

TR-198

DQS: DQM systems functional architecture and requirements

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Executive Summary

TR-198 is a component of the DQS (DSL Quality Suite) which is an ongoing initiative of the Broadband Forum to identify the building blocks (reference features and tools, equipment and system requirements, network strategies and practices) to be used by manufacturers and Service Providers to develop solutions that enable pro-active and efficient maintenance of broadband lines and services.

DSL Quality Management (DQM) encompasses a range of techniques that seek to monitor, analyse and improve performance and/or stability of a DSL line or a group of DSL lines.

Generally speaking this is based on the following DQM loop:

monitoring \Rightarrow analysis and diagnosis \Rightarrow (soft or hard) corrective action.

This concept can be applied to operational phases like Provisioning and Assurance typically targeting a single DSL line. DQM techniques can be conceived also for the Trend Analysis phase encompassing groups of DSL lines and aggregation of NEs. Finally DQM can also feed the Network or the Infrastructure Planning phases which again involve wide portions of the access network.

A functional architecture for DQM is described and the interfaces required between functional blocks identified. DQM systems require the collection of performance, status, test and other data which is analysed to enable decisions on re-configuration or other actions to be made. The detailed requirements for a data collection function and the interfaces to it are given and encompass the data to be collected, the mechanism for collection and the performance of the interfaces.

Other functions and interfaces of the DQM loop above are described as well.

1 Purpose and Scope

1.1 Purpose

The DSL Quality Suite (DQS) is composed of several documents which encompass various topics in the field of ensuring the quality and stability of DSL lines, i.e. DSL Quality Management.

DQM is a generic term for techniques which use DSL line performance, status and test data, and other data as inputs to an analysis and diagnosis function which leads to a potential (soft or hard) corrective action whose aim is the amelioration of problems or improved performance. If a problem cannot be solved by a new set of DSL parameters then DQM provides a diagnosis for other actions to be taken.

This Technical Report specifies the architecture, and the functional and performance requirements for DQM systems.

1.2 Scope

This Technical Report provides an architecture that identifies the key functions of a DQM system and the external functions on which it depends and to which it delivers its output. The requirements for each functional block are given. Interfaces between the functional blocks and between the functional blocks and the external functions are identified. Existing standards that are relevant to these interfaces are indicated and the need for new standardized interfaces identified. Requirements on the interfaces are listed.

TR-198 encompasses:

- definition of the functional architecture of a DQM system
- high level description of the interfaces of a DQM system
- detailed specification of the functional and performance requirements for the Northbound interface of the Data Collection Function within a DQM system
- requirements for the Southbound interface for the Data Collection Function within a DQM system
- specification of the performance requirements for the DQM-ME of a Network Element within a DQM system
- high level description and requirements of other functions within a DQM system

TR-198 is focused on DSL technologies that are currently addressed by G.997.1 [18]. Extension to SHDSL is for further study.

2 References and Terminology

2.1 Conventions

In this Technical Report, several words are used to signify the requirements of the specification. These words are always capitalized. More information can be found in RFC 2119 [2].

MUST	This word, or the term “REQUIRED”, means that the definition is an absolute requirement of the specification.
MUST NOT	This phrase means that the definition is an absolute prohibition of the specification.
SHOULD	This word, or the adjective “RECOMMENDED”, means that there could exist valid reasons in particular circumstances to ignore this item, but the full implications need to be understood and carefully weighed before choosing a different course.
SHOULD NOT	This phrase, or the phrase "NOT RECOMMENDED" means that there may exist valid reasons in particular circumstances when the particular behavior is acceptable or even useful, but the full implications need to be understood and the case carefully weighed before implementing any behavior described with this label.
MAY	This word, or the adjective “OPTIONAL”, means that this item is one of an allowed set of alternatives. An implementation that does not include this option MUST be prepared to inter-operate with another implementation that does include the option.

2.2 References

The following references are of relevance to this Technical Report. At the time of publication, the editions indicated were valid. All references are subject to revision; users of this Technical Report are therefore encouraged to investigate the possibility of applying the most recent edition of the references listed below.

A list of currently valid Broadband Forum Technical Reports is published at www.broadband-forum.org.

[1] RFC 1213	<i>MIB-II</i>	IETF	1991
[2] RFC 2119	<i>Key words for use in RFCs to Indicate Requirement Levels</i>	IETF	1997
[3] RFC 2662	<i>Definitions of Managed Objects for the ADSL Lines</i>	IETF	1999

- | | | | | |
|------|--------------------------|--|-----------------------|------|
| [4] | RFC 2440 | <i>OpenPGP Message Format</i> | IETF | 1998 |
| [5] | RFC 2863 | <i>The Interfaces Group MIB</i> | IETF | |
| [6] | RFC 3440 | <i>Definitions of Extension Managed Objects for ADSL</i> | | 2000 |
| [7] | RFC 4133 | <i>Entity MIB (Version 3)</i> | IETF | 2005 |
| [8] | RFC 4706 | <i>Definitions of Managed Objects for ADSL2</i> | IETF | 2006 |
| [9] | RFC 5650 | <i>Definitions of Managed Objects for VDSL2</i> | IETF | 2009 |
| [10] | G.992.1 | <i>Asymmetric digital subscriber line (ADSL) transceivers</i> | ITU-T | 1999 |
| [11] | G.992.2 | <i>Splitterless asymmetric digital subscriber line (ADSL) transceivers</i> | ITU-T | 1999 |
| [12] | G.992.3 | <i>Asymmetric digital subscriber line transceivers 2 (ADSL2)</i> | ITU-T | 2005 |
| [13] | G.992.4 | <i>Splitterless asymmetric digital subscriber line transceivers 2 (splitterless ADSL2)</i> | ITU-T | 2002 |
| [14] | G.992.5 | <i>Asymmetric Digital Subscriber Line (ADSL) transceivers - Extended bandwidth ADSL2 (ADSL2plus)</i> | ITU-T | 2005 |
| [15] | G.993.2 | <i>Very high speed digital subscriber line transceivers 2 (VDSL2)</i> | ITU-T | 2006 |
| [16] | G.993.5 | <i>Self-FEXT cancellation (Vectoring) for use with VDSL2 transceivers</i> | ITU-T | 2010 |
| [17] | G.996.2 | <i>Single-ended line testing for digital subscriber lines (DSL)</i> | ITU-T | 2009 |
| [18] | G.997.1 | <i>Physical layer management for digital subscriber line (DSL) transceivers</i> | ITU-T | 2006 |
| [19] | G.998.4 | <i>Improved impulse noise protection (INP) for DSL transceivers</i> | ITU-T | 2010 |
| [20] | M.3000 | <i>Overview of TMN Recommendations</i> | ITU-T | 2000 |
| [21] | TR-100 | <i>ADSL2/ADSL2plus Performance Test Plan</i> | BBF | 2007 |
| [22] | TR-105 | <i>ADSL2/ADSL2plus Functionality Test Plan</i> | BBF | 2010 |
| [23] | TR-114 | <i>VDSL2 Performance Test Plan</i> | BBF | 2009 |
| [24] | TR-115 | <i>VDSL2 Functionality Test Plan</i> | BBF | 2009 |
| [25] | TR-129 | <i>Protocol-Independent Management Model for Next Generation DSL Technologies</i> | BBF | 2006 |
| [26] | TR-130 | <i>xDSL EMS to NMS Interface Functional</i> | BBF | 2007 |

Requirements

[27] TR-138	<i>Accuracy Tests for Test Parameters</i>	BBF	2009
[28] TR-165	<i>Vector of Profiles</i>	BBF	2009
[29] TR-176	<i>ADSL2Plus Configuration Guidelines for IPTV</i>	BBF	2008
[30] ATIS-0600007	<i>ATIS DSM Technical Report</i>	ATIS	2007
[31] GR-831	<i>OTGR Section 12.1: Operations Application Messages – Language For Operations Application Messages</i>	Telcordia Technologies	1996
[32] MTOSI 2	<i>Multi-Technology Operations Systems Interface</i>	TM Forum	2009

2.3 Definitions

The following terminology is used throughout this Technical Report.

DQM DSL Quality Management (DQM) is the abstract capability of pro-active and efficient control of the quality and stability of xDSL lines.

2.4 Abbreviations

This Technical Report uses the following abbreviations:

ADF	Analysis & Diagnosis Function
ADSL	Asymmetric Digital Subscriber Line
ADSL2	Asymmetric Digital Subscriber Line 2
ADSL2plus	Asymmetric Digital Subscriber Line 2plus
AN	Access Node
CPE	Customer Premise Equipment
DCF	Data Collection Function
DPBO	Downstream Power Back-Off
DQM	DSL Quality Management
DQMCF	DSL Quality Management Control Function
DQM-ME	DSL Quality Management - Management Entity
DQS	DSL Quality Suite
DSL	Digital Subscriber Line
DSLAM	Digital Subscriber Line Access Multiplexor
DSM	Dynamic Spectrum Management
EMS	Element Management System

ME	Management Entity
MIB	Management Information Base
MTOSIv2	Multi-Technology Operations Systems Interface v2
NE	Network Element
NMS	Network Management System
NPSF	New Profile Selection Function
OSS	Operations Support System
PM	Performance Monitoring
PSF	Profile Selection Function
RFC	Request for Comment
SHDSL	Single-Pair High-speed Digital Subscriber Line
SMC	Spectrum Management Centre
TR	Technical Report
UPBO	Upstream Power Back-Off
VDSL2	Very high bit rate Digital Subscriber Line 2
VoP	Vector of Profiles

3 Technical Report Impact

3.1 Energy Efficiency

DQM techniques can enable improvements in energy efficiency of DSL deployments. Although these techniques are not specifically in the scope of this Technical Report the architecture and interfaces described are meant to support DQM algorithms and techniques some of which could enable improvements in energy efficiency.

3.2 IPv6

TR-198 has no impact on IPv6

3.3 Security

DSL Quality Management raises security issues in the following two areas:

- The interfaces specified in this Technical Report, as is typical of any telecommunication management system, will need to be secured against abuse using appropriate security practices.
- One of the factors related to DSL Quality is ensuring that both the end user's services and the overall stability of the network are protected against malicious attacks and other security threats.

Specific requirements with respect to these issues are for further study.

4 DQM System Functional Architecture

This section describes the functional architecture of a generic DQM system along with the interfaces between the functional blocks and between the functional blocks and external functions.

DSL Quality Management (DQM) encompasses a range of techniques that seek to monitor, analyze and improve performance and/or stability of a DSL line or a group of DSL lines.

Generally speaking this is based on the following DQM loop:

monitoring \Rightarrow analysis and diagnosis \Rightarrow (soft or hard) corrective action.

The soft actions are the most frequent as they represent the promptest, seamless and operationally cost-effective way to intervene on DSL lines. This encompasses the application of a new DSL operational profile, a line reset (i.e. reissuing the same profile) and an alarm or PM threshold profile change.

The DQM loop can also be closed with a hard corrective action which could encompass an intervention at the infrastructure level (e.g. change of twisted pair, user splitter or cabling interventions) or equipment level (e.g. CPE change, CPE software upgrade up to NE release upgrade). These actions are more extreme and onerous and are applied if the identified problem is so severe it cannot be solved via a profile change or a more “invasive” action is advisable to solve it in a more effective and durable way.

The DQM loop can be applied to a single DSL line or to multiple lines and this concept can be applied to the various network operational phases such as Provisioning, Assurance and Trend Analysis, and the Network or Infrastructure Planning.

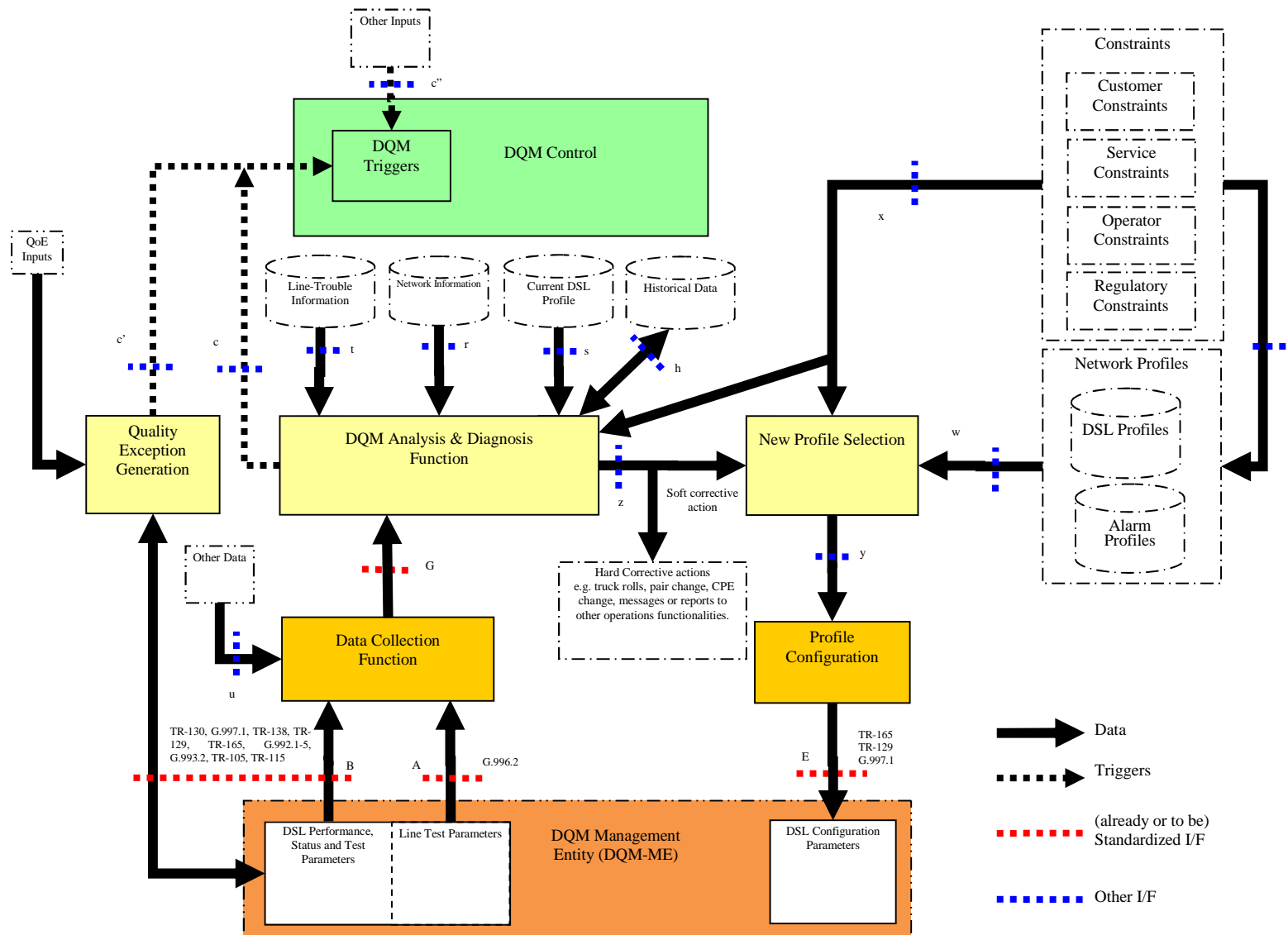


Figure 1 - DQM system functional architecture

Figure 1 shows the DQM system architectural block diagram. The coloured blocks within the solid lines are the functions that are specific to the DQM system. The other blocks are the functions external to the DQM system that it interfaces to. The diagram also indicates in BLUE where it could be desirable to standardize interfaces between the functional blocks. It also indicates in RED the interfaces for which standards exist, or are to be defined, that are relevant to these interfaces. The control flows within the DQM system and its interactions with the other functional blocks are not shown in Figure 1.

This model includes concepts found in the reference model in Section 4/ATIS Dynamic Spectrum Management Technical Report (ATIS DSM TR [30]).

Appendix A provides a detailed discussion of the relation between the model in the DSM TR and that in Figure 1.

In a DQM system, the above Data Collection Function (DCF), Profile Configuration Function (PCF) and Analysis and Diagnosis Function (ADF) blocks ought to be able to deal with NE's that are currently deployed in a Network Operator's network as well as new equipment. The discussion in Sections 5 and 6 of this Technical Report specifically addresses the functional requirements of the DCF and PCF interfaces to NEs based on G.997.1 [18], existing DSL Interface MIBs defined by the IETF, and common industry practices with respect to inventory information at the time of publication of this Technical Report. One of the functions of both the DCF and PCF is performing the mediation implied by the management interfaces supported by the network elements.

4.1 Data Sources

The primary sources of data to drive a DQM system are the DSL Performance, Status and Test Parameters combined with Network Element inventory and service state (e.g. whether an DSL interface is currently configured or enabled) information that is available from the DQM Management Entity (DQM-ME). The DQM-ME configures and retrieves parameters in and from the DSL modems in the NE. Standardized line test parameters could also be available from DSL modems as defined by G.996.2 [17] and by possible future enhancement to the standard management interfaces defined in G.997.1 [18]. In addition external data from the Network Operator's operations support systems could be utilised.

4.2 Data Collection Function (DCF)

The sources of data are the inputs to a Data Collection Function (DCF) which collects the data and presents it to the DQM Analysis and Diagnosis Function (ADF) on demand. The function includes the facility to poll data from inputs where this is possible and to accept new data when autonomously offered.

4.3 DQM Analysis and Diagnosis Function (ADF)

At the heart of the DQM process is the DQM Analysis & Diagnosis function. It examines the data collected and the currently used DSL profile, analyses why there is a problem or how the performance could be improved, and diagnoses any line troubles. Network Information and Line-Trouble Information supports this diagnosis.

The aim is to provide a reliable and complete diagnosis of the line, group(s) of lines and group(s) of NEs subject to the analysis and indicate one or more corrective actions. As said the most frequent one is to provide to the DQM-ME a new set of configuration parameters to be applied to the DSL modem(s) that are expected to fix the problem or to improve the performance if possible. If not other actions, such as communication with the network operator's other OSS, generation of reports, or requests for other processes such as truck rolls could occur. Fundamentally there is much more than a truck roll that can occur if re-profiling does not resolve a problem.

The role of the ADF is to analyze the performance of the DSL service based on the collected information, the customer's ordered service and the Network Operator's criteria of appropriate operation of that service. As shown in Figure 1, the ADF could also use stored historical information. Problems due to specific degradations ought to be detected or the service could be improved using DQM techniques even though no specific degradation is found. In those cases where the ADF cannot initiate re-profiling to address the problem the Network Operator's troubleshooting process needs to be kicked off. However, if the problem is solvable by the use of a new profile, i.e. by reconfiguration of the DSL Service, then the ADF needs to provide to the New Profile Selection Function (NPSF) sufficient information for it to select one of the available profiles.

4.4 New Profile Selection Function

Configuration parameters are contained in a profile. So the output of the DQM Analysis and Diagnosis Function enables a new profile to be selected from a profile database by the New Profile Selection Function. The selected profile needs to conform to constraints imposed by operator procedures, network practices and regulation.

4.5 Profile Configuration Function

The new profile is configured in the specific format required by the equipment deployed in the network and configured on the network element by the DQM Profile Configuration Function (PCF).

4.6 DQM Control Function

For a sophisticated DQM solution direct control of the configuration parameters values or the initialization policy would be very useful. However, any DQM system can only work with the management interface provided by Network Elements and this Technical Report deals only with interfaces which are based on established DSL management standards. Also, the configuration parameters are managed through the application of profiles. A profile is a set of values, one for each configuration parameter, which needs to be set in the xDSL MIB and is typically managed as a single management object. That is when a specific profile is assigned to a DSL Line, all the parameters dealt with by configuration profiles for that type of network equipment are set based on the specific profile selected. TR-129 [25], TR-165 [28] and TR-176 [29] provide a discussion of DSL profiles, their use and relation to DSL Management Standards.

The number of predefined profiles is constrained, for example, by practical storage limitations in DSLAMs. One of the aims of TR-165 [28] is to make the number of possibilities for profiles as large as possible so facilitating better DQM.

The coordination of the DQM process occurs in the DQM control function. Among the functions provided by the DQM Control Function are:

- Scheduling any re-profiling to minimize the service effects seen by the customer of any retrains required to implement the new profile on the DSL service. It controls when DQM activities are initiated and it can include policies that prevent unwanted service interruption, or schedule them for an appropriate time.
- Managing the data collection process to control the effect on management traffic to and from the network elements required to support DQM. The DQM-CF ought to prevent overuse of both the management interfaces on the elements and of the Network Operator's management network.
- The DQM Control Function can process different triggers of the DQM process which could arise either from the network elements, other management process, or manual intervention by the Network Operator's technicians and manage the

DQM process accordingly. This is done by means of commands/responses between the DQM Control Function and the functional blocks (these are not explicitly shown in Figure 1 and are for further study).

4.7 Quality Exception Generation Function

The Quality Exception Function obtains data and exceptions from various DQM-MEs in the network and determines if the quality of performance is such that the DQM process ought to be invoked for particular DSL lines. If it is then a trigger is sent to the DQM Control Function.

Examples of triggers include the processing of DSL Performance, Status and Test data, either by the Quality Exception Function or by the Data Collection Function. Other triggers are external to the DQM process, e.g. time of day for routine data collection, or a trigger from a customer complaint to a call centre.

5 Description of Interfaces

This section provides a description of the interfaces between the functional blocks identified in Figure 1.

Interfaces labelled with a capital letter in Figure 1 are already standardized or recommended to be standardized and are described in Section 5.1.

All other interfaces shown in Figure 1 are for further study.

5.1 Standard Interfaces

Interface A

The parameters available across this interface are defined in G.996.2 [17] and in the test parameters that are defined for retrieval both in SHOWTIME and in the special diagnostic mode in G.997.1 [18].

Interface B

The parameters available across this interface are defined in G.997.1 [18] and contained in the object model in TR-129 [25], RFCs 1213 [1], 2662 [3], 3440 [6], 4706 [8] and 5650 [9] provide object models subject to the relevant technology related to this interface. TR-138 [27] addresses the accuracy requirements of DSL test parameters while Section 5.12/TR-105 [22], Section 6/TR-105 [22], Section 7/TR-105 [22] define requirements for consistency with actual line conditions of certain reported ADSL2/2plus parameters, and Section 7/TR-114 [23] define requirements for consistency with actual line conditions of certain reported VDSL2 parameters. TR-130 [26] addresses the functional requirements of the EMS-NMS interface.

In addition to the collection of DSL parameters, Interface 'B' ought to also support collecting of inventory and equipment state information required by the DQM functions. Such information is typically defined into hierarchical models of the equipment often based upon specifications that are commonly used in the industry. Examples of such models are the TID/SID/AID model defined in Section 12.1/GR-831 [31], the TMN [Telecommunications Management Network] models defined in the ITU-T M.3000 series of Recommendations as extended by the work of the TM Forum (MTOSIv2 [32]) and the SNMP MIB based on the model in RFC 4133 [7].

The specific functional requirements for this interface for the architecture defined in Figure 1 are described in Section 6.

Interface E

The parameters that are used across this interface could be those contained in a new profile or more usually the index or indices to select a pre-existing profile. The parameters that are used across this interface could be those contained in a new profile or more usually the index or indices to select a pre-existing profile. The relevant parameters are defined in G.977.1 [18] and contained in the object models in TR-129 [25] and TR-165 [28] and the MIBs as defined by RFCs 1213 [1], 2662 [3], 3440 [6], 4706 [8] and 5650 [9].

The specific functional requirements for this interface for the architecture defined in Figure 1 are described in Section 6.

Interface G

The parameters that are used across this interface are the collected data relevant for the DQM analysis function. The functional primitives for this interface are specified along with the performance requirements of data collection at this interface.

Functional requirements for this interface are for further study.

5.2 Other Interfaces

Interface c, c', c''

This interface supports the parameters and messages that can trigger DQM processes.

Functional requirements for this interface are for further study.

Interface f

This information passing across this interface places various constraints on the network and alarm profiles deployed throughout the network. In particular, regulatory constraints could be in force to prevent interference with other DSL systems working over other pairs in the same cable. Examples are the VDSL2 DPBO and UPBO deployment rules and the SHDSL deployment rules imposed by national regulators in various European countries.

Functional requirements for this interface are for further study.

Interface h

The parameters that are used across this interface are the collected data relevant for the DQM Analysis and Diagnosis Function and to be stored in the Historical Database.

Functional requirements for this interface are for further study.

Interface r

This interface delivers the relevant network information (e.g. binder topology, DSL systems in the binder, equipment technologies, etc.) to the DQM Analysis and Diagnosis function.

Functional requirements for this interface are for further study.

Interface s

This interface delivers the current line profile to the DQM Analysis and Diagnosis function.

Functional requirements for this interface are for further study.

Interface t

The information to characterise line fault or degradation conditions are passed through this interface.

Functional requirements for this interface are for further study.

Interface u

This interface delivers further relevant data (e.g. from other systems) to the Data Collection function.

Functional requirements for this interface are for further study.

Interface w

All available line profiles as well as alarm profiles (enabling, severity, thresholds) that could be configured on the DSL modem are passed through this interface.

Functional requirements for this interface are for further study.

Interface x

All the operator constraints (e.g. technology, service, etc.) are passed to the New Profile Selection Function through this interface.

Functional requirements for this interface are for further study.

Interface y

A line profile to be configured on the DSL modem is passed across this interface.

Functional requirements for this interface are for further study.

Interface z

The data exchanged across this interface contain a set/range of possible configuration parameters (or possible interventions) suggested to fix the problem or achieve the desired line quality.

Functional requirements for this interface are for further study.

6 Data Collection Function

This section specifies the requirements for the Data Collection function for DQM systems.

R-1 The DCF SHALL collect the DSL parameters and line testing parameters listed in Section 6.1.1.

The DCF MAY be implemented as a centralized function (see Figure 6) in the same physical entity with the ADF, or MAY be implemented as a distributed function (see Figure 8.) in a physical entity separate from the ADF and DQM-ME, or MAY be implemented in the NE (see Figure 7). Depending on these DCF implementation types, the DCF SHALL implement the DCF Northbound interface (defined in Section 6.3) or the DCF Southbound interface (defined in Section 6.4) or both.

R-2 The DCF requirements MAY be implemented as

1. a centralised function (Figure 6) in the same physical entity with the ADF,
2. a distributed function (Figure 8) in a physical entity separate from the ADF and DQM-ME,
3. a distributed function (Figure 7) in the same physical entity as the DQM-ME.

R-3 The DCF SHALL implement the

- Southbound DCF interface [6.4] for option 1 in R-1
- Southbound and Northbound DCF interfaces [6.3 & 6.4] for option 2 in R-1
- Northbound DCF interface [6.3] for option 3 in R-1

R-4 The DCF requirements other than Sections 6.3 & 6.4 SHALL apply regardless of where the DCF is implemented.

6.1 DSL Parameters and Line Testing Functions

6.1.1 Collected DSL Parameters and Line Testing Parameters

This section specifies the requirements about the DSL parameters and line testing parameters to be collected by the DCF.

R-5 In principle, the DCF MAY collect all or any subset of the management parameters supported by the DQM-ME.

The following tables indicate the parameter acronym and the full definition of the parameters of which the DCF SHOULD or SHALL support collection as indicated with each of the tables. For G.997.1 [18] parameters the unit, range and granularity are indicated. The range field indicates the real values that are meaningful for the parameter.

The Performance Monitoring (PM) counters are maintained by the DQM-ME. G.997.1 [18] requires the DQM-ME to support historic storage of at least 16 contiguous 15 minute periods for the parameters ES, SES and UAS. For the other parameters, history storage is optional (i.e. only current and previous values are required). See Section 7.2.7.9/G.997.1 [18]. For DQM purposes the DQM-ME ought to support historic storage of all parameters for 96 contiguous 15 minute periods (i.e. a day).

R-6 The DCF SHALL support collection of the following scalar parameters:

Table 1 - Scalar parameters to be supported for collection

Acronym	Parameter	G.997.1 section	Unit	Range	Granularity
SNRMds	Downstream Signal-to-Noise Ratio Margin	7.5.1.13	dB	[-64.0: 63.0]	0.1
SNRMus	Upstream Signal-to-Noise Ratio Margin	7.5.1.16	dB	[-64.0: 63.0]	0.1
SNRMpbds	Downstream Signal-to-Noise Ratio Margin per band	7.5.1.14	dB	[-64.0: 63.0]	0.1
SNRMpbus	Upstream Signal-to-Noise Ratio Margin per band	7.5.1.17	dB	[-64.0: 63.0]	0.1
ACTSNRMODEds	Actual Downstream Signal-To-Noise Ratio mode	7.5.1.15		1 or 2	
ACTSNRMODEus	Actual Upstream Signal-To-Noise Ratio mode	7.5.1.18		1 or 2	
ATTNDRds	Downstream Maximum Attainable Data Rate	7.5.1.19	kbit/s	[0 :65535]	1
ATTNDRus	Upstream Maximum Attainable Data Rate	7.5.1.20	kbit/s	[0 :65535]	1
ADRds (<i>per BC</i>)	Downstream Actual Data Rate	7.5.2.1	kbit/s	[0 :65535]	1
ADRus (<i>per BC</i>)	Upstream Actual Data Rate	7.5.2.1	kbit/s	[0 :65535]	1
SATNds	Downstream Signal Attenuation per band	7.5.1.11	dB	[0:127.0]	0.1
SATNus	Upstream Signal Attenuation per band	7.5.1.12	dB	[0:127.0]	0.1
LATNds	Downstream Line Attenuation per band	7.5.1.9	dB	[0:127.0]	0.1
LATNus	Upstream Line Attenuation per band	7.5.1.10	dB	[0:127.0]	0.1
ACTINPds (<i>per BC</i>)	Downstream Actual Impulse Noise Protection	7.5.2.4	DMT symb	[0:25.4]	0.1
ACTINPus (<i>per BC</i>)	Upstream Actual Impulse Noise Protection	7.5.2.4	DMT symb	[0:25.4]	0.1
INPREPORTds	Downstream Impulse noise protection reporting mode	7.5.2.5		0 or 1	
INPREPORTus	Upstream Impulse noise protection reporting mode	7.5.2.5		0 or 1	
ACTDELds	Downstream Actual interleaving delay	7.5.2.3	ms	[0 :255]	1
ACTDELus	Upstream Actual interleaving delay	7.5.2.3	ms	[0 :255]	1
ACTATPds	Downstream Actual Aggregate Transmit Power	7.5.1.24	dBm	[-31.0 :31.0]	0.1
ACTATPus	Upstream Actual Aggregate Transmit Power	7.5.1.25	dBm	[-31.0 :31.0]	0.1
TRELLISds	Downstream Trellis Use	7.5.1.30		0 or 1	
TRELLISus	Upstream Trellis Use	7.5.1.31		0 or 1	
LOS	Loss of Signal failure	7.1.1.1.1			1
LOS-FE	Far-end Loss of Signal failure	7.1.1.2.1			1
LOF	Loss of Frame failure	7.1.1.1.2			1

Acronym	Parameter	G.997.1 section	Unit	Range	Granularity
LOF-FE	Far-end Loss of Frame failure	7.1.1.2.2			1
LPR	Loss of Power failure	7.1.1.1.3			1
LPR-FE	Far-end Loss of Power failure	7.1.1.2.3			1

Note: in this and the following tables, *per BC* stands for ‘per bearer channel’.

R-7 The DCF SHALL support collection of the following framing parameters:

Table 2 - Framing parameters to be supported for collection

Acronym	Parameter	G.997.1 section	Unit	Range	Granularity
NFECds (<i>per BC</i>)	Downstream Actual size of Reed Solomon codeword	7.5.2.6.1		[0:255]	1
NFECus (<i>per BC</i>)	Upstream Actual size of Reed Solomon codeword	7.5.2.6.1		[0:255]	1
RFECds (<i>per BC</i>)	Downstream Actual number of Reed Solomon redundancy bytes	7.5.2.6.2		[0:16]	1
RFECus (<i>per BC</i>)	Upstream Actual number of Reed Solomon redundancy bytes	7.5.2.6.2		[0:16]	1
LSYMBds (<i>per BC</i>)	Downstream Actual number of bit per symbol	7.5.2.6.3		[0:65535]	1
LSYMBus (<i>per BC</i>)	Actual number of bit per symbol	7.5.2.6.3		[0:65535]	1
INTLVDEPTHds (<i>per BC</i>)	Downstream Actual interleaving depth	7.5.2.6.4		[1:4096]	1
INTLVDEPTHus (<i>per BC</i>)	Upstream Actual interleaving depth	7.5.2.6.4		[1:4096]	1
INTLVBLOCKds (<i>per BC</i>)	Downstream Actual interleaver block length	7.5.2.6.5		[4:255]	1
INTLVBLOCKus (<i>per BC</i>)	Upstream Actual interleaver block length	7.5.2.6.5		[4:255]	1

R-8 The DCF SHALL support collection of the following performance monitoring parameters:

Table 3 - Performance monitoring parameters to be supported for collection

Acronym	Parameter	G.997.1 section	Unit	Range	Granularity
ES-L	Errored Seconds – Line	7.2.1.1.2			1

Acronym	Parameter	G.997.1 section	Unit	Range	Granularity
ES-LFE	Errored Seconds – Line far-end	7.2.1.2.2			1
SES-L	Severely Errored Seconds – Line	7.2.1.1.3			1
SES-LFE	Severely Errored Seconds – Line far-end	7.2.1.2.3			1
LOSS-L	Loss of Signal Seconds – Line	7.2.1.1.4			1
LOSS-LFE	Loss of Signal Seconds – Line far-end	7.2.1.2.4			1
UAS-L	Unavailable Seconds – Line	7.2.1.1.5			1
UAS LFE	Unavailable Seconds – Line far-end	7.2.1.2.5			1
FECS-L	Forward Error Corrections Seconds Line	7.2.1.1.1			1
FECS-LFE	Forward Error Corrections Seconds Line Far End	7.2.1.2.1			1
FULLINIT	Full initialization count	7.2.1.3.1			1
FAILINIT	Failed full initialization count	7.2.1.3.2			1
CV-C	Code Violations – Channel	7.2.2.1.1			1
CV-CFE	Code Violations – Channel far-end	7.2.2.2.1			1
FECC-C	Forward Error Corrections – Channel	7.2.2.1.2			1
FECC-CFE	Forward Error Corrections – Channel far-end	7.2.2.2.2			1
CRC-P	Near-end CRC error count	7.2.5.1.1			1
CRC-PFE	Far-end CRC error count	7.2.5.2.1			1
CV-P	Near-end coding violations count	7.2.5.1.2			1
CV-PFE	Far-end coding violations count	7.2.5.2.2			1

R-9 The DCF SHALL support collection of the following vectorial parameters:

Table 4 - Vectorial parameters to be supported for collection

Acronym	Parameter	G.997.1 section	Unit	Range	Granularity
HLOGGds	Downstream H(f) logarithmic subcarrier group size	7.5.1.26.5		1-2-4-8	1
HLOGpsds	Downstream H(f) logarithmic representation	7.5.1.26.6	dB	[-96.3 ÷ 6.0]	0.1
HLOGGus	Upstream H(f) logarithmic subcarrier group size	7.5.1.26.11		1-2-4-8	1
HLOGpsus	Upstream H(f) logarithmic representation	7.5.1.26.12	dB	[-96.3 ÷ 6.0]	0.1
QLNGds	Downstream QLN(f) subcarrier group size	7.5.1.27.2		1-2-4-8	1
QLNpsds	Downstream QLN(f)	7.5.1.27.3	dbm/Hz	[-150.0 ÷ -23.0]	0.5
QLNGus	Upstream QLN(f) subcarrier group size	7.5.1.27.5		1-2-4-8	1
QLNpsus	Upstream QLN(f)	7.5.1.27.6	dbm/Hz	[-150.0 ÷ -23.0]	0.5

Acronym	Parameter	G.997.1 section	Unit	Range	Granularity
SNRGds	Downstream SNR(f) subcarrier group size	7.5.1.28.2		1-2-4-8	1
SNRpsds	Downstream SNR(f)	7.5.1.28.3	dB	[-32.0 ÷ 95.0]	0.5
SNRGus	Upstream SNR(f) subcarrier group size	7.5.1.28.5		1-2-4-8	1
SNRpsus	Upstream SNR(f)	7.5.1.28.6	dB	[-32.0 ÷ 95.0]	0.5
BITSpds	Downstream Bits Allocation	7.5.1.29.1		[0 ÷ 15]	1
BITSpus	Upstream Bits Allocation	7.5.1.29.2		[0 ÷ 15]	1
GAINSpds	Downstream Gains Allocation	7.5.1.29.3		[0 ÷ 4095]	1
GAINSpus	Upstream Gains Allocation	7.5.1.29.4		[0 ÷ 4095]	1
MREFPSDs	Downstream MEDLEY Reference PSD	7.5.1.29.7		see G.993.2	
MREFPSDus	Upstream MEDLEY reference PSD	7.5.1.29.8		see G.993.2	

R-10 The DCF SHALL support collection of the following status parameters:

Table 5 - Status parameters to be supported for collection

Acronym	Parameter	G.997.1 section	Unit	Range	Granularity
LINKSTATUS	Link Status (i.e. showtime, training, down, etc)	N/A			
XTSU	xDSL transmission system in use	7.5.1.1			
VDSL2-PROF	VDSL2 profile in use	7.5.1.2			
VDSL2-PSD&BPLAN	VDSL2 limit PSD mask and band plan in use	7.5.1.3			
VDSL2-USOMASK	VDSL2 US0 PSD Mask in use	7.5.1.4			
POWERSTATE	Line power management state	7.5.1.5		[0:3]	
adslAtucCurrStatus	adslAtucCurrStatus	N/A RFC 2662 [3]			
Xdsl2LineStatusXtu	Xdsl2LineStatusXtu	N/A RFC 5650 [9]			

R-11 The DCF SHALL support collection of the following xTU info parameters:

Table 6 - xTU info parameters to be supported for collection

Acronym	Parameter	G.997.1 section	Unit	Range	Granularity
xTU-C-CHIPVID	xTU-C G.994.1 Vendor ID	7.4.1		see G.994.1	
xTU-R-CHIPVID	xTU-R G.994.1 Vendor ID	7.4.2		see G.994.1	
xTU-C-SYSVID	xTU-C System Vendor ID	7.4.3		see G.994.1	
xTU-R-SYSVID	xTU-R System Vendor ID	7.4.4		see G.994.1	
xTU-C-VERNUM	xTU-C version number	7.4.5			
xTU-R-VERNUM	xTU-R version number	7.4.6			
xTU-C-SERNUM	xTU-C serial number	7.4.7			
xTU-R-SERNUM	xTU-R serial number	7.4.8			
xTU-C self test result	xTU-C self test result	7.4.9			
xTU-R self test result	xTU-R self test result	7.4.10			

R-12 The DCF SHALL support collection of the following ‘Vector of Profiles’ parameters, if supported by the DQM-ME:

Table 7 - Vector of Profiles parameters to be supported for collection

Acronym	Parameter	TR-165 section
DRds-PROF	DS Data Rate Profile	6.1
DRus-PROF	US Data Rate Profile	6.1
SPECT-PROF	Line Spectrum Profile	6.1
MSPSD-PROF	Mode Specific PSD Profile	6.1
DPBO-PROF	DPBO Profile	6.1
UPBO-PROF	UPBO Profile	6.1
RFI-PROF	RFI Profile	6.1
SNR-PROF	SNR Margin Profile	6.1
INPDEL-PROF	INP-Delay Profile	6.1
VN-PROF	Virtual Noise Profile	6.1

The inventory parameters refer to the Network Element (NE) which supports the DSL Service, e.g. a DSLAM or Access Node (AN). As the structure of inventory information for DSL Network Elements is specific to the architecture of the NE as implemented by the manufacturer the requirements related to collection of inventory information SHALL take into account these implementation specific differences. Additionally since the structure of the inventory information occurs in entities that can contain multiple DSL transceivers and thus is inherently 'above' the level of management of the DSL transceiver, the definition of the management objects is not part of the object definitions provided in DSL standards such as G.997.1 [18], or the IETF xDSL MIBS. The following generic requirements define the inventory requirements for a DSL NE, i.e. a DSL AN or DSLAM.

R-13 It SHALL be possible to query the NE to obtain a list of all DSLAM ports, line cards, shelves, etc., where the identification of the component corresponds to the equipment hierarchy inherent in the design of the NE. For example a DSLAM which is organized into shelf, line card, and port would identify the DSLAM port by the hierarchy of DSLAM ID, Shelf ID, Line Card ID, Port ID.

Note: An equipment hierarchy that meets the criteria defined in Telcordia TR GR-831 [31] or the TMF MTOSIv2 [32] for equipment hierarchy provides a suitable identification hierarchy. However, the specifics of the identification hierarchy are vendor dependent.

R-14 It SHALL be possible to query the administrative and operational states of any or all DSL ports or high level entities (e.g. line cards, shelves). As a minimum valid administrative states SHALL include: Enabled, Disabled. As a minimum valid operational states SHALL include: xDSL Service Up, xDSL Service Down.

R-15 The DCF SHALL support collection of the following 'Equipment Inventory' parameters:

Table 8 - Equipment inventory parameters to be supported for collection

Acronym	Parameter
NE_ID	Network element identifier
Line_ID	Line identifier (e.g., rack, shelf, slot, port number, see R-56)

The industry standards and practices listed in Section 5.1, interface B with respect to inventory SHOULD be used as the basis for these parameters.

6.1.2 Consistency and Accuracy Requirements for Collected DSL Parameters

R-16 TR-138 defines accuracy requirements for certain test parameters defined in G.997.1 [18]. Systems compliant with this recommendation SHALL meet the accuracy requirements defined in TR-138 when they support G.993.2 [15], G.992.3 [12] and G.992.5 [14].

- R-17 Section 5.12/TR-105 [22], Section 6/TR-105 [22], and Section 7/TR-105 [22] define consistency requirements for certain reported parameters for ADSL2/2plus. Consistency means that the values reported for the parameters are consistent with the actual state of the DSL Line. G.992.3 [12] and G.992.5 [14] systems compliant with this Recommendation SHALL meet the consistency requirements defined in these sections.
- R-18 Section 7/TR-115 [24] defines consistency requirements for certain reported parameters for VDSL2. Consistency means that the values reported for the parameters are consistent with the actual state of the DSL Line. G.993.2 [15] systems compliant with this Recommendation SHALL meet the consistency requirements defined in these sections of these TR's.
- R-19 Section 5/TR-138 [27] contains a discussion of the relationship between accuracy and consistency of reported parameters as observed throughout a the management environment for a DSL system, Figure 1/TR-138 [27] illustrates that a parameter that is reported consistently or accurately at the Q interface of a DSL Modem also needs to be reported with identical accuracy and consistency at the various 'potential observations points' throughout a management environment. In the case of a DQM system this requirement for consistency and accuracy of reported parameters SHALL apply at the various interfaces defined in Section 5 of this Technical Report.

6.1.3 Collected DSL Related Notifications

This section specifies the requirements about collection of the DSL related notifications generated by the DQM-ME upon crossing of the associated performance monitoring thresholds.

Those notifications associated to performance monitoring thresholds SHALL be all specified per 15-minute and per 24-hour intervals.

- R-20 The DCF SHALL support collection of the following notifications (upon crossing of the associated thresholds):

Table 9 - Threshold crossing notifications to be supported

Acronym	Parameter	G.997.1 section
linkUp	A linkUp trap is sent whenever the operational state of the xDSL line transits from 'down' state into some other state (except 'notPresent').	7.2.7.2*
linkDown	A linkDown trap is sent whenever the operational state of the xDSL line enters a 'down' state.	7.2.7.2*
FECS-L	Forward Error Corrections Seconds Line	7.2.1.1.1
FECS-LFE	Forward Error Corrections Seconds Line Far End	7.2.1.2.1
ES-L	Errored Seconds Line	7.2.1.1.2
ES-LFE	Errored Seconds Line Far End	7.2.1.2.2
SES-L	Severely Errored Seconds Line	7.2.1.1.3
SES-LFE	Severely Errored Seconds Line Far End	7.2.1.2.3
UAS-L	Unavailable Seconds Line	7.2.1.1.5
UAS-LFE	Unavailable Seconds Line Far End	7.2.1.2.5
LOSS-L	Loss Of Signal Seconds Line	7.2.1.1.4
LOSS-LFE	Loss Of Signal Seconds Line Far End	7.2.1.2.4
REINIT	Full initialization count	7.2.1.3.1
FAILINIT	Failed full initialization count	7.2.1.3.2
FEC-C	Forward Error Corrections Channel	7.2.2.1.2
FEC-CFE	Forward Error Corrections Channel Far End	7.2.2.2.2
CV-C	Code Violations Channel	7.2.2.1.1
CV-CFE	Code Violations Channel Far End	7.2.2.2.1

* See IETF RFC 2863 [5]

6.2 Data Collection Function Implementation

The data collection function is implemented as shown in Figure 2. The functional units depicted can be sited as either separate entities or within the same physical entity and some example configurations are shown in Appendix C. The DCF retrieves data from the DQM-MEs of network elements (NEs) through the DCF Southbound Interface as defined in Section 6.4. The DCF provides the collected data to the ADF (or other functional entities) through the DCF Northbound Interface as defined in Section 6.3.



Figure 2 - Data Collection Function

6.3 Data Collection Function “Northbound” Interface

The Data Collection Function Northbound interface is denoted “G” in the Figure 1.

R-21 The requirements in this section SHALL be implemented at the interface between the ADF and the DCF, if the DCF is not implemented in the same physical entity as the ADF. The requirements apply regardless of the DCF being implemented in the NE or in a physical entity separate from the NE. If the DCF is implemented in the same physical entity as the ADF, all the functionalities and behaviors as described in Section 6 SHALL be provided.

The following sub-clauses are general requirements for the specification of the DCF Northbound interface:

6.3.1 Interface Primitives

The DCF Northbound interface consists of an exchange of primitives between the ADF (or several ADFs or other functional entities) and the DCF. In the following the ADF is always mentioned as the counterpart entity communicating with the DCF via its Northbound interface. Nevertheless a DCF SHALL be able to interface with several ADFs or other functional entities. Primitives are listed in Table 10, with the related information fields carried by each primitive. ‘Request’ primitives are sent from the ADF to the DCF. ‘Confirm’ primitives are sent from the DCF to the ADF in immediate response to a request primitive. ‘Indicate’ primitives are sent autonomously from the DCF to the ADF.

Table 10 - Interface Primitives and Information Fields

Bulk collection		
Create_collection_task.request (task_name,resource_list, {parameter_list,collection_frequency,upload_frequency}, collection_filter, task_duration, priority_request_flag)		Create_collection_task.confirm (task_ID, errorcode)
Retrieve_collection_task_info.request (task_ID)		Retrieve_collection_task_info.confirm (task_ID, task_status, task_name,resource_list, {parameter_list,collection_frequency, upload_frequency}, collection_filter, task_duration, priority_request_flag, errorcode)
Retrieve_all_collection_tasks.request ()		Retrieve_all_collection_tasks.confirm ({task_ID, task_status}, errorcode)
Retrieve_all_active_collection_tasks.request ()		Retrieve_all_active_collection_tasks.confirm ({task_ID}, errorcode)
Delete_collection_task.request (task_ID)		Delete_collection_task.confirm (task_ID, errorcode)
Start_collection_task.request (task_ID)		Start_collection_task.confirm (task_ID, errorcode)
Stop_collection_task.request (task_ID)		Stop_collection_task.confirm (task_ID, errorcode)
Reporting		
Report_upload.request(task_ID)		Report_upload.confirm(task_ID, report, errorcode)
---		Report_upload.indicate(task_ID, report, errorcode)
Report_delete.request(task_ID)		Report_delete.confirm(task_ID, errorcode)
NOTE 1 – {xyz} denotes a set of xyz.		

6.3.1.1 Create_collection_task Primitives

R-22 The DCF SHALL support the *create_collection_task.request* primitive, with Information Fields as defined in Table 11. Upon receiving this primitive, the DCF SHALL create a data collection task, allocate its *task_ID* with initial task status set to *inactive*.

R-23 The *create_collection_task.request* primitive SHALL allow to configure the following parameters and their specified behaviour:

Table 11 - Create_collection_task primitive configurable parameters

Parameter	Description and behaviour
task_name	Name of the collection task according to the syntax specified in R-54

resource_list	<p>List of resources from which data are collected. See also R-31.</p> <p>As a minimum, this list SHALL be implemented down to a line-level granularity, i.e.:</p> <ul style="list-style-type: none"> ▪ resource_list = {NE_ID, {line_ID}} <p>Each resource in the list is specified according to the syntax in R-56.</p>
parameter_list	<p>List of parameters to be collected. See also R-31. The list SHALL be a group of parameters (or a subset thereof) as per the definitions and names in the relevant tables in Section 6.1.</p> <p>For each group of parameters (for which one or more parameter is to be collected), a parameter_list SHALL be included in the create_collection_task primitive.</p> <p>As a minimum, this list SHALL be implemented down to the granularity level of the “parameter groups” as per the definitions and names in the relevant tables in Section 6.1, i.e.:</p> <ul style="list-style-type: none"> ▪ parameter_list = parameter_group_ID <p>A granularity level down to single “parameters” as per the definitions and names in the relevant tables in Section 6.1, is optional, i.e.:</p> <ul style="list-style-type: none"> ▪ parameter_list = parameter_group_ID, {parameter_ID} <p>Each parameter in the list is specified according to the syntax in R-58.</p>
collection_frequency	<p>Defines the frequency of collection expressed as a function of the associated collection period (T_{coll}), i.e.:</p> <ul style="list-style-type: none"> ▪ collection_frequency = T_{coll} for the parameter group identified in parameter_list <p>For each group of parameters (for which one or more parameter is to be collected), a collection_frequency SHALL be included in the create_collection_task primitive.</p> <p>DCF mandatory values for T_{coll}:</p> <ul style="list-style-type: none"> ▪ 15 minutes ▪ 1h intervals ▪ 6h intervals ▪ 24h intervals <p>DCF optional values for T_{coll}:</p> <ul style="list-style-type: none"> ▪ 1 minute ▪ 3 minute ▪ 5 minute

upload_frequency	<p>Defines the frequency of uploads expressed as a function of the associated upload period (T_{upload}), i.e.:</p> <ul style="list-style-type: none"> ▪ $\text{upload_frequency} = T_{\text{upload}}$ for the related parameter group identified in <i>parameter_list</i> <p>For each group of parameters (for which one or more parameters are to be collected), an <i>upload_frequency</i> SHALL be included in the <i>create_collection_task</i> primitive.</p> <p>The value of the T_{upload} configured for a certain “group parameters” SHALL be a multiple of the correspondent T_{coll}: $T_{\text{upload_param_group}} = N * T_{\text{coll_param_group}}$ (N being an integer).</p> <p>DCF mandatory values for T_{upload}: $N * T_{\text{coll}}$, with N integer in the range from 1 to 100.</p> <p>An optional special value is defined for T_{upload} meaning no automatic upload, hence data retrieval is expected to be done asynchronously via <i>report_upload.request</i> primitive.</p>
collection_filter	<p>Introduces a filter over the set of resources listed in <i>resource_list</i>. The value of this parameter SHALL be dynamically recalculated once for every collection period configured in <i>collection_frequency</i>.</p> <p>The <i>collection_filter</i> SHALL be expressed as a logical combination of boolean equations on the parameters listed in Section 6.1.</p> <ul style="list-style-type: none"> ▪ If filter holds TRUE, data SHALL be collected ▪ If filter holds FALSE: data SHALL not be collected <p>DCF mandatory filters:</p> <p>As a minimum the following two filters about line defect status SHALL be supported:</p> <ul style="list-style-type: none"> ▪ ($\text{adslAtucCurrStatus} = \text{'noDefect'}$) (for ADSL lines), ▪ ($\text{xdsl2LineStatusXtuc} = \text{'noDefect'}$) (for ADSL/2/2plus and VDSL2 lines) <p>Note: <i>adslAtucCurrStatus</i> specified as per RFC 2662 [3]; <i>xdsl2LineStatusXtuc</i> specified as per RFC 5650 [9].</p> <p>DCF optional filters:</p> <p>Other logical combinations of boolean equations on the parameters listed in Section 6.1 are optional, e.g.:</p> <ul style="list-style-type: none"> ▪ ($\text{SNRMus} < 6 \text{ dB}$) & ($\text{CV-C} > 3$); ▪ ($\text{ADRs} > 20 \text{ Mbps}$).

task_duration	<p>Overall duration time of the collection task.</p> <p>The value of task duration SHALL not be lower than the highest configured T_{upload} and SHALL be an integer multiple of such value.</p> <p>DCF mandatory values: $N * \text{the highest configured } T_{upload}$, with N integer in the range from 1 to 100.</p>
Priority_request_flag	<p>Boolean value to indicate if flag is set or not.</p> <ul style="list-style-type: none"> ▪ TRUE: High priority has been requested. ▪ FALSE: Normal priority has been requested. FALSE is the default value <p>Procedures to enforce this flag are optional in the DCF and the DCF MAY implement procedures to enforce this primitive. Details of the implementation of behaviours related to this flag in the DCF are outside the scope of this Technical Report.</p>

R-24 The DCF SHALL acknowledge a *create_collection_task.request* primitive with a *create_collection_task.confirm* primitive. The *create_collection_task.confirm* primitive SHALL contain the following parameters and their specified behaviour:

Table 12 - Create_collection_task.request primitive parameters

Parameter	Description and behaviour
task_id	The unique identifier of the collection task according to the syntax specified in R-55.
task_status	The status of the collection task that its identifier is given by task_ID
errorcode	The errorcode will be defined according to the requirement defined in R-57.

6.3.1.2 Start_collection_task Primitives

R-25 The DCF SHALL support the *start_collection_task.request* primitive. Upon receiving it, with a *start_collection_task.request* primitive, the DCF SHALL start the collection task identified by *Task_ID* and change the task status to *active* if the DCF has sufficient resources to start the task. Otherwise the task SHALL not be started and the task status SHALL remain *inactive*.

R-26 The DCF SHALL acknowledge a *start_collection_task.request* primitive, upon receiving it, with a *start_collection_task.confirm* primitive. The *start_collection_task.confirm* primitive SHALL contain the *task_ID* and an *errorcode* to indicate the success or failure (including cause of failure) of the request execution.

- R-27 If the DCF is not integrated in a network element, it SHALL be able to create and start collection tasks involving data collection from multiple network elements.
- R-28 The DCF SHALL support independent start of collection tasks.
- R-29 The DCF SHALL support multiple collection tasks to be simultaneously in the *active* status.
- R-30 When the collection task status is *active*, the DCF SHALL collect the parameters identified by the set of *parameter_IDs*.
- R-31 The DCF SHALL collect all the parameters indicated in all the *parameter_list* instances from all the resources indicated in the *resource_list*, as requested in the primitive *create_collection_task.request*.
- R-32 The DCF SHALL collect the parameters with an interval as specified by the *collection_frequency*. The collection frequency indicates the frequency that the NE is polled for the requested parameter(s).
- R-33 The DCF SHALL perform the first collection within the collection period following the next time the wall clock passes the boundary of an interval that is part of the *collection_frequency*. The interval boundaries are either midnight for the daily collection, one of the quarters of a day for 6-hour collection, the hour for the hourly collection and one of the quarters for 15 minute collection.

NOTE: For example, if the current time is 14h32, a newly activated 15 minute collection will take place between 14h45 and 15h00, while a newly activated hourly collection will only start after 15h00 and a daily collection only after 00h00.

- R-34 The DCF SHALL make sure that the period between the start of consecutive collections is always as close to identical as possible.

NOTE: This means that a collection will always take place at the same time offset relative to the start of the interval. For example, if a 15 minute collection takes place at 15h07, then it will reoccur at 15h22, 15h37, 15h52, etc.

6.3.1.3 Stop_collection_task Primitives

- R-35 The DCF SHALL support the *stop_collection_task.request* primitive. Upon receiving this primitive, the DCF SHALL stop the collection task identified by *Task_ID* and change the task status to *inactive* at the end of current 15-minute interval, as aligned with the network/NE absolute time.
- R-36 The DCF SHALL acknowledge a *stop_collection_task.request* primitive, upon receiving it, with a *stop_collection_task.confirm* primitive. The *stop_collection_task.confirm*

primitive SHALL contain the *task_ID* and an *errorcode* to indicate the success or failure (including cause of failure) of the request execution.

R-37 The DCF SHALL support independent stop of collection tasks.

6.3.1.4 Retrieve_collection_tasks Primitives

R-38 The DCF SHALL acknowledge a *retrieve_collection_task_info.request* primitive with a *retrieve_collection_task_info.confirm* primitive. The *retrieve_collection_task_info.confirm* primitive SHALL contain the *task_ID*, *task_status*, the task's configuration parameters and an *errorcode* to indicate the success or failure (including cause of failure) of the request execution.

R-39 The DCF SHALL acknowledge a *retrieve_all_collection_tasks.request* primitive with a *retrieve_all_collection_tasks.confirm* primitive. The *retrieve_all_collection_tasks.confirm* primitive SHALL contain a list of the collection tasks (and their related *active* or *inactive* status) in the DCF and an *errorcode* to indicate the success or failure (including cause of failure) of the request execution.

R-40 The DCF SHALL acknowledge a *retrieve_active_collection_tasks.request* primitive with a *retrieve_active_collection_tasks.confirm* primitive. The *retrieve_active_collection_tasks.confirm* primitive SHALL contain a list of the *active* collection tasks in the DCF and an *errorcode* to indicate the success or failure (including cause of failure) of the request execution.

6.3.1.5 Delete_collection_task Primitives

R-41 The DCF SHALL support the *delete_collection_task.request* primitive. Upon receiving this primitive, the DCF SHALL delete the task identified by *task_ID* from its tasks list. When a task is deleted, the associated configuration parameters, task status and reports are deleted in the DCF.

R-42 The DCF SHALL acknowledge a *delete_collection_task.request* primitive, upon receiving it, with a *delete_collection_task.confirm* primitive. The *delete_collection_task.confirm* primitive SHALL contain the *task_ID* and an *errorcode* to indicate the success or failure (including cause of failure) of the request execution.

R-43 The DCF SHALL support independent deletion of collection tasks.

6.3.1.6 Reporting Primitives

R-44 For each collection task the DCF SHALL create a report of the collected parameters containing the following:

- *timestamp* uniquely identifies the time instant of collection. Every collected parameter value SHALL be traceable back to a timestamp.
- *incomplete_flag* identifies that the sample data associated to a collection period is incomplete for any reason. One single *incomplete_flag* is sufficient per each report.

Per each parameter the following data SHALL be contained in the Report:

- *resource_ID* uniquely identifies the collection resource on which the parameter has been collected.
- The *parameter_ID* uniquely identifies the parameter that has been collected as per the definitions and names in the relevant tables in Section 6.1
- *parameter_value* is the retrieved value of the parameter that has been collected.

The above bullet list defines the information content of the report and does not mandate any specific syntax. Syntax is for further study.

The *resource_ID* is defined in R-56.

- R-45 The DCF SHALL support the *report_upload.request* primitive for pull-upload (i.e., report retrieved by the OSS or a centralized server from the DCF) of collection task reports. Upon receiving this primitive, the DCF SHALL upload the report associated with the *task_ID* to the OSS or a central server. The *report_upload.request* SHALL be possible even if an automatic report upload has been configured (i.e. when the *upload_frequency* parameter is different from the optional special value).
- R-46 The DCF SHALL acknowledge a *report_upload.request* primitive with a *report_upload.confirm* primitive. The *report_upload.confirm* primitive SHALL contain the *task_ID*, the associated report and an *errorcode* to indicate the success or failure (including cause of failure) of the request execution.
- R-47 The DCF SHALL support the *report_upload.indicate* primitive for push-upload (i.e., report autonomously sent by DCF to the OSS or a centralized server) of collection task reports. This primitive SHALL contain the *task_ID*, the associated report and an *errorcode* to indicate the success or failure (including cause of failure) of the request execution.
- R-48 The DCF SHALL autonomously send a *report_upload.indicate* primitive at the end of every upload period, as per the configured *upload_frequency* parameter.
- R-49 The DCF SHOULD support report deletion after automatic upload is successfully performed.
- R-50 The DCF SHALL support explicit report deletion (i.e., delete a report on request, regardless whether report has already been uploaded or not).

- R-51 The DCF SHALL support the *report_delete_request* primitive. Upon receiving this primitive, the DCF SHALL delete from the report associated with the *Task_ID*, all collected data up to last 15-minute interval. The task status SHALL not be changed (i.e., if currently *active*, the task SHALL continue to collect data).
- R-52 The DCF SHALL acknowledge a *report_delete.request* primitive with a *report_delete.confirm* primitive. The *report_delete.confirm* primitive SHALL contain the *task_ID* and an *errorcode* to indicate the success or failure (including cause of failure) of the request execution.

6.3.2 Format of the Information Fields

- R-53 The syntax of the *timestamp* information fields SHALL be either the number of seconds since 1 January 1970 (UNIX time) or alphanumeric in “DDMMYYYY-HHMMSS” format.
- R-54 The *task_name* SHALL be an ASCII string of up to 128 characters.
- R-55 The *task_ID* SHALL be a 32-bit unsigned integer.
- R-56 The *resource_ID* SHALL be a string that identifies each DSL port by its hierarchy, that is, by specifying the associated *NE_ID* (network element) and *line_ID* (e.g. rack, shelf, slot and port number).

NOTE: For example, for the resource AB_IPDSLAM103, rack=1, shelf=1, slot=3, port=25, the *resource_ID* string could be: “AB_IPDSLAM103-001-001-003-025”.

- R-57 The *errorcode* SHALL be a 32-bit unsigned integer. One value SHALL indicate that the execution was successful while all other values indicate that the execution failed.
- R-58 The format of the *parameter_ID* information field SHALL be an alphanumeric string that uniquely identifies the parameter according to the parameter names in Section 6.1.
- R-59 The *parameter_value* information field SHALL be formatted as relevant for the type of object that is requested.

6.3.3 Interface Protocol

The interface protocol to transport the primitives and their information fields is outside the scope of TR-198.

6.4 Data Collection Function “Southbound” Interface

The Data Collection Function Southbound interface is denoted “A” and “B” in the Figure 1.

R-60 The requirements in this section SHALL be implemented at the interface between the DCF and the NE, if the DCF is not integrated into the Network Element. The requirements apply regardless of the DCF being implemented in the same physical entity as the ADF or in a physical entity separate from the ADF.

If the DCF is integrated into the Network Element, its Southbound interface would be internal to the NE and outside the scope of TR-198.

R-61 This interface SHALL allow the DCF to access the parameters listed in Section 6.1 in the DQM-ME. This interface relates to G.997.1 [18], TR-129 [25], and TR-165 [28] for the list of managed objects and the profiling of configuration parameters.

R-62 The underlying protocol MAY be SNMP (per RFC 2662 [3]/4706 [8]/5650 [9]) or XML or other.

6.5 DCF Performance Requirements

This section specifies the minimum DCF performance requirements in terms of the aggregate processing/memory/data-transfer capabilities necessary to support the referenced combined data collection task given below in the table in R-63. The referenced combined data collection task applies only with respect to the scope of the performance requirements in this section and has been defined with the purpose of identifying a minimum conventional load the DCF ought to be able to support. The collection and upload frequency values indicated below do not supersede the requirements for collection and upload frequency in R-24.

The concept of basic collection tasks as well as combined collection tasks are illustrated in Appendix B along with informative use-case examples.

- R-63 The DCF SHALL implement processing/memory/data-transfer capabilities such to support, as a minimum, the equivalent load of the following combined data collection tasks:

Table 13 - DCF minimum required processing/memory/data transfer capabilities

N. of lines/nodes	Collection frequency/duration	Upload frequency
One or more tasks over 100% lines per node	<u>Collection frequency</u> <ul style="list-style-type: none"> ▪ Scalars: every 15-min ▪ Framing: every 24-h ▪ Performance: every 15-min ▪ Vectorial: every 6-h ▪ Link Status: every 15-min ▪ xTU Info: every 24-h ▪ VoP: every 15-min ▪ Traps: every 15-min (as spontaneously generated) <u>Collection Duration</u> Every day.	Upload frequency <ul style="list-style-type: none"> ▪ Scalars: every [15 to 60] min ▪ Framing: every 24-h ▪ Performance: every [15 to 60] min ▪ Vectorial: every [6 to 24] h ▪ Link Status: every [15 to 60] min ▪ xTU Info: every 24-h ▪ VoP: every [15 to 60] min ▪ Traps: every [15 to 60] min
Single-line tasks over 10% lines per node	<u>Collection frequency</u> <ul style="list-style-type: none"> ▪ Scalars: every 15-min ▪ Framing: every 24-h ▪ Performance: every 15-min ▪ Vectorial: every 15-min ▪ Link Status: every 15-min ▪ xTU Info: every 24-h ▪ VoP: every 15-min ▪ Traps: every 15-min (as spontaneously generated) <u>Collection Duration</u> Over 1 day.	Upload frequency <ul style="list-style-type: none"> ▪ Scalars: every [15 to 60] min ▪ Framing: every 24-h ▪ Performance: every [15 to 60] min ▪ Vectorial: every [15 to 60] min ▪ Link Status: every [15 to 60] min ▪ xTU Info: every 24-h ▪ VoP: every [15 to 60] min ▪ Traps: every [15 to 60] min

The upper bound for certain upload periods indicated in the table above are specified only to define the reference processing/memory/data-transfer capabilities via the combined use cases.

6.6 Functional Requirements

This clause defines functional requirements for the DCF.

- R-64 The accuracy and consistency of the DSL parameters as provided by the DQM-ME SHALL be maintained throughout the data collection process.
- R-65 For scalar and vectorial parameter groups (defined in Section 6) the DCF SHALL sample the parameter values for a single line over the shortest possible period in order to give the best possible time correlation among the collected parameters.
- R-66 The DCF SHOULD sample the parameter values for a single line over the shortest possible period in order to give the best possible time correlation among the collected parameters.

7 DQM Management Entity

7.1 DQM-ME Data collection performance requirements

This section specifies the minimum DQM ME performance requirements in terms of the aggregate processing/memory/data-transfer capabilities necessary to support the referenced combined use cases given below in the table in R-49. The referenced combined use cases applies only with respect to the scope of the performance requirements in this section and has been defined with the purpose of identifying a minimum conventional load the DQM ME data collection SHALL be able to support. The collection frequency values indicated below do not supersede the requirements for collection frequency in R-15.

If the DCF is implemented in a physical device separate from the NE, the number of lines over which the DQM-ME is able to support the combined uses is limited by the SNMP protocol running on the DCF to DQM-ME interface (see NOTE to the Table in R-49).

The concept of combined use cases is illustrated in Appendix B along with informative use-case examples.

R-70 The DQM-ME SHALL implement processing/memory/data-transfer capabilities such to support, as a minimum, the equivalent load of the following combined use case:

Table 14 - DQM-ME minimum required processing/memory/data transfer capabilities

N. of lines/nodes	Collection frequency
Use case 1: Over 100% of the lines	<ul style="list-style-type: none"> ▪ Scalars: every 15-min ▪ Framing: every 24-h ▪ Performance: every 15-min ▪ Vectorial: every 6-h ▪ Link Status: every 15-min ▪ xTU Info: every 24-h ▪ VoP: every 15-min
Use case 2: Over 10% of the lines (see NOTE)	<ul style="list-style-type: none"> ▪ Scalars: every 15-min ▪ Framing: every 24-h ▪ Performance: every 15-min ▪ Vectorial: every 15-min ▪ Link Status: every 15-min ▪ xTU Info: every 24-h ▪ VoP: every 15-min
<p><u>NOTE: If the DCF is implemented outside the NE, then it is assumed that SNMP will be used in order to collect the data and if this is the case, then the combined use case SHALL be limited to 100 lines for NEs supporting more than 100 lines (i.e. use case 1 on 100 lines and use case 2 on 10 lines).</u></p>	

7.1.1 Consistency and Accuracy Requirements for Collected DSL Parameters

Sections 5.12, 6 & 7/TR-105 [22] and Section 7/TR-115 [24] define consistency requirements for certain reported parameters for ADSL2/2plus & VDSL2 respectively. Consistency means that the values reported for the parameters are consistent with the actual state of the DSL Line.

Section 5/TR-138 [27] contains a discussion of the relationship between accuracy and consistency of reported parameters as observed throughout a the management environment for a DSL system, Figure 1/ TR-138 [27] illustrates that a parameter that is reported consistently or accurately at the Q interface of a DSL Modem also needs to be reported with identical accuracy and consistency at the various ‘potential observations points’ throughout a management environment. TR-138 [27] also defines accuracy requirements for certain test parameters defined in G.997.1 [18].

- R-71 Systems compliant with G.997.1 [18] SHALL meet the accuracy requirements defined in TR-138 [27] when they support G.993.2 [15], G.992.3 [12] and G.992.5 [14]
- R-72 Systems compliant with G.992.3 [12] and G.992.5 [14] SHALL meet the consistency requirements defined in Sections 5.12, 6 & 7/TR-105 [22].
- R-73 Systems compliant with G.993.2 [15] SHALL meet the consistency requirements defined in Section 7/TR-115 [24] .
- R-74 The consistency and accuracy of reported parameters at the Q interface of a DSL Modem SHALL be identically applied at the various interfaces defined in Section 5 of this Technical Report.

8 DQM Analysis & Diagnosis Function

8.1 Diagnosis Function

The Diagnosis Function offers a set of line diagnosis capabilities providing on-demand investigation of equipment and line problems affecting service quality. Data gathered from DSL operators who have upgraded their infrastructure to multi-megabit rates, indicates that some lines become unstable or exhibit high levels of packet loss.

All forms of line instability manifest themselves in a non-systematic way and are also non-stationary. Diagnosing these types of problems is labor intensive and time consuming, and can erode the OPEX budget if the DSL network is not supported by appropriate management tools. The Diagnosis Function handles this problem by monitoring the behavior of a DSL line in detail. It performs an automated data analysis and formulates possible causes for the line defect.

The Diagnosis Function supports both DSL specialists and front-line customer support personnel in service assurance activities. The diagnostic process involves running a panel of diagnostic scenarios and interpreting the resulting values. The test panel selection depends on the problem experienced. Different diagnostic scenarios can be run as part of a troubleshooting activity. A line inspection is launched on lines to investigate a customer complaint or as part of a preventive maintenance operation.

Not all of the problems causing service degradation require monitoring of DSL behavior over time. Some of the problems can be diagnosed directly by looking at the current operating mode. Also, in a customer-care environment, it is necessary to have immediate feedback so that the customer care representative can provide customer advice on the phone.

8.2 Analysis Function

The Analysis Function adds the capabilities for proactive or preventive infrastructure management. This is achieved by a regular assessment of the installed base and comparing it against the individual requirements of the services deployed in the network. The performance and stability snapshot reports of the DSL network enables infrastructure management capabilities ranging from simple performance verification based on the classification reports to automated optimization of the line profile when combined with the New Profile Selection module.

The ADF requirements are for further study.

9 New Profile Selection Function

With the rollout of triple-play and other high demanding services, the copper infrastructure is used more intensively than during the early deployment phase of best-effort HSI access. This increases the sensitivity of the DSL to the environment. Line instability is induced by noise interference and in-house cabling issues and characterized by a non-stationary behavior that is almost impossible to predict. A similar situation can be observed in scenarios where the service coverage is increased.

When planning DSL line configuration for maximum reach or rate one has two approaches to choose from:

- **Aggressive pre-qualification:** Using this approach, pre-qualification rules are primarily based on parameters related to loop topology, taking only a moderate noise environment into account. This means an increased level of instability which results in customer complaints and follow-up costs.
- **Conservative pre-qualification:** With this approach, pre-qualification takes both loop topology and noise into account using a static model based on worst-case assumptions. Clearly, the drawback of this approach is drastically reduced service coverage.

New Profile Selection introduces a dynamic feedback mechanism on top of the Analysis and Diagnosis Function. This allows the line configuration to be adjusted to ultimately obtain a better line behavior. This process is automated, enabling the adjustment to be done on a line-by-line basis.

Full control ought to be provided to the operator over what exactly New Profile Selection (NPSF) is allowed to do and for which line NPSF is enabled such that the line is still offering the service according to the best/most optimal DSL setup. This is handled by the Constraints and Network Profiles function.

The line reconfiguration is accomplished by the Profile Configuration function, which is the service provisioning application and also performs the initial line configuration as part of the fulfillment process.

The *New Profile Selection Function* requirements are for further study.

10 Profile Configuration Function

The Profile Configuration Function is implemented as shown in Figure 3. Possible deployment scenarios are shown in Appendix D.

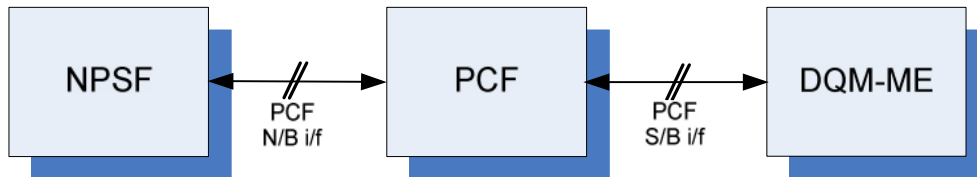


Figure 3 - Profile Configuration Function

Requirements for the *Profile Configuration Function* functionality and its NBI and SBI are for further study.

11 DQM Control Function

Requirements for the *DSL Quality Management* control function are for further study.

12 Quality Exception Generation Function

Requirements for the *Quality Exception Generation Function* are for further study.

Appendix A. Relationship of the DQM Functional Model to the ATIS Dynamic Spectrum Management TR Reference Diagram.

The ATIS Dynamic Spectrum Management Technical Report (DSM TR) [30] provides guidance on the use of Dynamic Spectrum Management for optimization of the physical layer of DSL systems. This Technical Report can be considered to include concepts introduced in the ATIS DSM TR and provides additional details with respect to the functional interfaces required both to the DSL Network Elements and the Operations functions provided by a Network Operator.

Figure 4-1 in the ATIS DSM TR provides a reference diagram for DSM and is shown in Figure 4.

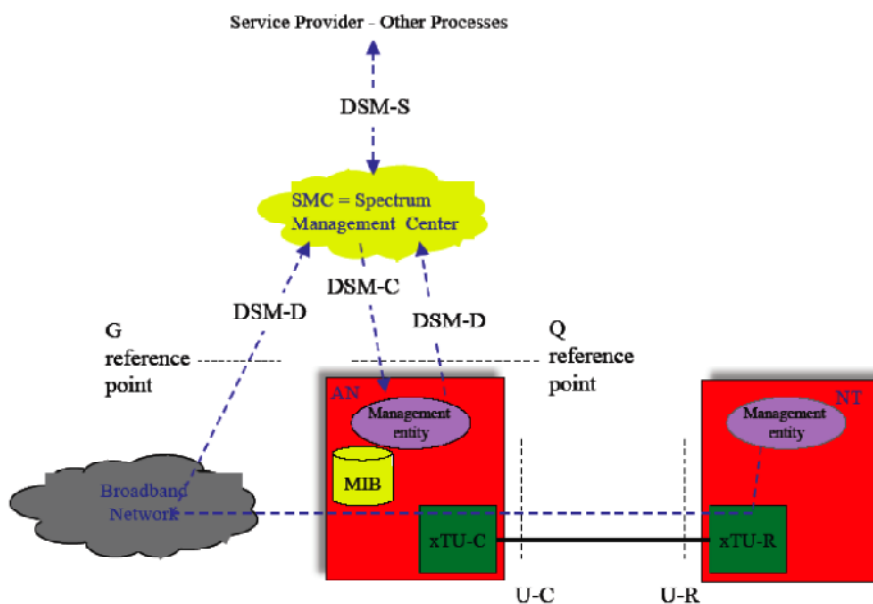


Figure 4-1 DSM Reference Diagram

Figure 4 - The Reference Model from the ATIS DSL TR

[source: ATIS 0600007, *Dynamic Spectrum Management Technical Report*, used with permission]¹

The three DSM interfaces toward the Spectrum Management Center (SMC) are the DSM-D, DSM-C and DSM-S.

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The DSM-D is concerned with interfaces that allow the SMC function to access G.997.1 [18] information on the state of the DSL connection needed to support DSM functionality. The DSM-C relates to interfaces from the SMC to the Network Elements that use G.997.1 [18] control parameters to re-profile the DSL connection. The DSM-S refers to data flows from the SMC to other operations functionality implemented by the Network Operator.

Figure 5 illustrates the relationship between the ATIS DSM TR reference diagram and the functional model for this Technical Report. In as much as the DQM functions inside the blue box provide the capabilities that can be used for DSM they would be implemented in an SMC with the DSM interfaces as shown. This Technical Report provides requirements with respect to the functionalities and processing capability required to perform DQM functions. To this end the figure below does not imply equivalence between the functions and interfaces defined in this Technical Report and those in the ATIS DSM TR.

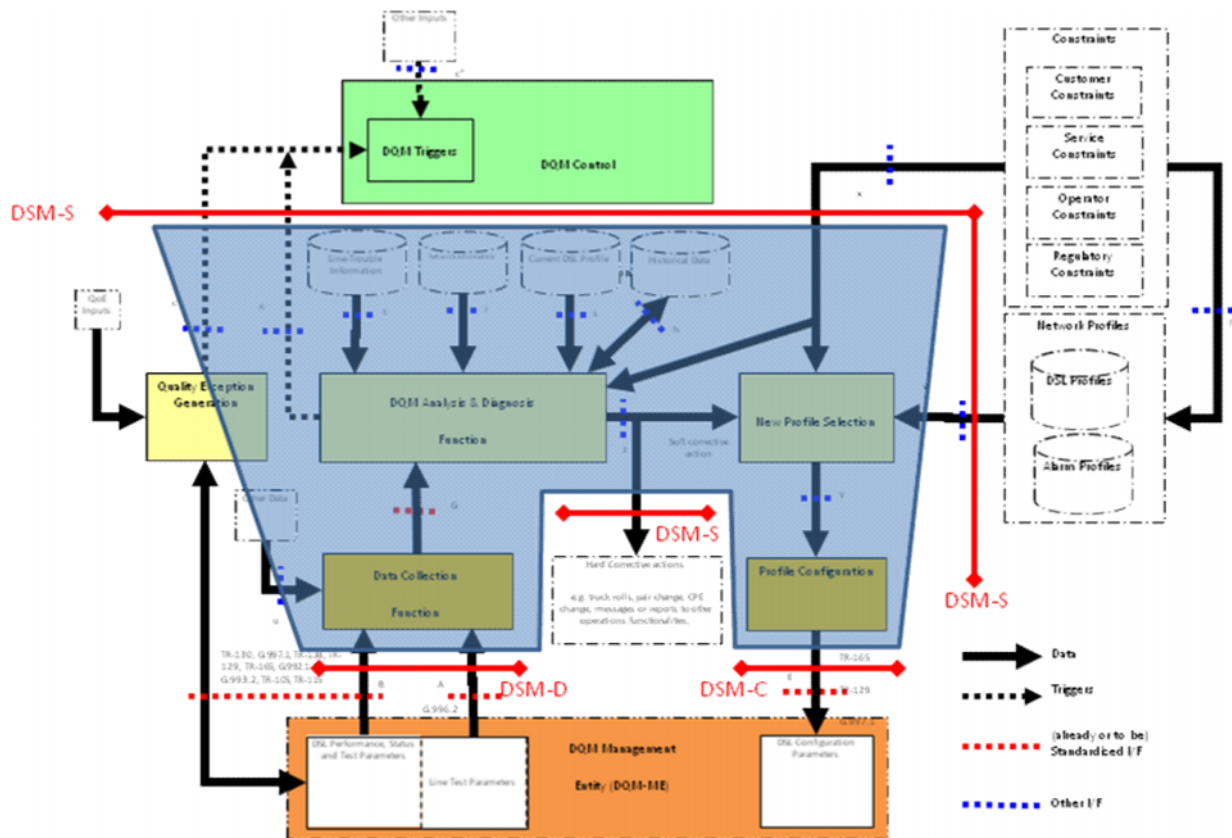


Figure 5 - Relation of DSM TR Reference to DSL Quality Suite Functional Reference Model

Appendix B. DSL Data Collection Use Cases

This appendix describes basic and combined use cases for DSL data collection that Operators consider representative of current and foreseeable future needs. These use cases are not intended to be the only ones mandatorily supported by implementations, rather they provide examples to study the processing, memory and responsiveness capabilities inherently required.

The use cases are listed in a table containing:

- Use case name: based on typical Network Operation processes
- Objective: the goal of this specific type of collection task
- Number of lines/nodes: roughly indicates the number of resources involved in one program based on this type of collection task
- Collection frequency/duration: indicates the desirable frequency for collecting the associated parameters samples and a reasonable overall duration of the collection task

Type of parameters: indicates the parameters sets to be collected to support analysis and diagnosis activities fed by this type of collection task.

Basic use cases refer to a Data Collector Function (either on the access node or on a different system):

Table 15 - Basic use cases

Use case name	Objective	N. of lines/nodes	Collection frequency/duration	Parameters groups (see §6.1/TR-198)							Notes	
				Scalars	Framing	Performance	Vectorial	Link Status	Line Timestamp	xTU Info		VoP
Network Trend Analysis (NTA)	Long term, network wide monitoring to identify trends and possible weaknesses for infrastructural interventions and/or proactive maintenance.	max 100% lines per node over 100% nodes	Every 15-min/6-h/24-h. Every day.	X		X	X	X	X	X	X	
Proactive Assurance (PA)	Short term, network wide monitoring to identify lines with high error rate or with poor bit rate performances.	max 30% of lines over 100% nodes	Every 15-min/6-h. Over 3 days.	X	X	X	X	X	X	X	X	15-min Performance Monitoring registers over last 24 hours (Note)
Binder survey (BSurv)	Medium term monitoring to gather overall <u>binder</u> information to understand a common fault/degrade condition or decide about service upgrades.	10-100 lines of a binder	Every 15-min/6-h Over 21 days.	X		X	X	X	X			X
Node survey (NSurv)	Medium term monitoring to gather overall <u>node</u> information to understand a common fault/degrade condition or decide about service upgrades.	50% of lines of each node, 1-5 nodes	Every 15-min/6-h. Over 21 days.	X		X	X	X	X			X
Area survey (ASurv)	Medium term monitoring to gather overall (CO, metropolitan) <u>area</u> information to understand a common fault/degrade condition or decide about service upgrades	10% of lines, 10-100 nodes	Every 15-min/6-h. Over 30 days	X		X	X	X	X			X

				Parameters groups (see §6.1/TR-198)																		
Pre-Qualification (Pre-Q)	To correlate “real life” data with the data from a DSL network planning database and update the data if necessary. Determine additional parameters to optimize resp. improve the data quality. This helps giving the customer more precise information about DSL availability and performance and the related services (e. g. Triple play, IPTV).	100% of lines over 100% of nodes	Every 1 hour. Every day.																			
Zero Touch Provisioning (ZTP)	To support a zero-touch provisioning process that enables the Access Node to retrieve a DSL port configuration profile the very first time a subscriber connects to the network, i.e. without the profile data being pre-configured on the Access Node. Reference: TR-147 Appendix 1.	1 line	One-shot. Triggered by first DSL sync.																			Individual collection per line randomly triggered by first time DSL synchronization.
Intensive Care (IC)	To inspect or analyse lines that are suspected to be experiencing some problem condition or have recently been modified and are expected to require closer inspection post modification.	Max 1% of the number of lines that can be served from an NE	Scalar params: every 60-s All other params: every 15-min Over max 48 hours.																			

				Parameters groups (see §6.1/TR-198)												
Line Trouble-Shooting (LTS)	Collection of historical data from NE for diagnostic purposes triggered by customer complaints or to record line variations before a truck-roll.	1 line	Every 15-min. Over 1 day.	X	X	X	X	X	X	X	X	X	X	X		
Line re-Profiling (Lre-P)	Short term monitoring to select appropriate profile wrt line conditions (i.e. improve stability or performances, which MAY include as a value a service upgrade). This is associated to service activation or upgrade or triggered by automatic processes such as those for proactive assurance or by customer complaints.	1 line	Every 15-min/6-h. Over 3 days.	X	X	X	X	X					X	X		
Periodic Scan to Support Historical DQM Analysis	Collect data to allow the DQM Analysis function to generate diagnostic information and select lines for re-profiling based on collected data. Certain poorly performing lines are selected for additional processing based on this data and this collected data is used in the re-profiling analysis.	max 100% lines per node over 100% nodes	Once Daily/Continuing Process	X	X	X	X	X	X	X	X					
Directed data retrieval	Support of immediate DQM analysis on an on demand basis for a single line Does not occur on a predetermined schedule	1 line	One shot of instantaneous data and historic 15-min data	X	X	X	X	X	X	X	X	X	X	X		MAY be stimulated by technician action, request by an OSS/NMS or by a trap received by DQM control function

Note: this implies permanent availability of indicated parameters for all active lines at each Network Element.

The above use cases represent the basic categories of collection tasks associated to specific network activities. They cover either *background* data collection (NTA, PA, BSurv, NSurv, ASurv, Pre-Q) carried out for trend or proactive analysis, surveying or pre-qualification purposes, spanning over many lines and, generally speaking, nodes. The remaining ones (ZTP, IC, LTS, Lre-P) are

single-line use cases associated to a specific network phase in the life of a customer line (e.g. first-time provisioning, monitoring and troubleshooting, service upgrade, service assurance).

In a real network concurrent activities are carried out leading to a combination of collection tasks taking place. For example, on a node at a given time, one or more background collections and several single-line ones could be taking place.

The following combined use cases that are considered representative of the processing/memory/data-transfer load to be supported by the DDC and, consequently, by network nodes to fulfil the typical network collection needs.

The indicated percent of lines represents the “combined peak load” for all concurrent *background* and *single-line* activities respectively.

It is assumed that the processing/memory/data-transfer capabilities of the DCF and the network nodes can be flexibly exploited thus allowing the foreseeable variety of real network collection tasks with a load equivalent to these combined use cases.

Table 16 - Combined use cases

Combined use case name	Basic use cases	N. of lines/nodes
NTA100%<S10%	Network Trend Analysis (NTA)	100% lines per node over 100% nodes
	Line Trouble-Shooting (LTS)	single-line LTS tasks over 10% lines per node
Pre-Q100%&IC10%	Pre-Qualification (Pre-Q)	100% of lines over 100% of nodes
	Intensive Care (IC)	single-line IC tasks over 10% lines per node

Appendix C. Possible Deployment Scenarios for DCF

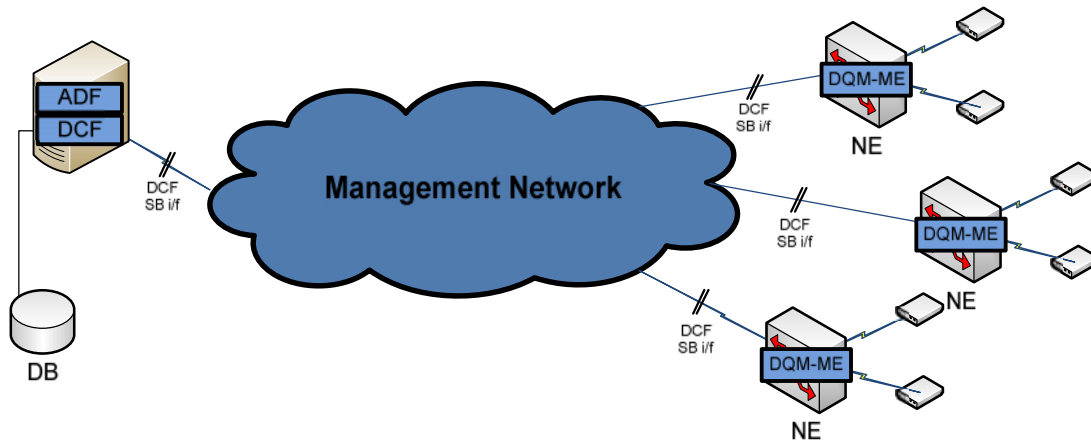


Figure 6 - Centralised Data Collection from Management Server

The Figure above depicts the scenario where the Data Collection Function is located within the same physical entity as the ADF. The DCF retrieves data from all the broadband network elements (NEs) in the managed network via the DCF Southbound Interface defined in Section 6.4.

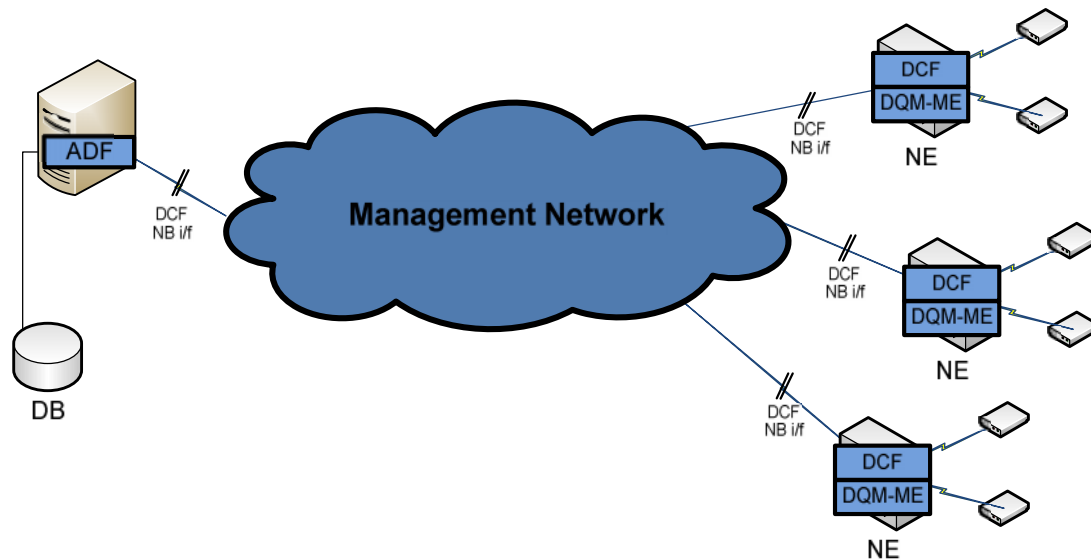


Figure 7 - Distributed Data Collection Function in NE

The figure above depicts the scenario where the data collection function is implemented in an entity that is physically separate from the ADF function. In this example, the DCF is integrated into the NE.

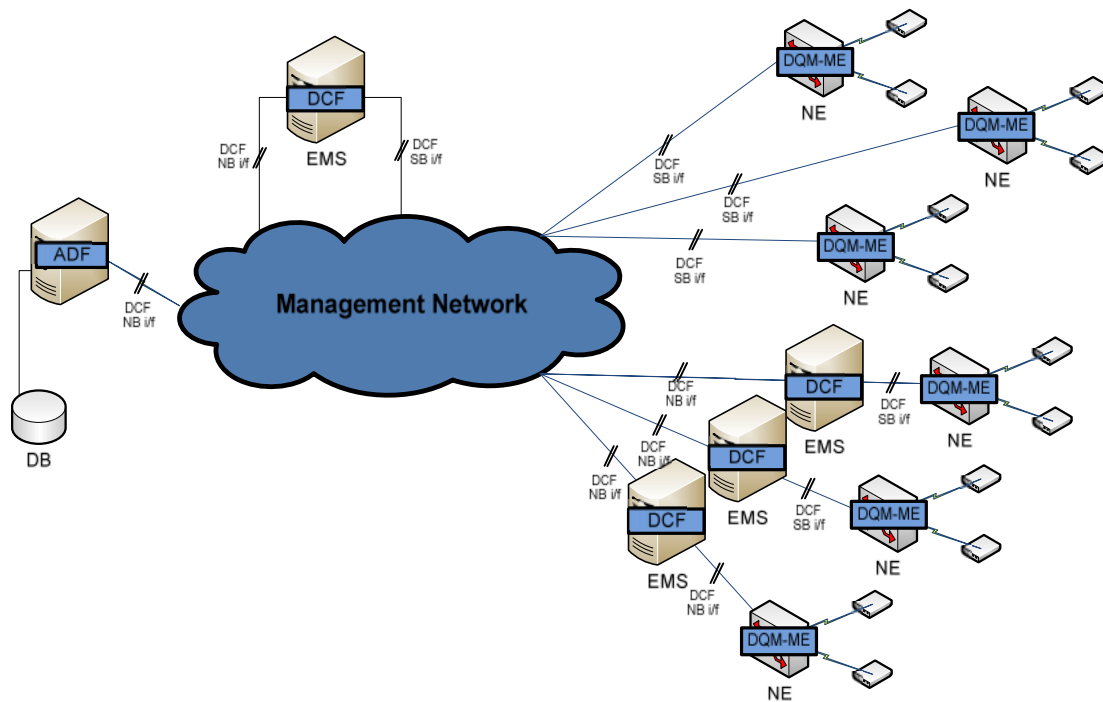


Figure 8 - Distributed Data Collection Function external to NE

The above figure depicts the scenario where the Data Collection Function is implemented external to the NE. In this particular example, the DCF is located on the network element manager but it could be a standalone Data Collection implementation.

Note : Potentially multiple ADFs or other functional entities could communicate with a single DCF and in such situations multiple Northbound interfaces would exist.

Appendix D. Possible Deployment Scenarios for PCF

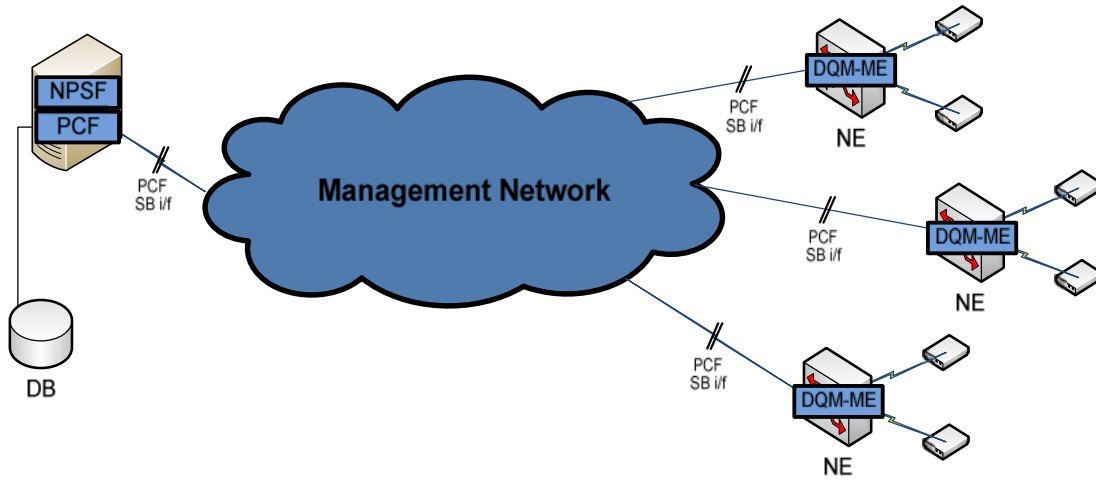


Figure 9 - Profile Configuration Function on same physical entity as NPSF

The above figure depicts the scenario where the Profile Configuration Function is located on the same physical entity as the NPSF. The PCF sends all the profile configuration data via the PCF Southbound interface.

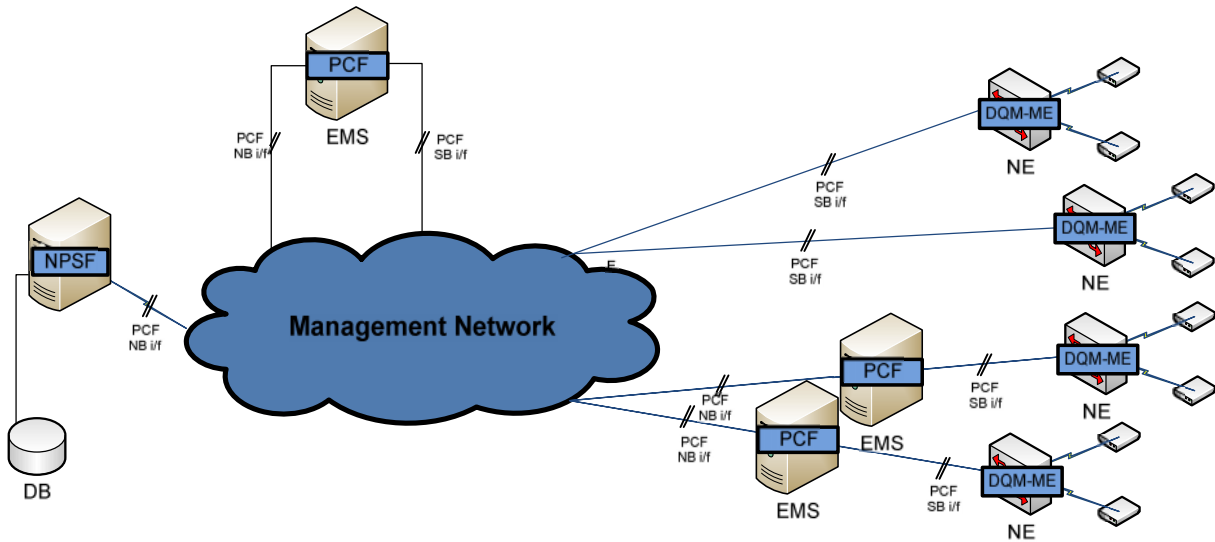


Figure 10 - Profile Configuration Function located in Element Manager

In this particular example, the PCF is located on the network element manager but it could be a standalone profile configuration function implementation.

Potentially multiple NPSFs could communicate with a single PCF and in such situations multiple Northbound interfaces would exist.

End of Broadband Forum Technical Report TR-198