The ICANN Experiment

Cainet 2000 8-3-00

Andrew McLaughlin



The Basic Bargain

ICANN =

Internationalization of Policy Functions for DNS and IP Addressing systems

+

Private Sector (Non-governmental)

Management

What does ICANN do?

Coordinates policies relating to the unique assignment of:

- Internet domain names
- Numerical IP Address
- Protocol Port and Parameter Numbers

Coordinates the DNS Root Server System

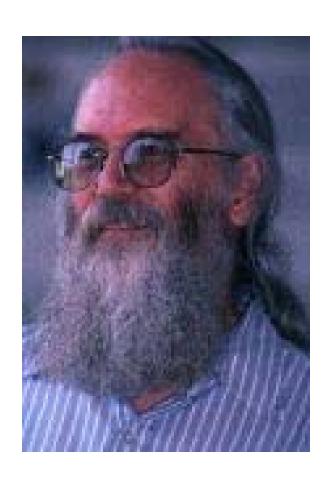
- through Root Server System Advisory Committee

Status Quo Ante

Most Internet DNS and IP Address coordination functions performed by, or on behalf of, the US government

- Defense Advanced Research Projects Agency (DARPA)
 - Information Sciences Institute (ISI) of University of Southern California
 - Stanford Research Institute (SRI)
- National Science Foundation (NSF)
 - IBM, MCI, and Merit
 - AT&T, General Atomics, Network Solutions, Inc.
- National Aeronautics and Space Administration (NASA)
- US Department of Energy

IANA



Jon Postel 1943-1998

Need for Change

- Globalization of Internet
- Commercialization of Internet
- Need for <u>accountability</u>
- Need for more <u>formalized management</u> structure
- Dissatisfaction with <u>lack of competition</u>
- Trademark/domain name conflicts

White Paper Principles

White Paper: new policy/management structure must promote 4 goals:

- Stability
- Competition
- Private, bottom-up coordination
- Representation

White Paper Implementation

- Internet community to form non-profit corporation meeting White Paper's 4 criteria
- US Government (through Commerce Department) to transition centralized coordination functions
- Amendment of Network Solutions agreement to require competitive registrars in gTLD registries
- WIPO to recommend solutions for trademark/domain-name dilemma

Status of Transition from USG

- ✓ 25 November, 1998 ICANN recognized in MoU
- ✓ June, 1999 Cooperative agreement among ICANN, US Government, root server operators
- √ 10 November, 1999
 - ICANN and Network Solutions sign gTLD registry and registrar agreements
 - DoC transfers root authority over gTLDs to ICANN
- √ 9 February, 2000
 - Contract with US Government to complete transfer of IANA functions

Remaining Transition Items

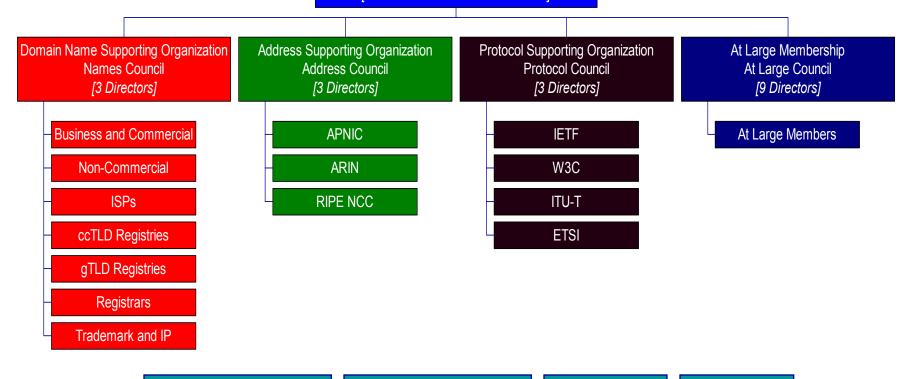
- Year 2000:
 - ccTLD registry agreements
 - IP Address registry agreements
 - Root server operator agreements
- September 30, 2000 Target date for ICANN to settle all registry/registrar/root server relationships

Structure of ICANN

ICANN

ICANN Board of Directors

[18 Directors + President and CEO]



Root Server System Advisory Committee

Governmental Advisory Committee

Membership Task Force

Funding Task Force

ICANN Staff

Current Staff:

- Interim President and CEO (Mike Roberts)
- Vice President/General Counsel (Louis Touton)
- CFO/Policy Director(Andrew McLaughlin)
- IANA staff (2.5 full-time)

Agenda for Cairo Meetings

- Creation of new top-level domain registries & protections for famous marks
- ccTLD delegation and administration policies
- At Large Membership & elections
- ICANN Budget for 2000-2001
- Independent Review policy

So does ICANN make law?

 Or: Is ICANN a cyber-government for the Internet?

A: NO!

- ICANN has no coercive power only the ability to enter into contractual relationships through a process of consensus & consent.
- ICANN is not a substitute for the powers of governments

Does ICANN regulate?

- No: ICANN coordinates.
- But: technical coordination tasks sometimes require touching nontechnical policy areas:
 - Data privacy protection
 - Intellectual property/trademark law
 - Competition law

Lessons from the Experiment?

- Private-sector self-regulation is possible
- Global consensus is difficult to define; even harder to achieve
 - Consensus can be achieved in the technical community from which ICANN was created, because you can test options
 - Consensus on policy questions is elusive, because you can't rely on objective data

For Further Information:

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http://www.icann.org