



# Transition Implementation Status Reporting

15 May 2016

1. Overall Timeline
2. Status Update
3. Appendix: Projects Plans

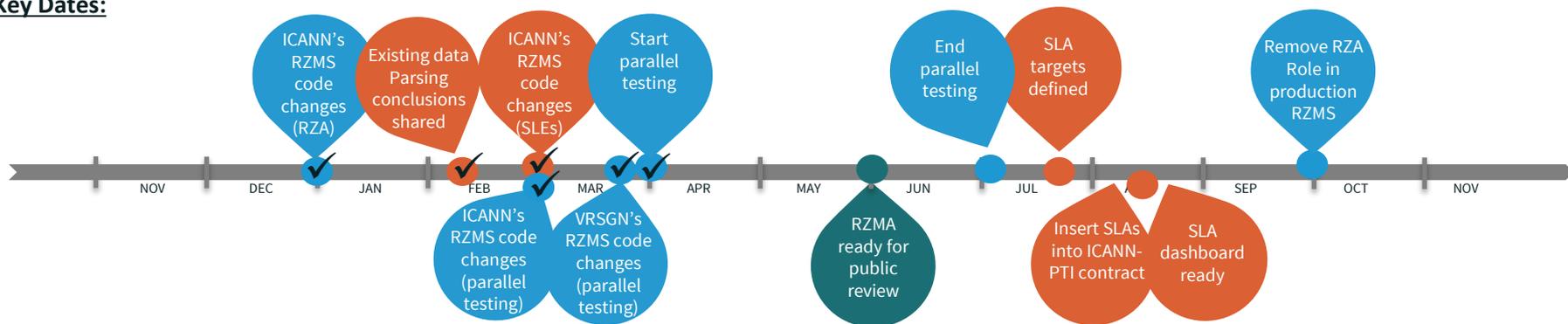
# Overall Timeline



# Status Update

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	09/30/16 08/15/16 07/06/16		80%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs.	09/30/16 08/15/16		50%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	01/31/16 / 02/29/16 04/15/16 / 04/30/16 05/15/16 / 05/31/16		90%

### Key Dates:



### Project Updates:

- ✓ Parallel testing continues to go smoothly.
- ✓ Continuing to engage in discussion with Verisign to finalize the RZMA.
- ✓ Names SLEs dashboard development work is on-going.

### Upcoming Activities:

- Continue to monitor parallel operations.
- Finalize draft RZMA.
- Continue development of data aggregation tool / reporting dashboard.

### Open Items:

- On-track
- Not started
- Behind schedule, but recovery still possible
- Target will be missed

# RIRs SLAs & IETF MoU Supplemental Agreement

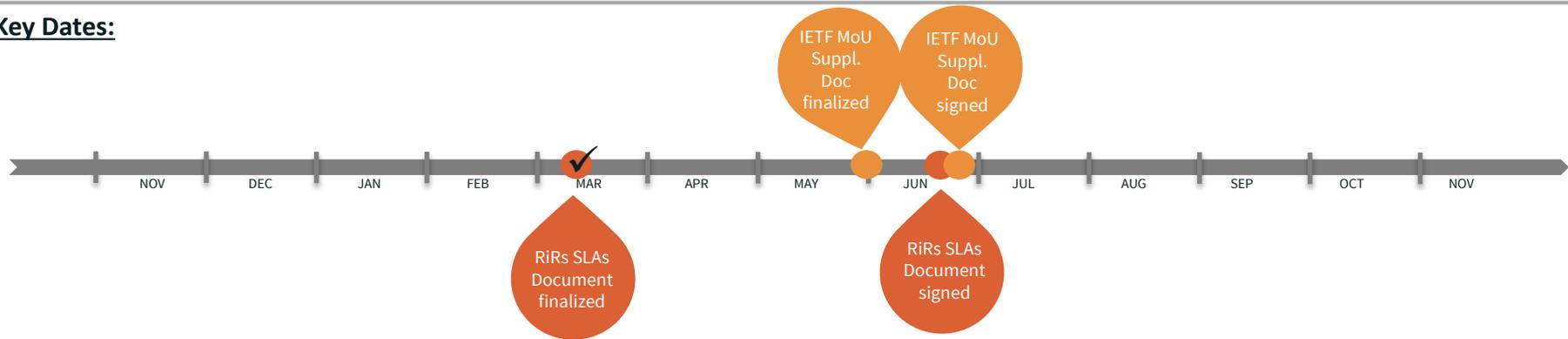
Status As Of Date:

05/15/16

Project	Description	Plan Completion Date*	Status	% Complete
RIRs SLAs (Service Level Agreement with the Number Community)	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16		 80%
IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16		 60%

\* Completion Date dependent on USG's approval of proposals

## Key Dates:



## Project Updates:

## Upcoming activities:

- Send the RIR SLA to the ICANN Board for consideration (date TBD by NRO and ICANN)

## Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible



Not started

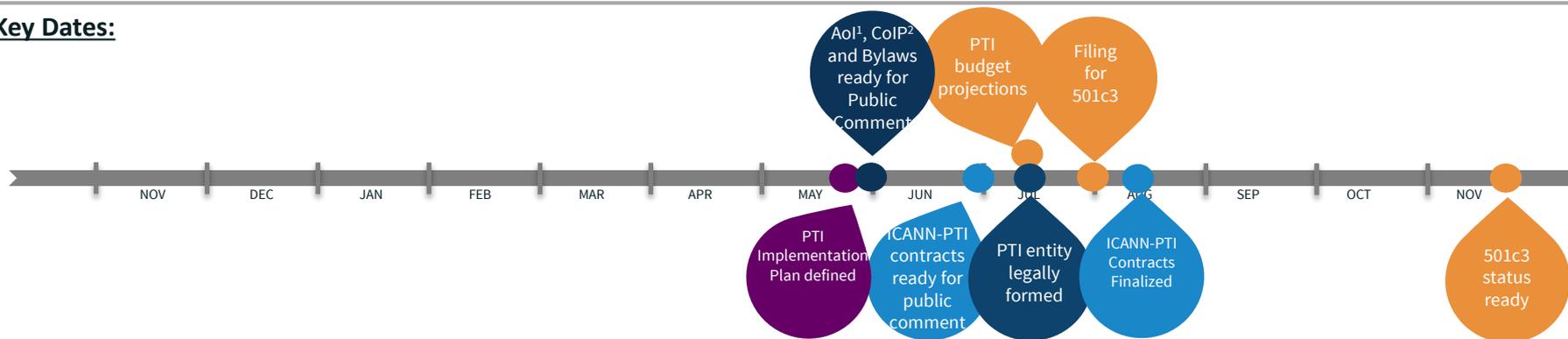


Target will be missed

\* All dates are estimates

Project	Description	Plan Completion Date	Status	% Complete
PTI <i>(Post-Transition IANA)</i>	Formation of PTI entity: legal formation (incorporation of affiliate, filing for 501c3, drafting of contract, etc.) and operationalization of PTI entity (board nominations, budget, etc.).	09/30/16 08/15/16		 30%

**Key Dates:**



<sup>1</sup> Aol: Articles of Incorporation / <sup>2</sup>CoIP: Conflicts of Interest Policy

**Project Updates:**

- ✓ Drafted PTI Bylaws, Articles of Incorporation and Conflict of Interest Policy.
- ✓ Drafting naming function heads of terms.
- ✓ Finalizing draft PTI implementation plan.

**Upcoming activities:**

- Implementation plan review with community.
- Finalize review process for PTI-related documents.
- Draft PTI formation documents (Bylaws, Articles of Incorporation and Conflict of Interest policy) review with community.
- Naming function heads of terms review with community.

**Open Items:**

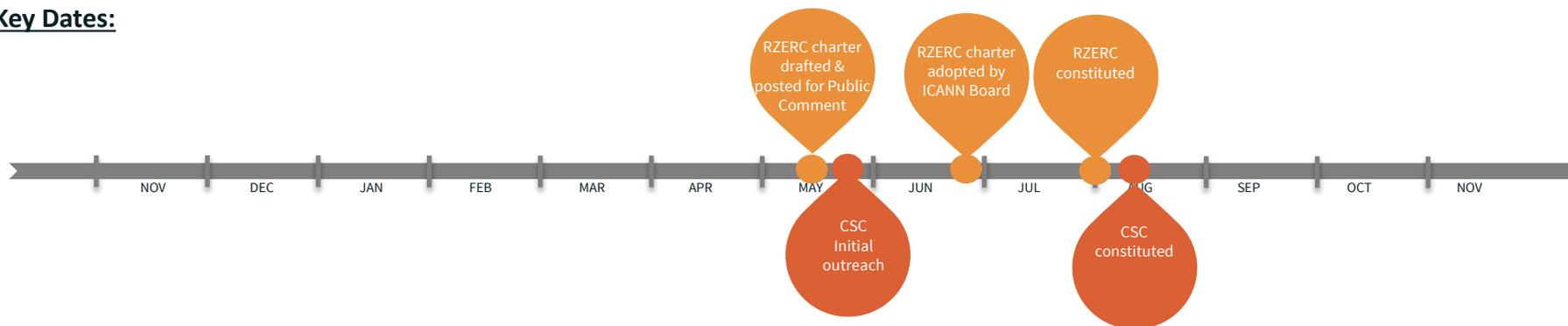
# IPR, RZERC, CSC & Escalation Processes

Status As Of Date:

05/15/16

Project	Description	Plan Completion Date	Status	% Complete
IANA IPR	Transfer of IANA IPR to a neutral trust.			<div style="border: 1px solid black; width: 100px; height: 20px; display: inline-block;"></div> 0%
RZERC ( <i>Root Zone Evolution Review Committee</i> )	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	09/30/16 08/15/16		<div style="border: 1px solid black; width: 100px; height: 20px; display: inline-block; background-color: #003366; background-size: 50%;"></div> 50%
CSC ( <i>Customer Service Standing Committee</i> )	Formation of committee to monitor PTI performance.	09/30/16 08/15/16		<div style="border: 1px solid black; width: 100px; height: 20px; display: inline-block; background-color: #003366; background-size: 50%;"></div> 50%
Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	09/30/16 08/15/16		<div style="border: 1px solid black; width: 100px; height: 20px; display: inline-block; background-color: #003366; background-size: 50%;"></div> 50%

## Key Dates:



## Project Updates:

- ✓ RZERC charter circulated to CWG for review.
- ✓ Drafting CSC call for expression of interests.
- ✓ Clarifications on IANA customer service escalation mechanisms confirmed by IOTF.

## Upcoming Activities:

- Finalize RZERC charter and post for public comments.
- Announce request for CSC member appointment.

## Open Items:

- Waiting on implementation requirements for IANA IPR



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible



Not started



Target will be missed

\* All dates are estimates

# Enhancing ICANN's Accountability

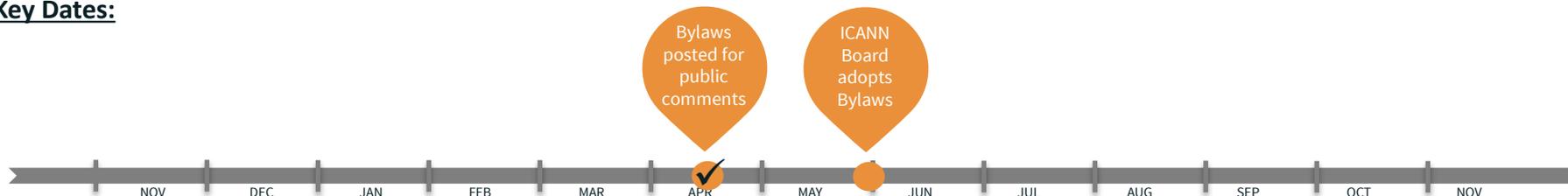
Status As Of Date:

05/15/16

Project	Description	Plan Completion Date	Status	% Complete
ICANN's Bylaws	Updating of ICANN Bylaws.	06/09/16 05/27/16*		 70%
IRP Enhancements	Implement enhancements to Independent Review Process.	09/30/16 08/15/16		 0%
RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	09/30/16 08/15/16		 0%
Empowered Community Enhancements	Implement processes and mechanisms in support of the empowered community.	09/30/16 08/15/16		 0%
Financial Planning Process Updates	ICANN caretaker budget, PTI caretaker budget and PTI budget.	08/15/16		 0%

\* Assuming a 90-days NTIA inter-agency review period

## Key Dates:



## Project Updates:

- ✓ ICANN draft Bylaws in Public Comment Period.

## Upcoming Activities:

- Continue to monitor ICANN draft bylaws Public Comment Period.

## Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible



Not started



Target will be missed

\* All dates are estimates | 10

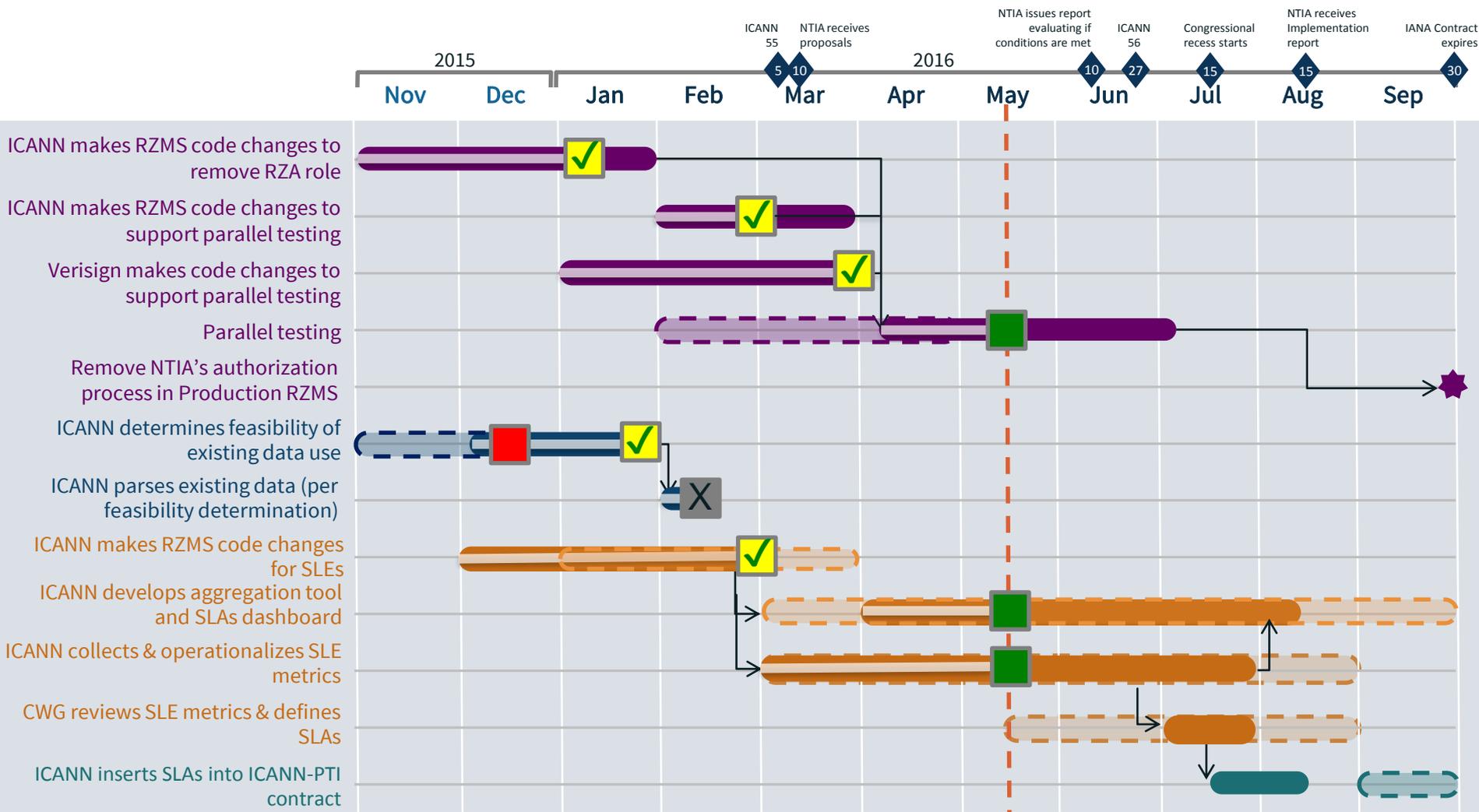


# Appendix High-Level Project Plans

# RZMS & SLEs for Naming Community

% Complete:  60%

Status: On-track



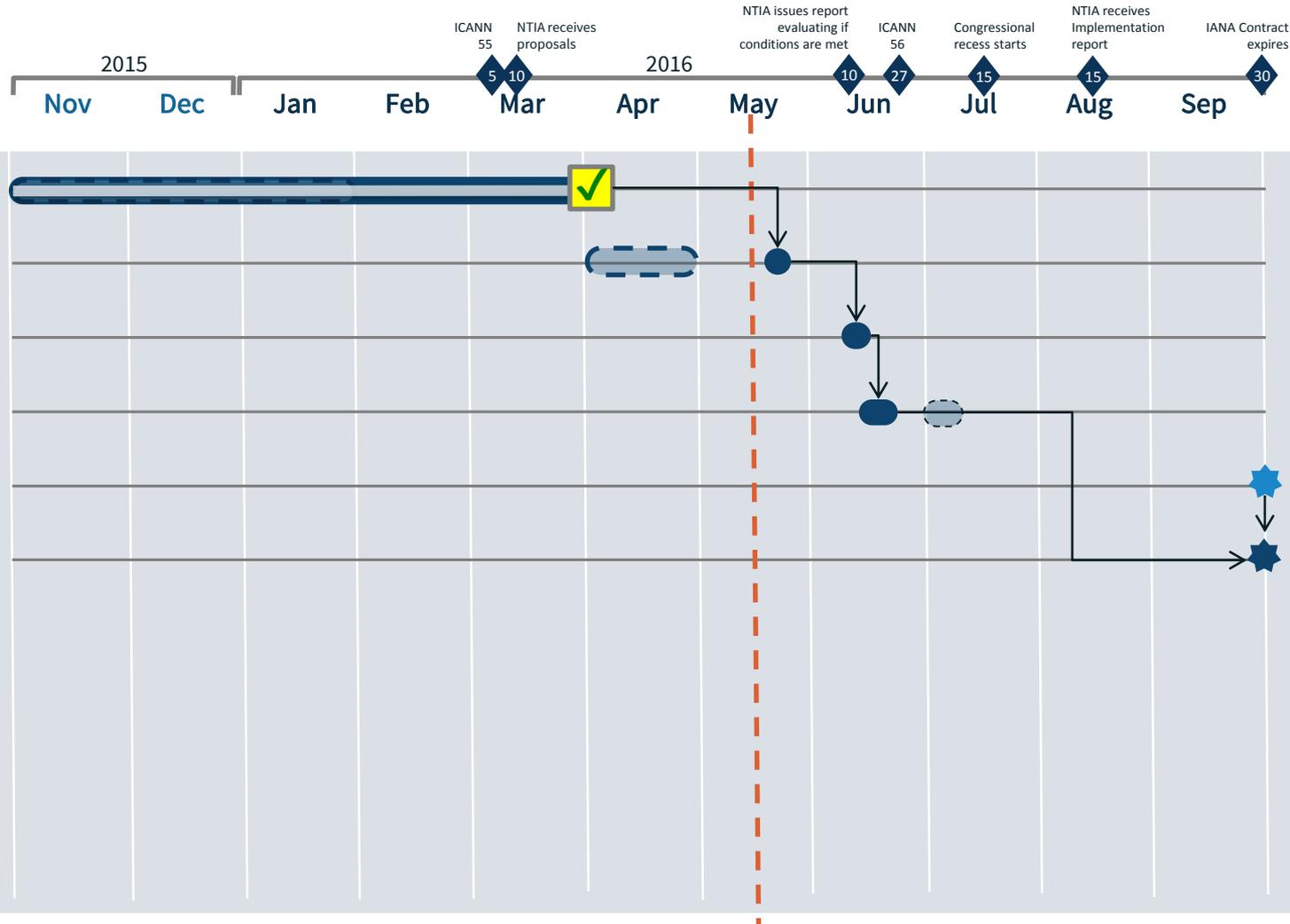
**Project Updates:**

- ✓ Parallel Testing continues to go smoothly.
- ✓ Names SLEs dashboard development work is on-going.

**Upcoming Activities:**

- Continue to monitor parallel operations.
- Continue development of data aggregation tool / reporting dashboard.

**Open Items:**



**Project Updates:**

**Upcoming Activities:**

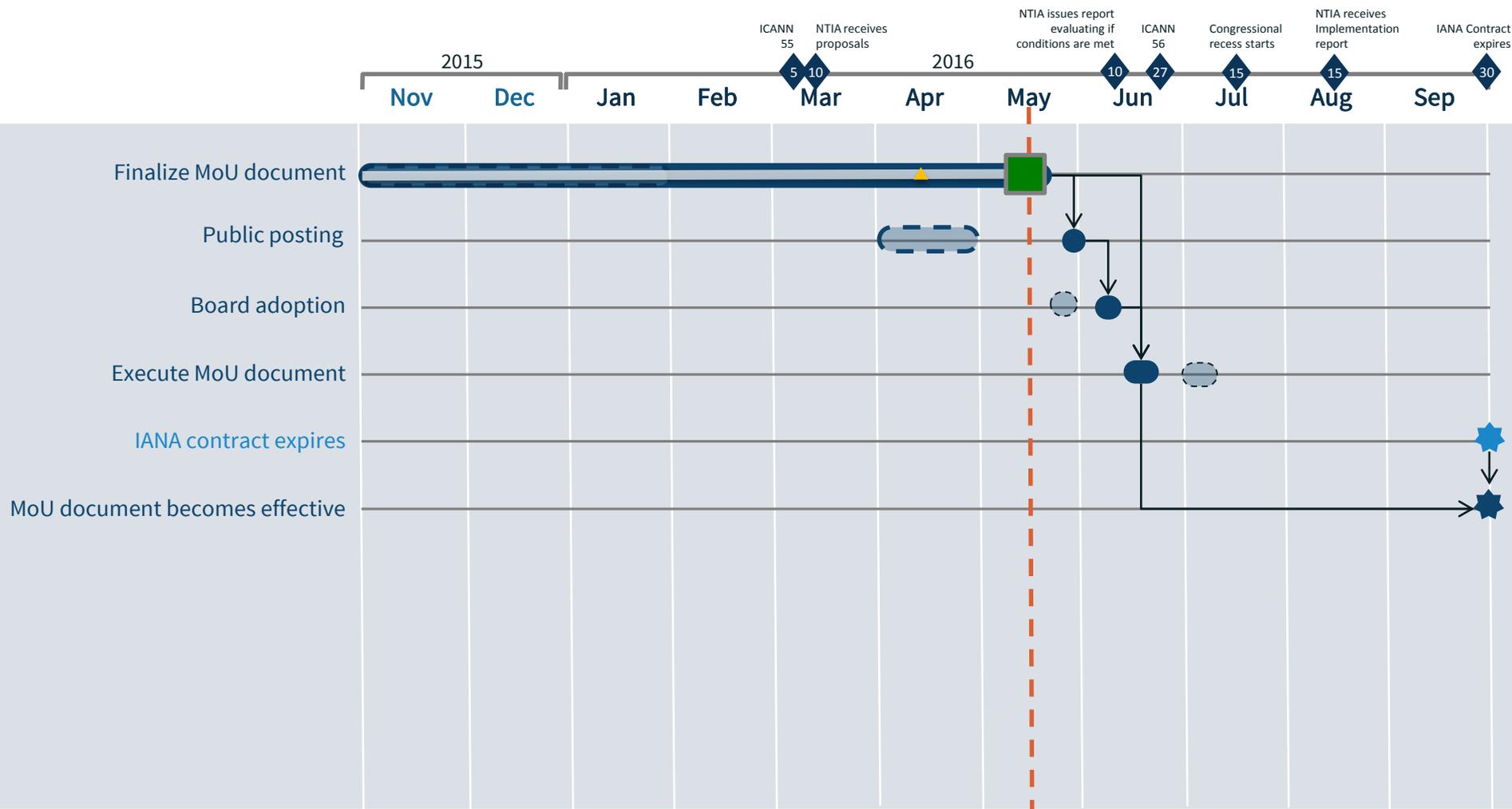
- Send the RIR SLA to the ICANN Board for consideration (date TBD by NRO and ICANN)

**Open Items:**

# IETF MoU Supplemental Agreement (“MoU”)

% Complete:  60%

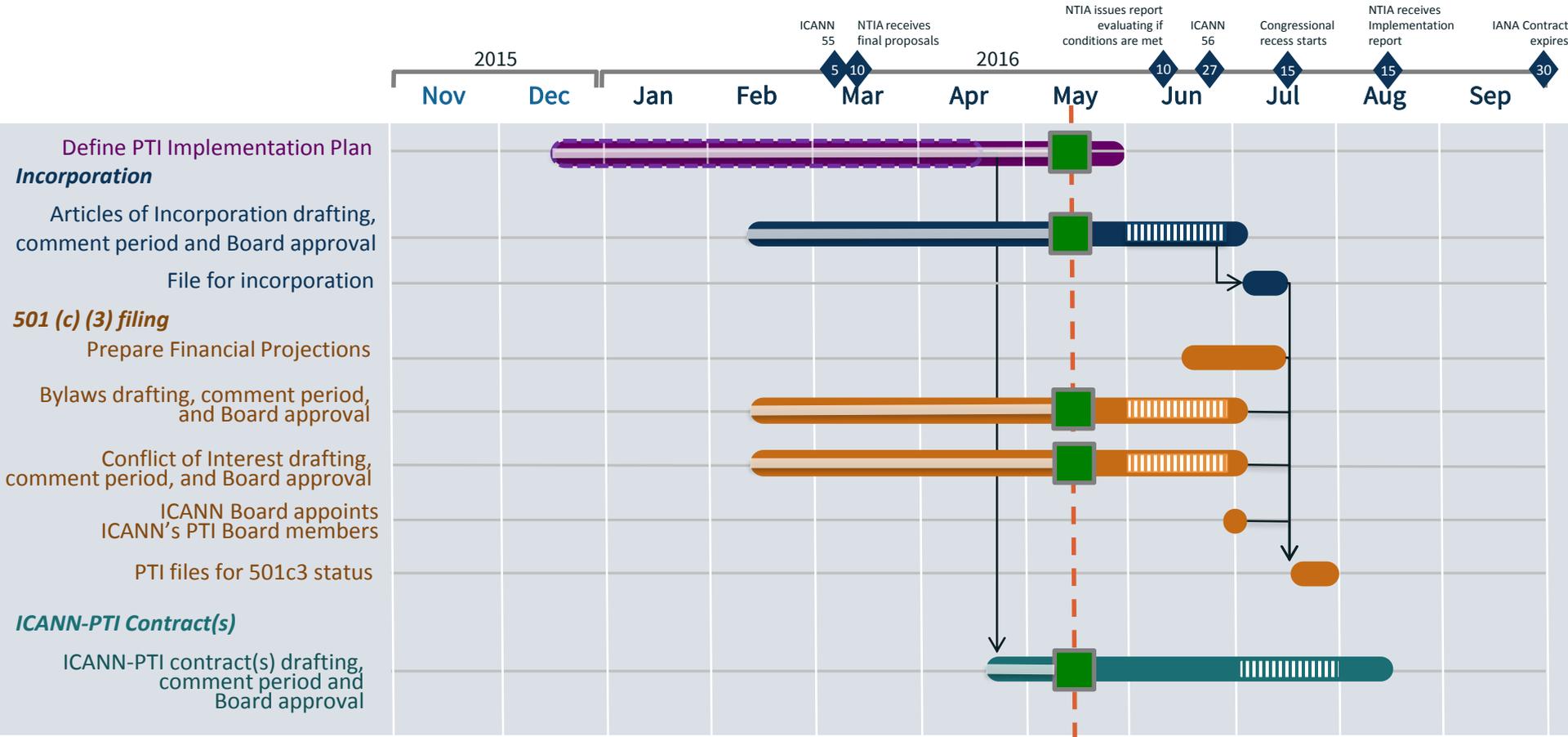
Status: On-track



**Project Updates:**

**Upcoming Activities:**

**Open Items:**



<sup>1</sup> Dependency on performance targets being defined by mid-July 2016 under Names SLEs project to finalize Names contract

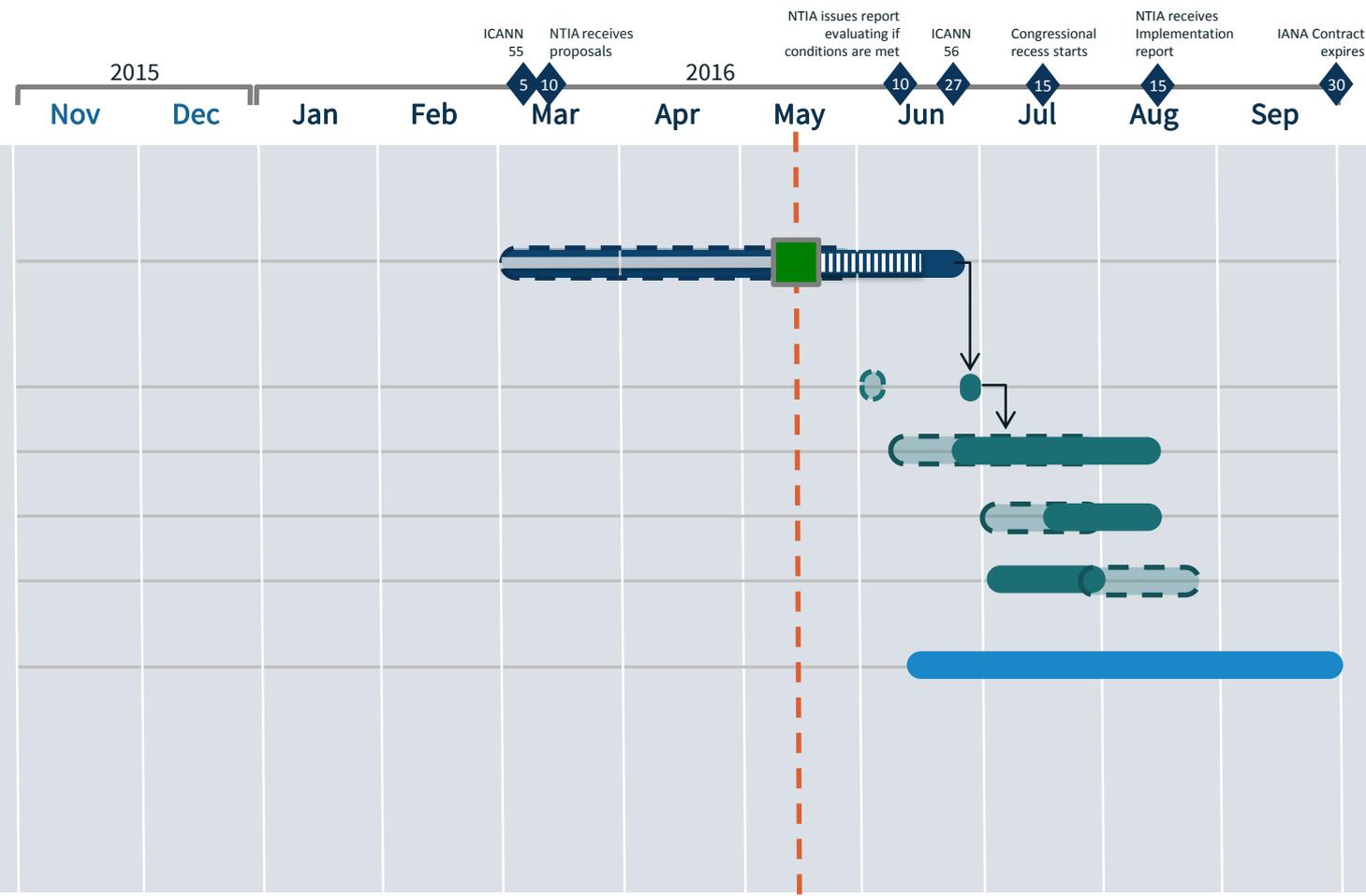
### Project Updates:

- ✓ Drafted PTI Bylaws, Articles of Incorporation and Conflict of Interest Policy.
- ✓ Drafting naming function heads of terms.
- ✓ Finalizing draft PTI implementation plan.

### Upcoming Activities:

- Implementation plan review with community.
- Finalize review process for PTI-related documents.
- Draft PTI formation documents (Bylaws, Articles of Incorporation and Conflict of Interest policy) review with community.
- Naming function heads of terms review with community.

### Open Items:

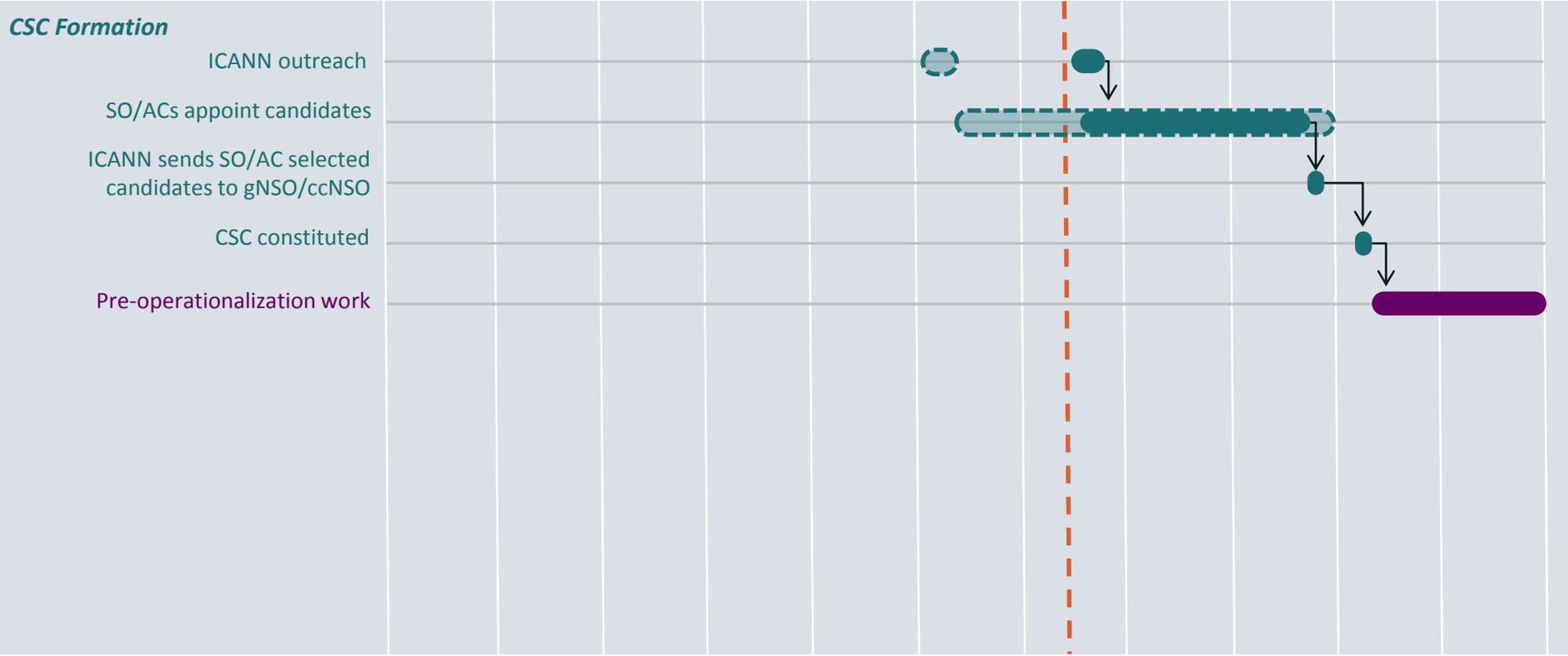


**Project Updates:**  
 ✓ RZERC charter circulated to CWG for review.

**Upcoming Activities:**

- Finalize RZERC charter and post for public comments.

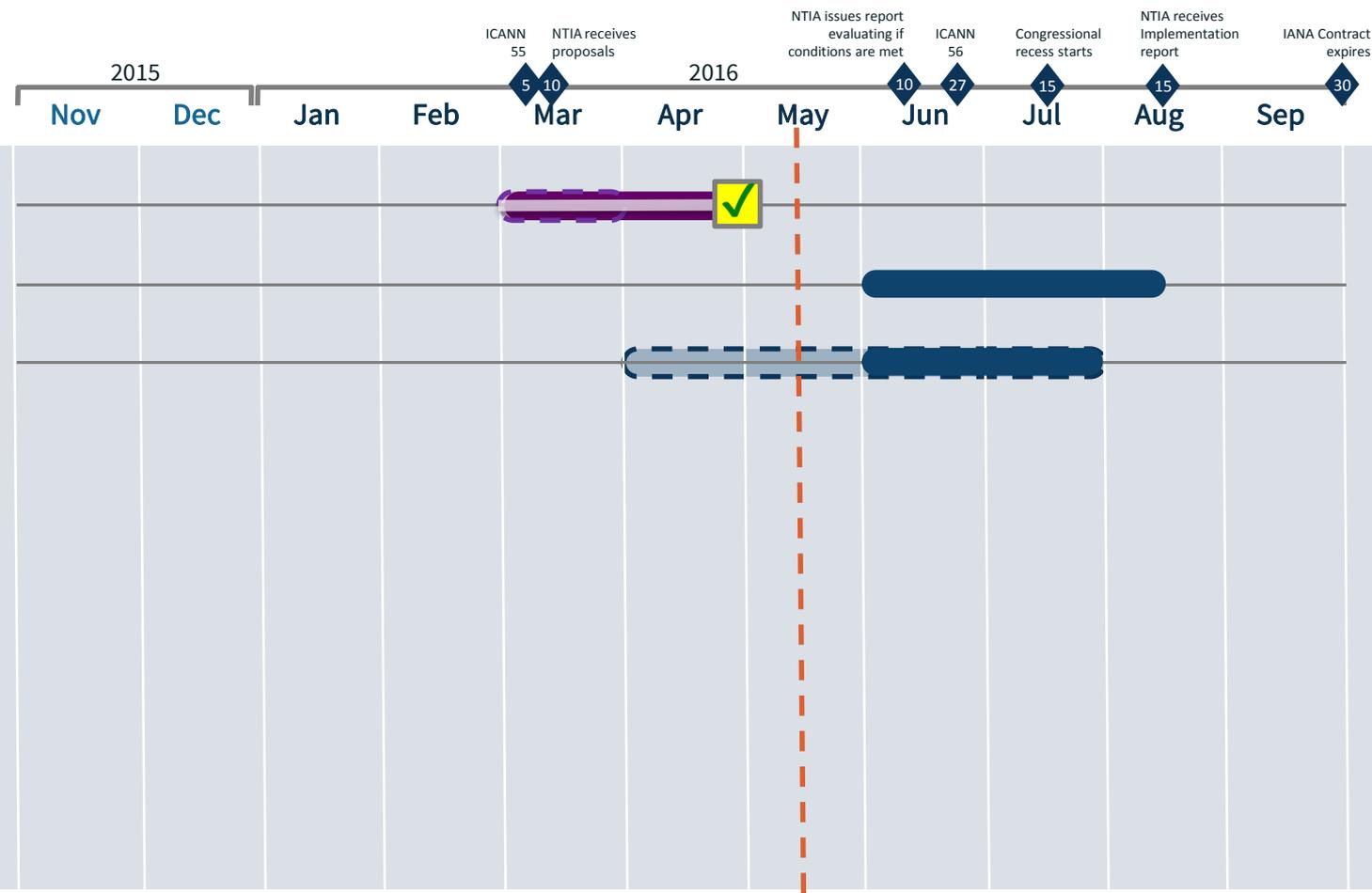
**Open Items:**



**Project Updates:**  
 ✓ Drafting CSC call for expression of interests.

**Upcoming Activities:**  
 ▪ Announce request for CSC member appointment.

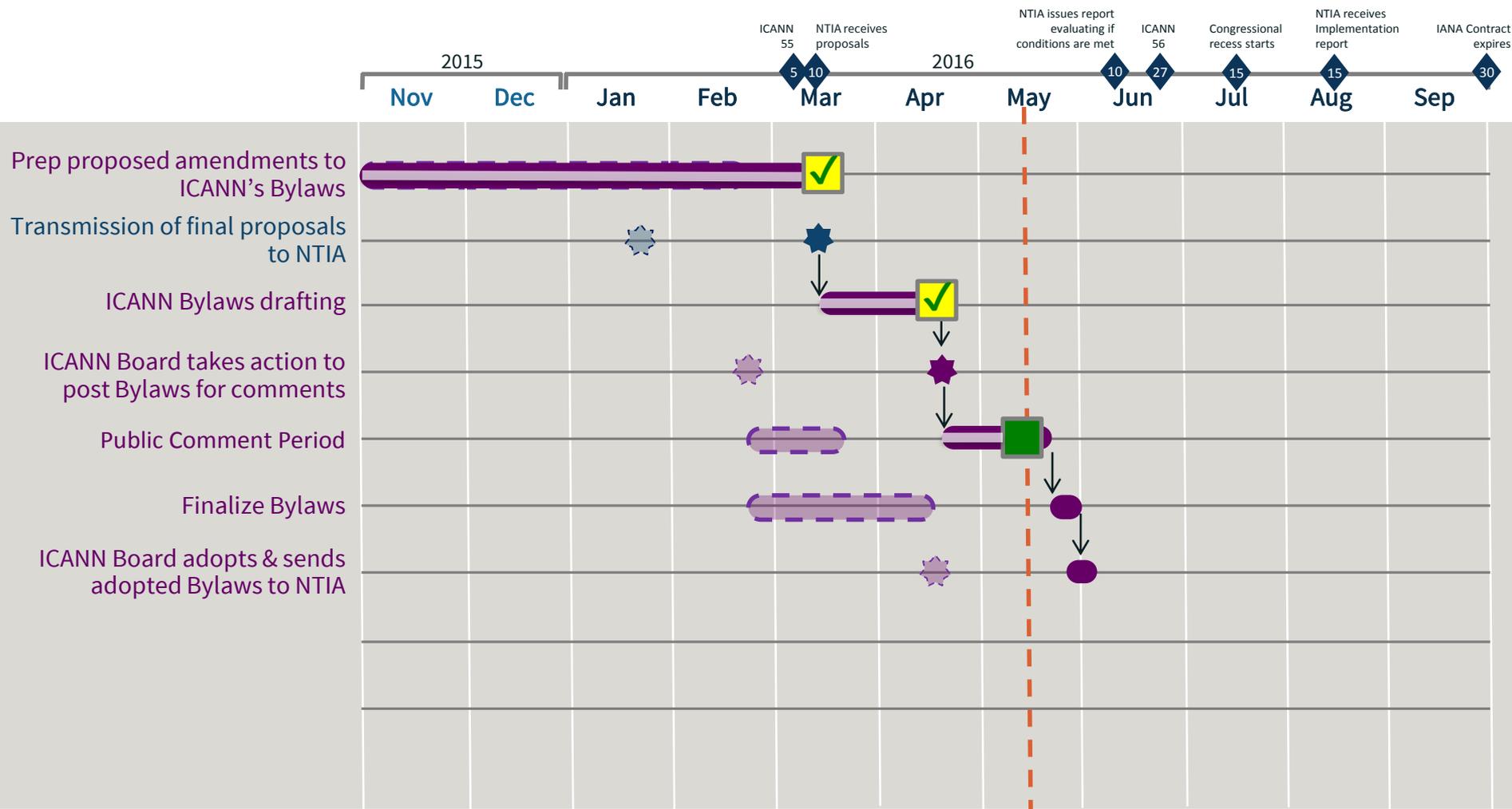
**Open Items:**



**Project Updates:**  
 ✓ Clarifications on IANA customer service escalation mechanisms confirmed by IOTF.

**Upcoming Activities:**  
 ▪

**Open Items:**



**Project Updates:**

- ICANN draft Bylaws in Public Comment Period.

**Upcoming Activities:**

- Continue to monitor ICANN draft bylaws Public Comment Period.

**Open Items:**