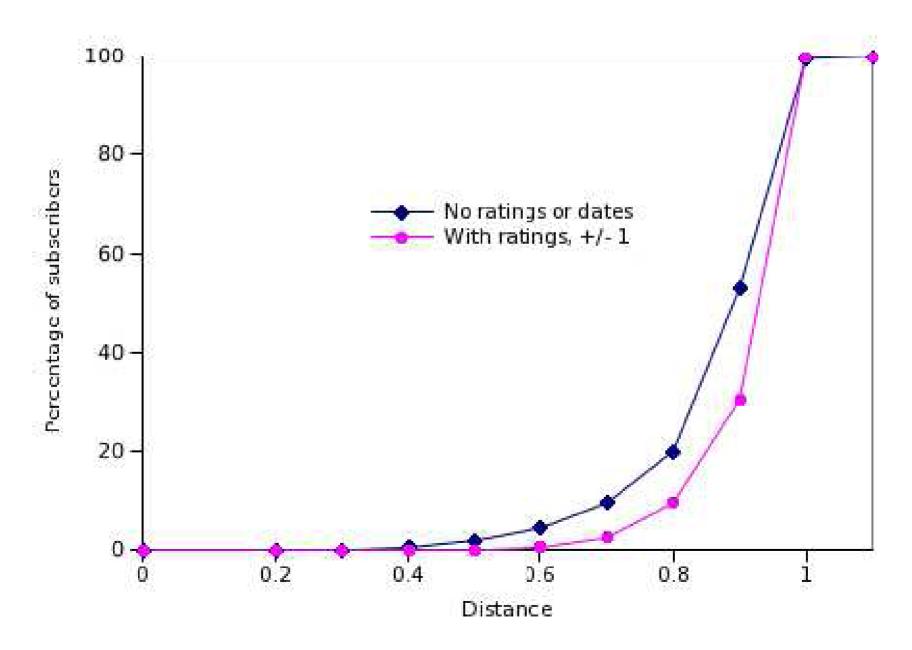
#### **De-anonymization using IMDb**

- The researchers manually extracted a few dozen IMDb users' records, defined a distance function and tried to match Netflix records
- With high confidence, two records were found to belong to Netflix subscribers and non public (and possibly sensitive) data was found from one of them

#### **De-anonymization using IMDb**

- Political views from ratings of "Power and Terror: Noam Chomsky in Our Times" and "Fahrenheit 9/11"
- Religious views from ratings of "Jesus of Nazareth" and "the Gospel of John"
- Sexual preferences from ratings of "Bent" and "Queer as Folk"

#### Is *k*-Anonymity Possible?



# I-Diversity: Privacy Beyond k-Anonymity

Ashwin Machanavajjhala Johannes Gehrke Daniel Kifer Muthuramakrishnan Venkitasubramaniam

### Is *k*-Anonymity Enough?

Zip Code	Age	Nationality	Condition
130**	<30	*	Heart Disease
130**	<30	*	Heart Disease
130**	<30	*	Viral Infection
130**	<30	*	Viral Infection
1485*	≥40	*	Cancer
1485*	≥40	*	Heart Disease
1485*	≥40	*	Viral Infection
1485*	≥40	*	Viral Infection
130**	3*	*	Cancer
130**	3*	*	Cancer
130**	3*	*	Cancer
130**	3*	*	Cancer

# Is *k*-Anonymity Enough?

- *k*-anonymity does not protect linking sensitive attributes to a tuple
- *k*-anonymity does not provide any protection against background knowledge
- Simply outputting every record k times will satisfy k-anonymity

# **Bayes-Optimal Privacy**

- Q single quasi-identifier
- S single sensitive attribute
- *T* private table
- T\* released table

t[S], t[Q] - victim's sensitive attribute and quasiidentifier in the private table

Attacker knows q, the victim's quasi-identifier,  $q^*$ , the generalized value of q and f, distribution of sensitive values according to quasi-identifiers

### **Bayes-Optimal Privacy**

Attacker's prior belief that victim's sensitive attribute is s  $\alpha_{q,s} = P_f(t[S] = s | t[Q] = q)$ 

Attacker's posterior belief that victim's sensitive attribute is *s* 

$$\beta_{q,s,T^*} = P_f(t[S] = s | t[Q] = q, T^*)$$

$$|\beta_{q,s,T^*} - \alpha_{q,s}| < \varepsilon$$

# **Bayes-Optimal Privacy**

- We don't know what *f* the attacker knows
- We might not even know *f*
- We don't know attacker's data not modeled in q
- Still, it is possible to limit the attacker's belief that a tuple is associated with a certain sensitive attribute

#### **Towards /-Diversity**

 $n_{(q^*,s)}$  – number of tuples in  $T^*$  with quasi-identifier  $q^*$  and sensitive attribute s

$$\beta_{q,s,T^*} = \frac{n_{(q^*,s)\frac{f(s|q)}{f(s|q^*)}}}{\sum_{s' \in S} n_{(q^*,s')\frac{f(s'|q)}{f(s'|q^*)}}}$$

 $\beta_{q,s,T^*} \approx 1 \quad \text{iff} \quad \forall s' \neq s \quad n_{(q^*,s)} \frac{f(s|q)}{f(s|q^*)} \gg n_{(q^*,s')} \frac{f(s'|q)}{f(s'|q^*)}$ 

#### **Towards /-Diversity**

- It is only possible to change the number of tuples associated with a certain sensitive attribute
- Assumption: the attacker have less than *I*-1 "pieces of information"
- "A piece of information" victim X does not have sensitive value s

#### **Towards /-Diversity**

- No single value can appear too frequently
- Attacker should not be able to dismiss sensitive values such that a single value will appear too frequently
- A table is *I*-diverse if every *q*\*-block contains at least *I* "well represented" sensitive values

#### Entropy /-Diversity

A table is entropy *I*-diverse if for every  $q^*$  block

$$-\sum_{s\in\mathcal{S}}p_{q^{*},s}\log(p_{q^{*},s}) \ge \log(I)$$

where 
$$p_{q^*,s} = \frac{n_{(q^*,s)}}{\sum_{s' \in S} n_{(q^*,s')}}$$
 is the fraction of tuples

with sensitive attribute s

## Entropy /-Diversity

Zip Code	Age	Nationality	Condition
1305*	≤40	*	Heart Disease
1305*	≤40	*	Viral Infection
1305*	≤40	*	Cancer
1305*	≤40	*	Cancer
1485*	>40	*	Cancer
1485*	>40	*	Heart Disease
1485*	>40	*	Viral Infection
1485*	>40	*	Viral Infection
1306*	≤40	*	Heart Disease
1306*	≤40	*	Viral Infection
1306*	≤40	*	Cancer
1306*	≤40	*	Heart Disease

# *I*-Diversity

- The entropy of the entire table limits the entropy of each block (90% of the patients in a certain hospital have a heart problem) - Recursive (*c*, *I*)-Diversity
- It might be possible to disclose some sensitve values (healthy) - Positive Disclosure-Recursive (*c*, *l*)-Diversity
- A privacy breach might occur if attacker knows an object does not have a certain sensitive attribute (99.9% are not infected with a virus) -NPD-Recursive (c<sub>1</sub>, c<sub>2</sub>, /)-Diversity

#### Recursive (c, /)-Diversity

Let  $s_1, s_2, ..., s_m$  be the sensitive values appearing in a  $q^*$ -block and  $r_1, r_2, ..., r_m$  be the number each sensitive value appears. WLOG  $r_i \ge r_{i+1}$ . The  $q^*$ -block is recursive (*c*, *I*)-diverse if

$$r_1 < c (r_1 + r_{1+1} + ... + r_m)$$

A table is recursive (c, l)-diverse if every block is recursive (c, l)-diverse.

#### Positive Disclosure-Recursive (c, /)-Diversity

Let Y denote the set of sensitive attributes for which positive disclosure is allowed, y the minimal value for which  $s_y$  is not in Y. A  $q^*$ -block is Positive Disclosure-Recursive (c, /)-Diverse if

$$y \le l-1 \text{ and } r_{y} < c (r_{l} + r_{l+1} + ... + r_{m})$$
  
or  
$$y > l-1 \text{ and } r_{y} < c (r_{l-1} + ... + r_{y-1} + r_{y+1} + ... + r_{m})$$

Negative/Positive Disclosure-Recursive ( $c_1, c_2, l$ )-Diversity

Let *W* denote the set of sensitive attributes for which negative disclosure is not allowed, A table is Negative/Positive Disclosure-Recursive  $(c_1, c_2, I)$ -Diverse if it is Positive Disclosure-Recursive  $(c_1, I)$ -diverse and each *s* in *W* occurs in at least  $c_2$  percent of the tuples in every *q*\*-block.

### Multiple Sensitive Attributes Considerations

Zip Code	Age	Salary	Condition	
4760*	2*	10K	Gastric Ulcer	
4760*	2*	4K	Gastritis	
4760*	2*	10K	Stomach Cancer	
4790*	2*	6K	Gastritis	
4790*	≥40	11K	Flu	
4790*	≥40	8K	Bronchitis	
4790*	≥40	7K	Bronchitis	
4790*	≥40	11K	Pneumonia	

*t*-Closeness: Privacy Beyond *k*-Anonymity and *I*-Diversity

Ninghui Li Tiancheng Li Suresh Venkatasubramanian

# Is I-Diversity Enough?

Zip Code	Age	Salary	Condition	
476**	2*	3K	Gastric Ulcer	
476**	2*	4K	Gastritis	
476**	2*	5K	Stomach Cancer	
4790*	≥40	6K	Gastritis	
4790*	≥40	11K	Flu	
4790*	≥40	8K	Bronchitis	
476**	3*	7K	Bronchitis	
476**	3*	9K	Pneumonia	
476**	3*	10K	Stomach Cancer	

# Is *I*-Diversity Enough?

 I-diversity does not protect against learning some semantic category of the sensitive value

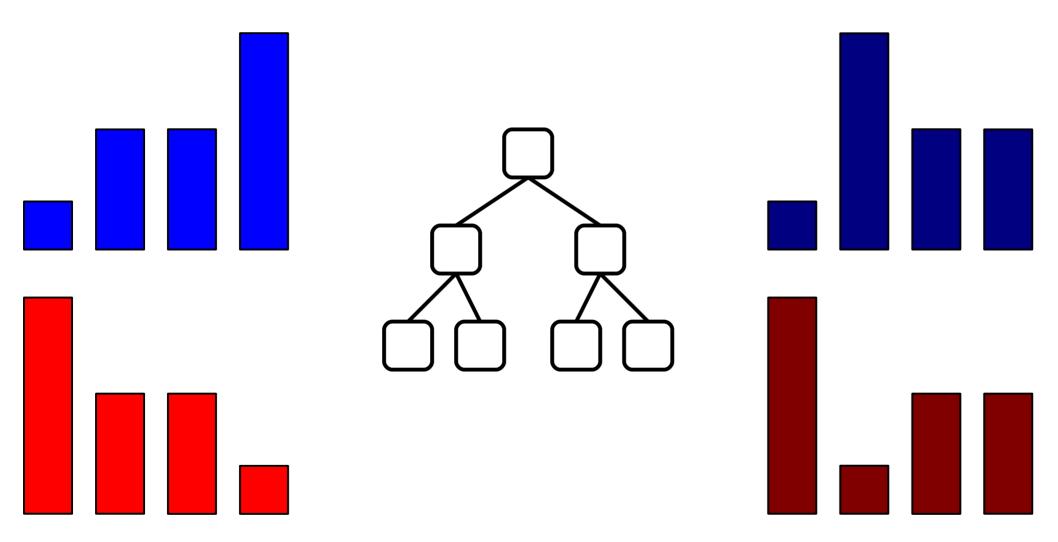
### t-Closeness

- The attacker must learn the distribution of the sensitive values in the published database
- If nothing more can be learned no privacy breach (unless the database will not be released)
- t-Closeness: the distribution of the sensitive values of every q\*-block is t close (w.r.t. some distance) to the distribution of the sensitive values of the entire database

# Earth Mover Distance

- In the paper, the earth mover distance is used to capture semantic closeness
- How much and how far a "mass" of a distribution needs to be moved to be equal to another distribution

#### **Earth Mover Distance**



### t-Closeness

Zip Code	Age	Salary	Condition	
4767*	<40	3K	Gastric Ulcer	
4767*	<40	5K	Stomach Cancer	
4767*	<40	9K	Pneumonia	
4790*	≥40	6K	Gastritis	
4790*	≥40	11K	Flu	
4790*	≥40	8K	Bronchitis	
4760*	<40	4K	Gastritis	
4760*	<40	7K	Bronchitis	
4760*	<40	10K	Stomach Cancer	

0.167-closeness w.r.t. Salary 0.278-closeness w.r.t. Disease

#### t-Closeness

- t-closeness limits the amount of useful information that can be derived from the database
- t-closeness only captures a certain semantic difference, an attacker might be interested in a completely different semantic categories

Information Disclosure Under Realistic Assumptions: Privacy Versus Optimality

> Lei Zhang Sushil Jajodia Alexander Brodsky

# Attack on an Algorithm

#### **Private Database**

Name	Age	Gender	Condition
Alan	Old	Male	Heart Disease
Bob	Old	Male	Viral Infection
Clark	Middle	Male	Cancer
Diana	Middle	Female	Cancer
Ellen	Young	Female	Flu
Fen	Young	Female	Ulcer

(Age, *)					
Name	Age	Gender	Condition		
Alan	Old	*	Heart Disease		
Bob	Old	*	Viral Infection		
Clark	Middle	*	Cancer		
Diana	Middle	*	Cancer		
Ellen	Young	*	Flu		
Fen	Young	*	Ulcer		

#### (\*, Gender) Gender Condition Age Name Alan Heart Disease Male Bob Male Viral Infection Clark Male Cancer Diana Female Cancer Ellen \* Female Flu \* Female Fen Ulcer

(*, *)						
Name	Age	Gender	Condition			
Alan	*	*	Heart Disease			
Bob	*	*	Viral Infection			
Clark	*	*	Cancer			
Diana	*	*	Cancer			
Ellen	*	*	Flu			
Fen	*	*	Ulcer			

# **Attacker Point of View**

#### "Public" Data

Name	Age	Gender
Alan	Old	Male
Bob	Old	Male
Clark	Middle	Male
Diana	Middle	Female
Ellen	Young	Female
Fen	Young	Female

#### **Released Database**

Age	Gender	Condition
*	Male	Heart Disease Viral Infection
*	Male	Viral Infection
		Cancer
		Cancer
*	Female	Flu
*	Female	Ulcer

#### Not 2-Diverse

Name	Age	Gender	Condition	Name	Age	Gender	Condition
Alan	Old	Male	?	Alan	Old	*	?
Bob	Old	Male	?	Bob	Old	*	?
Clark	Middle	Male	?	Clark	Middle	*	?
Diana	Middle	Female	?	Diana	Middle	*	?
Ellen	Young	Female	?	Ellen	Young	*	?
Fen	Young	Female	?	Fen	Young	*	?

# Conclusions

- All privacy preserving schemes presented assume knowledge of attacker auxiliary information (quasi identifiers, attributes that can be dismissed) or the data the attacker is interested in (semantic catgory)
- As shown by the algorithm attack, all schemes presented implicitly assume the method the attacker will use