

## Sensitive Delegation Information

# **Report on the Delegation of the پاکستان. (“Pakistan”) domain representing Pakistan in Arabic Script to National Telecommunication Corporation**

17 January 2017

This report is a summary of the materials reviewed as part of the process for the delegation of the .xn--mgbai9azgqp6j (پاکستان.) top-level domain. It includes details regarding the proposed delegation, evaluation of the documentation pertinent to the request, and actions undertaken in connection with processing the delegation.

## **FACTUAL INFORMATION**

### **Country**

The “PK” ISO 3166-1 two-letter country code from which the application’s eligibility derives, is designated for use to represent Pakistan.

### **String**

The domain under consideration for the delegation at the DNS root level is “پاکستان”. This is represented in ASCII-compatible encoding to the IDNA specification as “xn--mgbai9azgqp6j”. The individual Unicode code points that comprise this string are U+067E, U+0627, U+06A9, U+0633, U+062A, U+0627, U+0646.

In Urdu language, the string has a transliteration equivalent to “Pakistan” in English. The string is expressed using the Arabic script.

### **Chronology of events**

Beginning early 2008, the Ministry of Information Technology (MoIT) of Pakistan held multiple workshops and meetings regarding the Internationalized Domain Names (IDN) for Pakistani Languages. These included a first workshop held in April 2008 on particular character set choices for local languages of Pakistan and a second workshop held in May 2009 on finalizing language table and implementation details for IDNs in Pakistani languages.

The MoIT also formed a Main Technical Committee constituted of relevant local Internet community stakeholders which held the first main IDN ccTLD Committee meeting in October 2009. Three sub-committee meetings were also held later in 2010 addressing technical, language table and policy issues.

Following the above workshops and committee meetings, on 25 October 2010, an application was made to ICANN's "IDN Fast Track" process to have the string "پاکستان" recognized as representing Pakistan in Arabic script.

On 7 January 2011, a review by the IDN Fast Track DNS Stability Panel found that the applied-for string "presents none of the threats to the stability or security of the DNS identified in Module 4 of the Fast Track implementation plan, and presents an acceptably low risk of user confusion." The request for the "پاکستان" string to represent Pakistan was subsequently approved.

More workshops and meetings were held in the following years by the MoIT and Main Technical Committee addressing various issues including local content development, design of a single Pakistani Languages Keyboard, finalization of draft policy guidelines and selection of a IDN ccTLD registry manager.

On 3 June 2015, the Main Technical Committee agreed to appoint the National Telecommunication Corporation (NTC) as the registry manager for the پاکستان. IDN ccTLD. NTC was created under the Act of Parliament in 1996 (Pakistan Telecommunication Re-Org Act 1996), and has acquired license per Telecom Legal and Regulatory Framework of Pakistan to establish a comprehensive setup of telecommunication infrastructure and Domain Name System (DNS) for provision of telecom, data and Internet services.

On 30 July 2015, the Ministry of Information Technology commenced a request for the delegation of پاکستان. as a top-level domain. The request was temporarily closed while the requestor remedied some deficiencies. On 22 April 2016, NTC then submitted a new ticket to continue the delegation request.

### **Proposed Manager and Contacts**

The proposed manager is National Telecommunication Corporation (NTC). It is based in Pakistan.

The proposed administrative contact is Miraj Gul, Director of NTC. The administrative contact is understood to be based in Pakistan.

The proposed technical contact is Muhammad Kashif Fayyaz, Divisional Engineer of NTC.

### **EVALUATION OF THE REQUEST**

#### **String Eligibility**

The top-level domain is eligible for delegation as the string has been deemed an appropriate representation of Pakistan through the ICANN Fast Track String Selection process, and Pakistan is presently listed in the ISO 3166-1 standard.

## **Public Interest**

Government support was provided by Ejaz Ahmed, Section Officer, Ministry of Information Technology.

Additional support letters were provided by the following:

- Wahaj us Siraj, Convener, Internet Service Providers Association of Pakistan
- Naveed Haq, Chapter Development Manager, Asia-Pacific Internet Society
- Sher Afgun Khan, System Analyst, National Information Technology Board
- Saif Ur Rehman Korai, Director Projects, Pakistan Software Export Board
- Sohaib Saleem, President, Internet Society Pakistan Islamabad Chapter

The application is consistent with known applicable laws in Pakistan. The proposed manager undertakes responsibilities to operate the domain in a fair and equitable manner.

## **Based in country**

The proposed manager organization is constituted in Pakistan. The proposed administrative contact is understood to be a resident of Pakistan. The registry is to be operated in Pakistan.

## **Stability**

The application does not involve a transfer of domain operations from an existing domain registry, and therefore stability aspects relating to registry transfer are not relevant.

The application is not known to be contested.

## **Competency**

The application has provided information on the technical and operational infrastructures and expertise that will be used to operate the proposed new domain.

Proposed policies for management of the domain have also been tendered.

## **EVALUATION PROCEDURE**

PTI is tasked with coordinating the Domain Name System root zone as part of a set of functions governed by a contract with ICANN. This includes accepting and evaluating requests for delegation and transfer of top-level domains.

A subset of top-level domains are designated for the local Internet communities in countries to operate in a way that best suits their local needs. These are known as

country-code top-level domains (ccTLDs), and are assigned to responsible managers that meet a number of public-interest criteria for eligibility. These criteria largely relate to the level of support the manager has from its local Internet community, its capacity to ensure stable operation of the domain, and its applicability under any relevant local laws.

Through the IANA Services performed by PTI, requests are received for delegating new ccTLDs, and transferring or revoking existing ccTLDs. An investigation is performed on the circumstances pertinent to those requests, and, the requests are implemented where they are found to meet the criteria.

### **Purpose of evaluations**

The evaluation of eligibility for ccTLDs, and of evaluating responsible managers charged with operating them, is guided by a number of principles. The objective of the assessment is that the action enhances the secure and stable operation of the Internet's unique identifier systems.

In considering requests to delegate or transfer ccTLDs, input is sought regarding the proposed new manager, as well as from persons and organizations that may be significantly affected by the change, particularly those within the nation or territory to which the ccTLD is designated.

The assessment is focused on the capacity for the proposed manager to meet the following criteria:

- The domain should be operated within the country, including having its manager and administrative contact based in the country.
- The domain should be operated in a way that is fair and equitable to all groups in the local Internet community.
- Significantly interested parties in the domain should agree that the prospective manager is the appropriate party to be responsible for the domain, with the desires of the national government taken very seriously.
- The domain must be operated competently, both technically and operationally. Management of the domain should adhere to relevant technical standards and community best practices.
- Risks to the stability of the Internet addressing system must be adequately considered and addressed, particularly with regard to how existing identifiers will continue to function.

### **Method of evaluation**

To assess these criteria, information is requested from the applicant regarding the

proposed manager and method of operation. In summary, a request template is sought specifying the exact details of the delegation being sought in the root zone. In addition, various documentation is sought describing: the views of the local internet community on the application; the competencies and skills of the manager to operate the domain; the legal authenticity, status and character of the proposed manager; and the nature of government support for the proposal.

After receiving this documentation and input, it is analyzed in relation to existing root zone management procedures, seeking input from parties both related to as well as independent of the proposed manager should the information provided in the original application be deficient. The applicant is given the opportunity to cure any deficiencies before a final assessment is made.

Once all the documentation has been received, various technical checks are performed on the proposed manager's DNS infrastructure to ensure name servers are properly configured and are able to respond to queries correctly. Should any anomalies be detected, PTI will work with the applicant to address the issues.

Assuming all issues are resolved, an assessment is compiled providing all relevant details regarding the proposed manager and its suitability to operate the relevant top-level domain.

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**Summary:**

32 years experience in designing, building and maintaining large scale UNIX & Internet based servers, local and wide area networks, DNS/DHCP infrastructures and computing facilities. 26 years of training, business, sales, project management and product development experience.

**Employment History:**

**Comcast NBC Universal:** 2014 present

Position: DNS Architect & Principal Engineer

- Redesigned and rolled out new architecture for second largest recursive DNS infrastructure in the world.
- Proselytized DANE use within Comcast.
- Attended all IETF meetings and co authored RFCs.
- Acted as technical resource to product management and engineering for protocol and standards issues.
- Conducted internal and external training in DNS & DNSSEC.

**Infoblox:** 2011 2014

Position: Network Architect & IPv6 Evangelist

- Internal and external training in DNS/DNSSEC/DHCP/IPv6.
- Support sales staff with architecture and protocol issues.
- Do talks, customer events and trade shows.
- Attend various operator group and all IETF meetings.
- Participated in various regulatory meetings as SME in DNS.
- Act as technical resource to product management and engineering for protocol and standards issues.

**Internet Systems Consortium (ISC):** 2009 2011

Position: Support Engineer

- Technical support in DNS/DNSSEC/DHCP/IPv6.
- Sales Engineering.
- Training and documentation, including BIND release notes.
- Web content development and maintenance.
- Network and DNS/DHCP/IPv6 consulting.

**PAE Associates:** 2002 2009

Position: Owner

- Installed first F Root in Moscow, RU.
- Network design consulting, Architecture & project management.
- Security consulting.

**Nominum:** 2001 2002

Position: Senior Network Engineer

- Supported the sales staff and engineering staff with networking designs.
- Planned full building move to new facility, negotiated vendor contracts, oversaw contractors.

**Global Networking and Computing (GNAC): 1998 2001**

Positions: Network Architect, Senior Network Engineer

- Supported sales staff and executive staff as architect/sales engineer
- Was technical representative, in support of executive staff, with financial analysts, venture capital firms and investment bankers on IPO roadshow.
- Was technical representative for strategic alliances.
- Developed local and wide area network designs for customers, as well as for GNAC.
- Negotiated vendor contracts, oversaw contractors.
- Designed and implemented network and system health monitoring systems for customer and internal use.
- Supported sales and executive staff with bids, customer proposals and business alliances.
- Provided escalation and customer support for GNAC customers, including Microsoft, UUNET, WebTV, SGI, MySAP, eGreetings, HP.

**Vixie Enterprises: 1996 1998**

Position: Member Technical Staff

- Assisted founder with vendor contracts, consulting contract negotiations and other sales opportunities.
- Developed monitoring software for Web Gateway Interceptor product.
- Under contract to Genuity, was acting Director of Networking for Genuity while hiring a complete networking staff and permanent director.
- Designed and implemented Genuity's NOC (Network operations center) and monitoring systems.

**UUNET Technologies: 1990 1996**

Positions: Network Architect, Manager Network Operations, Member Technical Staff

- Was employee #10.
- Designed and installed the first two generations of AlterNET backbones. AlterNET is still the core backbone of Verizon and carries a significant portion of total Internet traffic.
- Designed and installed the first 8 remote network hubs and trained the staff that did all subsequent hubs.
- Wrote and maintained network and system health monitors.
- Wrote and maintained the network usage billing software, including the algorithm for average vs burst usage that is now industry standard.
- Was one of the two original team members of the Microsoft network rollout for UUNET.
- Designed, installed and maintained the original modem network used by AOL and then MSN. Did the second generation modem network design for MSN, specified to handle 100,000 modems. That design scaled to over 4 million modems.
- At various times, was manager of the network engineering team, system/host engineering team, the customer provisioning team, backbone expansion team and the MSN network team.



- Was the UUNET representative on the Microsoft campus for the Windows 95 and MSN rollout.

**Corporation for Open Systems (COS): 1988 1990**

Positions: System Administrator, Test Suite Engineer

- Provided support for all Sun workstations and PCs.
- Provided support for all internal and test networks and for Internet connectivity.
- Wrote ISO protocol test suites, included in the COS protocol conformance test engines.
- Wrote and maintained system health monitors.

**United States Air Force, Pentagon (USAF): 1984 1988**

Positions: Computer Programmer, System Administrator, Computer Operator

- Computer Operator, worked all shifts. Support Secretary of Defense, Joint Chiefs of Staff and Headquarters level systems.
- Wrote training manuals, system procedures and a boot simulator for the Honeywell mainframe.
- Maintained and improved the tape library software for the Pentagon unclassified systems.
- Wrote various printer drivers and software on the UNIX machines in support of document processing.
- Supported the TCP/IP & email gateway from MILNET to the Internet.

**Organizational Positions:**

- Member of Board, DNS OARC, 2015 present
- NANOG Program Committee, 2013 present
- DNS OARC Program Committee, 2013 2015
- NANOG Development Committee (sponsorships), 2011 2013

**Talks/Tutorials:**

- 01 Nov 2011, San Jose, GogoNetLive
- 04 Feb 2012, San Diego, NANOG54
- 11 Apr 2012, Denver, NAv6TF
- 03 Jun 2012, Vancouver, NANOG55
- 29 Jun 2012, Paris, FRNOG19
- 25 Sep 2012, Amsterdam, RIPE65
- 09 Oct 2012, London, UKNOF23
- 21 Oct 2012, Dallas, NANOG56
- 11 Dec 2012, San Diego, ISOC ION
- 04 Feb 2013, Orlando, NANOG57
- 28 Feb 2013, Warsaw, PLNOG10
- 18 Apr 2013, Denver, NAv6TF
- 17 May 2013, Dublin, RIPE66
- 03 Jun 2013, New Orleans, NANOG58
- 13 Sep 2013, London, UKNOF26
- 06 Oct 2013, Phoenix, DNS OARC
- 08 Oct 2013, Phoenix, NANOG59
- 29 Oct 2013, Curacao, LACNOG20
- 09 Jan 2014, Edinburgh, IXScotland

- 11 Feb 2014, Atlanta, NANOG60
- 21 Mar 2014, Singapore, ICANN49
- 17 Feb 2015, San Francisco, M3AAWG
- 21 Apr 2015, Boston, NotR
- 02 Sep 2015, Chicago, NotR
- 23 May 2016, Copenhagen, RIPE72



13 January 2017

To: ICANN Board  
From: The SSAC Chair  
Via: The SSAC Liaison to the ICANN Board

The purpose of this letter is to bring you up-to-date on a proposed change to the membership of the Security and Stability Advisory Committee (SSAC) and to provide an explanation for the attached request for Board action. This change is the result of ongoing new member evaluations conducted by the SSAC Membership Committee and approved by the SSAC.

The SSAC Membership Committee considers new member candidates and makes its recommendations to the SSAC. The SSAC has agreed with the Membership Committee's recommendation to nominate Paul Ebersman as a new member. Paul is currently a DNS Architect and Principal Engineer at Comcast NBC Universal. He is known from his lengthy active participation in IETF, DNS-OARC, NANOG, and RIPE, among others. Paul has a deep and thorough Internet operational background, including physical architectures, TCP/IP protocols, and especially the DNS. Most importantly, his experience includes very large scale operations. The SSAC believes Paul would be a significant contributing member of the SSAC.

The SSAC Membership Committee respectfully requests that the Board appoint Paul Ebersman to the SSAC for a 3-year term beginning immediately upon approval of the board and ending on 31 December 2020. Attached is his résumé for your reference.

The SSAC welcomes comments from the Board concerning this request.

Patrik Fältström, SSAC Chair



**Signature Block:**

Submitted by: Cyrus Namazi

Position: Vice President, Domain Name Services & Industry Engagement

Date Noted: 25 January 2017

Email: [cyrus.namazi@icann.org](mailto:cyrus.namazi@icann.org)

## GNSO Review Recommendations Implementation Plan

### Status of This Document

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This Implementation Plan has been developed by the GNSO Review Working Group for consideration by the Generic Names Supporting Organization (GNSO) Council. It has been developed in accordance with the motion approved by the GNSO Council on 14 April to adopt the [GNSO Review Recommendations Feasibility and Prioritization Analysis](#), and the Charter adopted by the GNSO Council on 21 July 2016.

### Preamble

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The GNSO Council adopted the [Charter](#) of the GNSO Review Working Group during its meeting on 21 July 2016. This Working Group was tasked to develop an implementation plan for the [GNSO Review recommendations](#) which were recently [adopted](#) by the ICANN Board. The Working Group will submit this implementation plan to the GNSO Council for consideration on 21 November 2016.

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## Executive Summary

On 14 April 2016 the Generic Names Supporting Organization (GNSO) Council approved a [motion](#) to adopt the [GNSO Review Recommendations Feasibility and Prioritization Analysis](#). The ICANN Board of Directors [adopted](#) the [GNSO Review recommendations](#) on 25 June 2016. In its resolution the ICANN Board requested that the GNSO Council convene a group to oversee the implementation of the recommendations. The Board further requested that an implementation plan, containing a realistic timeline, definition of desired outcomes, and a way to measure current state as well as progress toward the desired outcome, be submitted to the Board no later than six months after the adoption of the Board's resolution, and the GNSO Council should subsequently provide a regular report on the progress of the implementation effort (see <https://www.icann.org/resources/board-material/resolutions-2016-06-25-en#2.e>).

On 21 July 2016 the GNSO Council adopted the [Charter](#) of the GNSO Review Working Group to be tasked with creating the implementation plan for the GNSO recommendations, and for overseeing implementation. This implementation plan will be submitted to the GNSO Council for its consideration, following which it will be submitted by the GNSO Council to the ICANN Board no later than 31 December 2016.

In this implementation plan the GNSO Review Working Group analyzed the GNSO Review recommendations and organized them by priority (following the prioritization adopted by the GNSO Review Working Party), by category, and into three phases of implementation: work already underway, high priority recommendations, and medium and low priority recommendations. In addition, the implementation plan provides guidance on dependencies, who will implement, resource requirements, budget effects, and proposed implementation steps. With respect to the guidance concerning budget effects, these are provided for consideration and will be supplemented with specific details and budget plans once the implementation steps are underway.

The implementation plan also provides a methodology for implementation, including a template for GNSO Review Recommendation Charters, following the template used for the Second Accountability and Transparency Review Team (ATRT 2) implementation.

Finally, in accordance with the ICANN Board request that the implementation plan should contain a realistic timeline, this document includes a suggested general timeline as well as sample GANTT charts showing possible start and end dates for implementation. The timeline includes a target that the implementation should be completed prior to the next GNSO review begins, which is projected to be in 2019.



# 1. Overview of Recommendations

The scope of the GNSO review was to assess the extent to which the improvements resulting from the 2008 review have been implemented and whether they successfully addressed the concerns that led to the review, and to consider whether the GNSO, as it is currently constituted, can respond to its changing environment. For more information see Annex I: Background on page 30. The GNSO review recommendations were organized into the following themes:

1. Participation and Representation;
2. Continuous Development;
3. Transparency; and
4. Alignment with ICANN's future.

In its evaluation of the 36 recommendations, GNSO Review Working Party analyzed them based upon the following criteria:

- Ease or difficulty of implementation;
- Cost of implementation;
- Whether it is aligned with the strategic plan of the GNSO;
- Whether it impacts existing or other work;
- Whether the Working Party required additional information; and
- Whether the recommendation was a low, medium, or high priority.

The GNSO Review Working Party reviewed the recommendations and conducted a [Feasibility and Prioritization Analysis](#), which it submitted to the GNSO Council on 28 February 2016. In its analysis document, the Working Party recommended to adopt all but three recommendations (#21, #23 and #32).

On 14 April 2016 the GNSO Council approved a [motion](#) to adopt the GNSO Review Recommendations Feasibility and Prioritization Analysis. In its adoption the GNSO Council amended the Feasibility and Prioritization Analysis to support the implementation of recommendation 21, to which the Working Party agreed.

The ICANN Board [adopted](#) the [GNSO Review recommendations](#) on 25 June 2016. In its resolution the ICANN Board requested that the GNSO Council convene a group to oversee the implementation of the recommendations. The Board further requested that an implementation plan, containing a realistic timeline, definition of desired outcomes and a way to measure current state as well as progress toward the desired outcome, be submitted to the Board no later than six months after the adoption of the Board's resolution, and that the GNSO Council should provide a regular report on the progress of the implementation effort. (See <https://www.icann.org/resources/board-material/resolutions-2016-06-25-en#2.e>)

On 21 July 2016 the GNSO Council adopted the [Charter](#) of the GNSO Review Working Group to be tasked with creating the implementation plan for the GNSO recommendations, and for overseeing implementation. This implementation plan will be submitted to the GNSO Council for

its consideration, following which it will be submitted by the GNSO Council to the ICANN Board of Directors no later than 31 December 2016.

In this implementation plan the GNSO Review Working Group suggests the following grouping of the recommendations based on subject matter and dependencies:

- PDP Improvements, Effectiveness, and Implementation;
- GNSO Council, Stakeholder Group, and Constituency Appointments, Members, Membership, Statements of Interest, Procedures, and Support; and
- Working Group Performance, Participation, Meeting Tools, Self-Evaluation, Outreach, Volunteers, and Leadership.

In addition, the GNSO Review Working Group suggests following the prioritization of the recommendations as proposed by the GNSO Review Working Party, but to also simultaneously address those recommendations that it has deemed are already underway or which the GNSO Review Working Group may deem completed. This would then be the order of priority, with recommendations grouped within each phase by category:

- 1.** Phase One: Work Already Underway;
- 2.** Phase Two: High Priority Recommendations; and
- 3.** Phase Three: Medium and Low Priority Recommendations.

See detailed descriptions of each phase in Section 2 below, and a timeline for each phase in Section 4.

## 2. Prioritization and Dependencies

The GNSO Review recommendations are in a suggested order of priority based on the analysis provided by the GNSO Review Working Party in Annex A of its report to the ICANN Board.

In addition, the recommendations are grouped by the following categories, coded by color for ease of identification:

- PDP Improvements, Effectiveness, and Implementation;
- GNSO Council, Stakeholder Group, and Constituency Appointments, Members, Membership, Statements of Interest, Procedures, and Support; and
- Working Group Performance, Participation, Meeting Tools, Self-Evaluation, Outreach, Volunteers, and Leadership.

Each recommendation includes sections for dependencies, information on who will implement the recommendations, resource requirements, budget effects, and proposed implementation steps.

- **Dependencies:** list any other projects or activities that are dependent on the implementations of this recommendation or which this recommendation is dependent on. These also could include studies, metrics, and data collection.
- **Who will implement:** indicate whether staff or the community, or a combination will implement the recommendations.
- **Resource requirements:** indicate the resources required to accomplish the recommendations, include staff and volunteer considerations.
- **Budget effects:** suggest whether costs are associated with the implementation of the recommendation and in what areas, such as staff increases, translations, studies, etc. Precise budget figures are not provided, but are expected to be gathered in the implementation phase.
- **Proposed implementation steps:** suggested steps for implementation, recognizing that these may be modified as additional information becomes available. Unless otherwise noted, the GNSO Review Working Group is assumed to be the accountability mechanism to determine whether a recommendation has been implemented.

The GNSO Review Working Group suggests the following phases for implementation, some of which may overlap depending on the workload identified:

**Phase One: Work Already Underway.** Create sub-groups as recommended (i.e. PDP Improvements color coded Blue, Membership color coded Brown and Working Groups color coded magenta). This would allow the GNSO Review Working Group to address the “low hanging fruit” because much of this work is already underway or recently completed and may just require oversight or confirmation that the work underway meets the intent of the GNSO Review Working Group recommendations.

**Phase Two: High Priority Recommendations.** Again, create sub-groups as above. This would allow the Working Group to secondarily address those priorities the Working Party, Council and the OEC have all recognized as priority work.

**Phase Three: Medium and Low Recommendations.** Using the same sub group categories as above. This would allow the Working Group to place as the final phase those recommendations that were deemed a medium or lower priority.

### 3.1 Phase 1: Work Already Underway

The suggestion is to dispatch those items that were identified by the Working Party as already underway first and simultaneously with the implementation of those recommendations identified in the first batch. As some work is already being performed and/or recently completed it would seem logical to address these recommendations at the same time as those identified in the first batch. These also are organized into the three categories identified above, and then by high, medium, and low priority within each category.

### PDP Improvements, Effectiveness, and Implementation

Recommendation 8	
Independent Examiner's Final Recommendation	That Working Groups should have an explicit role in responding to implementation issues related to policy they have developed.
Prioritization	High
Working Party Comments	Agree but work is already done elsewhere. The already approved Policy & Implementation Working Group recommendations cover this. Ongoing GNSO action item: ensure it happens in all future policy implementation efforts.
Council Comments	Adopted by Council as recommended by Working Party.
Status of improvement effort / staff lead	The GNSO Council is overseeing implementation of final recommendations of the Policy & Implementation Working Group. Final Report: <a href="http://gns0.icann.org/en/issues/policy-implementation/pi-wg-final-recommendations-01jun15-en.pdf">http://gns0.icann.org/en/issues/policy-implementation/pi-wg-final-recommendations-01jun15-en.pdf</a> Workspace: <a href="http://gns0.icann.org/en/group-activities/inactive/2015/policy-implementation">http://gns0.icann.org/en/group-activities/inactive/2015/policy-implementation</a> Staff support: Marika Konings
Dependencies	Implementation of the recommendations of the Policy & Implementation Working Group.
Who Will Implement?	Staff
Resource Requirements	Staff and GNSO Council
Budget Effects	Minimal
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to provide status update on the implementation of the Policy &amp; Implementation Recommendations</li> <li>2. The GNSO Review Working to review the status update provided by staff and determine whether the implementation of the Policy &amp; Implementation recommendations meets the intent of the GNSO Review recommendation.</li> <li>3. If intent has been met, GNSO Review Working Group to detail how this intent has been met.</li> <li>4. If not, GNSO Review Working Group to detail what parts of the recommendation are still outstanding and recommend how these are expected to be implemented.</li> </ol>



<b>Recommendation 15</b>	
Independent Examiner's Final Recommendation	That the GNSO continues current PDP Improvements Project initiatives to address timeliness of the PDP.
Prioritization	High
Working Party Comments	Already being done. GNSO action items: ensure that efforts to improve the timeliness of PDPs continue.
Council Comments	Adopted by Council as recommended by Working Party.
Status of improvement effort / staff lead	The GNSO Council, as the manager of policy development processes, oversees this ongoing effort. There is also now the possibility to create a 'expedited PDP' in place: <a href="https://gns0.icann.org/en/council/annex-4-epdp-manual-16feb16-en.pdf">https://gns0.icann.org/en/council/annex-4-epdp-manual-16feb16-en.pdf</a> Staff support: Marika Konings
Dependencies	None
Who Will Implement?	Staff
Resource Requirements	Staff
Budget Effects	Minimal
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to confirm whether the expedited PDP procedures have been adopted.</li> <li>2. The GNSO Review Working Group to determine whether adoption of the expedited procedures fulfills the intent of this recommendation.</li> <li>3. If intent has been met, GNSO Review Working Group to detail how this intent has been met.</li> <li>4. If not, GNSO Review Working Group to detail what parts of the recommendation are still outstanding and recommend how these are expected to be implemented.</li> </ol>

<b>Recommendations 16 and 18</b>	
Independent Examiner's Final Recommendation	<p><u>Recommendation 16</u>: That a policy impact assessment (PIA) be included as a standard part of any policy process.</p> <p><u>Recommendation 18</u>: That the GNSO Council evaluate post implementation policy effectiveness on an ongoing basis (rather than periodically as stated in the current GNSO Operating Procedures); and that these evaluations are analyzed by the GNSO Council to monitor and improve the drafting and scope of future PDP Charters and facilitate the effectiveness of GNSO policy outcomes over time.</p>
Prioritization	High
Working Party Comments	GNSO action items: i) Develop an analytical framework for assessing policy impacts; ii) determine what should be measured and corresponding metrics. iii) Change the PDP Guidelines to make post-implementation policy effectiveness evaluation an ongoing rather than a periodic process and to include an assessment period at the start of the implementation process; iv) develop guidelines for how

	implementation of policies should be evaluated.
Council Comments	Adopted by Council as recommended by Working Party.
Status of improvement effort / staff lead	<p>The GNSO Council, as the manager of policy development processes, oversees this ongoing effort; also featured in the Final Report of the Data and Metrics for Policy-Making (DMPM) Working Group  DMPM Final Report: <a href="http://gns0.icann.org/en/issues/dmpm-final-09oct15-en.pdf">http://gns0.icann.org/en/issues/dmpm-final-09oct15-en.pdf</a>  PDP Manual: <a href="http://gns0.icann.org/en/council/annex-2-pdp-manual-16feb16-en.pdf">http://gns0.icann.org/en/council/annex-2-pdp-manual-16feb16-en.pdf</a>  PDP Manual prescribes in Article 17: "Periodic assessment of PDP recommendations and policies is an important tool to guard against unexpected results or inefficient processes arising from GNSO policies. PDP Teams are encouraged to include proposed timing, assessment tools, and metrics for review as part of their Final Report. In addition, the GNSO Council may at any time initiate reviews of past policy recommendations."  Staff lead: Marika Konings, Steve Chan</p>
Dependencies	Implementation of the DMPM recommendations.
Who Will Implement?	Staff
Resource Requirements	Staff
Budget Effects	Minimal
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to indicate when the implementation of the DMPM recommendations is expected to be completed and whether any of these actions are included: <ol style="list-style-type: none"> <li>a) Develop an analytical framework for assessing policy impacts.</li> </ol> </li> <li>2. The GNSO Review Working Group to determine what should be measured and corresponding metrics. As part of this determination: <ol style="list-style-type: none"> <li>b) Staff to provide recent experience to review some policies that have been implemented.</li> <li>c) Staff to provide the DMPM strawman to assist the community in identifying metrics that can be used to test policy effectiveness.</li> </ol> </li> <li>3. The GNSO Review Working Group to review the PDP Manual to determine what changes, if any, need to be made to make post-implementation policy effectiveness evaluation an ongoing rather than a periodic process and to include an assessment period at the start of the implementation process.</li> <li>4. The GNSO Review Working Group to develop guidelines for how implementation of policies should be evaluated.</li> <li>5. Upon completion of the above steps, the GNSO Review Working Group to determine whether this recommendation has been implemented.</li> </ol>

<b>Recommendation 14</b>	
Independent Examiner's Final Recommendation	That the GNSO further explores PDP 'chunking' and examines each potential PDP as to its feasibility for breaking into discrete stages.
Prioritization	Medium
Working Party Comments	Allow GNSO flexibility to determine when chunking (or phases) is appropriate; needs refinement.
Council Comments	Adopted by Council as recommended by Working Party.
Status of improvement effort / staff lead	The GNSO Council, as the manager of policy development processes, oversees this ongoing effort. Ongoing broad-subject PDPs are often chunked and divided into phases and/or subgroups. In case of the PDP on Review of all RPMs in all gTLDs the phasing has even been added to the PDP Charter. In the case of the PDP Working Group on New gTLD Subsequent Procedures the work has been divided among four work tracks each managed by a sub team. RPM Charter: <a href="http://gns0.icann.org/en/drafts/rpm-charter-15mar16-en.pdf">http://gns0.icann.org/en/drafts/rpm-charter-15mar16-en.pdf</a> Staff lead: Marika Konings, Mary Wong
Dependencies	None.
Who Will Implement?	Already implemented. PDP Working Groups decide whether to adopt.
Resource Requirements	Depends on each PDP Working Group.
Budget Effects	Staff resources.
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to confirm whether this approach is already being used by PDP Working Groups and whether there are any provisions in the PDP Manual which would prevent and/or encourage "chunking".</li> <li>2. The GNSO Review Working Group to determine whether this recommendation has been implemented.</li> </ol>

<b>Recommendation 31</b>	
Independent Examiner's Final Recommendation	That the GAC-GNSO Consultation Group on GAC Early Engagement in the GNSO Policy Development Process continue its two work streams as priority projects. As a part of its work it should consider how the GAC could appoint a non-binding, non-voting liaison to the Working Group of each relevant GNSO PDP as a means of providing timely input.
Prioritization	Medium
Working Party Comments	Ongoing work. The Working Party encourages the ongoing work of the Consultation Group and suggests that it consider whether 'the GAC could appoint a non-binding, non-voting liaison to the Working Group of each relevant GNSO PDP as a means of providing timely input.' GNSO action item: Send a letter to the GAC expressing appreciation for the work of the Consultation Group, encourage continuation of the group and ask whether it might be worthwhile for the GAC to consider appointing 'a non-binding, non-voting liaison to the

	Working Group of each relevant GNSO PDP as a means of providing timely input.' (An alternative approach here may be to first test this with the GNSO GAC liaison.)
Council Comments	Adopted by Council as recommended by Working Party.
Status of improvement effort / staff lead	The GNSO GAC Consultation Group has completed its work. GNSO Council will ask GNSO GAC Liaison to take this approach. GAC-GNSO Consultation Group wiki: <a href="https://community.icann.org/x/phPRAg">https://community.icann.org/x/phPRAg</a> Staff support: Marika Konings
Dependencies	Send letter as described above to the GAC.
Who Will Implement?	GNSO Council and GAC.
Resource Requirements	Community volunteer resources.
Budget Effects	Minimal.
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to confirm the status of implementation of the GNSO GAC Consultation Group recommendations and if/how this approach was considered by the CG.</li> <li>2. The GNSO Review Working Group to determine whether this recommendation has been implemented.</li> <li>3. If the recommendation has been implemented, GNSO Review Working Group to detail how it has been implemented.</li> <li>4. If not, GNSO Review Working Group to detail what parts of the recommendation are still outstanding and recommend how these are expected to be implemented.</li> </ol>

### **GNSO Council, Stakeholder Group, and Constituency Appointments, Members, Membership, Statements of Interest, Procedures, and Support**

<b>Recommendation 33</b>	
Independent Examiner's Final Recommendation	That Stakeholder Groups, Constituencies, and the Nominating Committee, in selecting their candidates for appointment to the GNSO Council, should aim to increase the geographic, gender and cultural diversity of its participants, as defined in ICANN Core Value 4.
Prioritization	<b>Medium</b>
Working Party Comments	Working Party believes work is already being done but improvements/metrics need to be made in this area
Council Comments	Adopted by Council as recommended by Working Party.
Status of improvement effort / staff lead	Each Stakeholder Group and Constituency holds the lead for itself. Assistance is provided to them by the GNSO Secretariat and the GNSO policy support staff. Staff lead: Marika Konings, Glen de Saint Géry
Dependencies	Stakeholder Group and Constituency procedures to track diversity. Depends on how diversity is defined.
Who Will Implement?	Stakeholder Groups and Constituencies.
Resource Requirements	Community volunteer resources.
Budget Effects	Staff time.



Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Stakeholder Groups and Constituencies to provide an update on their procedures and how these aim to increase the geographic, gender and cultural diversity of its participants in selecting candidates for the appointment to the GNSO Council.</li> <li>2. The GNSO Review Working Group to determine whether the existing Stakeholder Group and Constituency procedures are sufficient to complete implementation of this recommendation, or whether further steps need to be taken to meet the intent of the recommendation.</li> </ol>
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<b>Recommendations 24 and 25</b>	
Independent Examiner's Final Recommendation	<p><u>Recommendation 24</u>: That the GNSO Council and Stakeholder Groups and Constituencies adhere to the published process for applications for new Constituencies. That the ICANN Board in assessing an application satisfy itself that all parties have followed the published process, subject to which the default outcome is that a new Constituency is admitted. That all applications for new Constituencies, including historic applications, be published on the ICANN website with full transparency of decision-making.</p> <p><u>Recommendation 25</u>: That the GNSO Council commission the development of, and implement, guidelines to provide assistance for groups wishing to establish a new Constituency.</p>
Prioritization	Medium
Working Party Comments	<p>Recommendation 24: GNSO action items: i) Determine whether new Constituency application processes are clearly posted and easily accessible, ii) determine what steps are taken to ensure compliance with those processes and whether those steps are adequate; iii) determine if all Constituency applications, including historic ones, are publicly posted along with full transparency of the decision-making process; iv) determine whether or not there is a presumption that a new Constituency should be admitted if all requirements are met and if such a presumption is appropriate; v) determine what process the Board uses to evaluate new Constituency applications and whether they are ensuring process compliance; vi) make recommendations for any modifications to the process, if any.</p> <p>Recommendation 25: GNSO action items: i) Evaluate the effectiveness and accessibility of guidance for new Constituency applications; ii) recommend improvements to the guidance and the available assistance as appropriate.</p>
Council Comments	Adopted by Council as recommended by Working Party.
Status of improvement effort / staff lead	<p>No specific owner for this project.</p> <p>New Constituency/Stakeholder Group application process can be found here: <a href="http://gns0.icann.org/en/about/form-new-constituency.htm">http://gns0.icann.org/en/about/form-new-constituency.htm</a></p>
Dependencies	Completion of the action items identified above.
Who Will Implement?	Staff and GNSO Council. Constituencies and Stakeholder Groups.

Resource Requirements	Staff and community volunteers.
Budget Effects	Staff time and effort.
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to undertake an evaluation as follows and provide a report to the GNSO Council and the GNSO Review Working Group addressing for each of the prior and current applications: <ol style="list-style-type: none"> <li>a) Whether new Constituency application processes are currently clearly posted and easily accessible.</li> <li>b) What steps are taken to ensure compliance with those processes and whether those steps are adequate.</li> <li>c) If all Constituency applications, including historic ones, are publicly posted along with full transparency of the decision-making process.</li> <li>d) Whether or not there is a presumption that a new Constituency should be admitted if all requirements are met.</li> <li>e) What process the Board uses to evaluate new Constituency applications and whether they are ensuring process compliance. The GNSO Council will determine if such a presumption is appropriate.</li> <li>f) Whether guidance for new Constituency applications is effective and accessible and whether improvements are needed.</li> </ol> </li> <li>2. Upon completion of the staff evaluation and following its consideration, the GNSO Review Working Group to determine whether these recommendations have been implemented or whether further steps need to be taken to meet the intent of the recommendation.</li> </ol>

<b>Recommendation 30</b>	
Independent Examiner's Final Recommendation	That the GNSO develop and implement a policy for the provision of administrative support for Stakeholder Groups and Constituencies; and that Stakeholder Groups and Constituencies annually review and evaluate the effectiveness of administrative support they receive.
Prioritization	Low
Working Party Comments	The Working Party believes that there is already a procedure for providing some forms of administrative support to Stakeholder Groups and Constituencies but that there is not a procedure for Stakeholder Groups and Constituencies to evaluate the effectiveness of the support provided. GNSO action items: i) Identify and review the existing procedures for Stakeholder Groups and Constituencies to obtain administrative support; ii) evaluate the adequacy & effectiveness of the existing procedures including whether additional forms of support might be beneficial; iii) develop recommendations for improvements to the procedures and new types of support, if any.
Council Comments	Adopted by Council as recommended by Working Party.

Status of improvement effort / staff lead	In 2010, a formal "GNSO Toolkit" was developed by ICANN staff that clearly and specifically identified the administrative support that ICANN would provide to GNSO Stakeholder Group and Constituency communities. Over the next few years, in collaboration with the community, staff developed a specific set of items that would be provided under a "pilot program" by ICANN to provide additional level of admin support service to the community under staff management. In 2014, ICANN introduced a "pilot" contract secretariat program to determine if those services could be effectively and efficiently offered to ICANN community under ICANN management. The pilot effort focused on the non-contracted community, is ongoing, and will continue and can be found <a href="#">here</a> . Staff lead: Rob Hoggarth
Dependencies	Evaluation of the "GNSO Toolkit" and "pilot program"
Who Will Implement?	Staff
Resource Requirements	Staff resources
Budget Effects	Staff
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to provide a report to the GNSO Council and Working Group on the results of an evaluation of the "GNSO Toolkit" and "pilot program".</li> <li>2. The GNSO Review Working Group to determine whether this recommendation has been implemented or whether further steps need to be taken to meet the intent of the recommendation.</li> </ol>

### Working Group Performance, Participation, Meeting Tools, Self-Evaluation, Outreach, Volunteers, and Leadership

Recommendations 10 and 11	
Independent Examiner's Final Recommendation	<p><u>Recommendation 10</u>: That the GNSO Council develop criteria for Working Groups to engage a professional facilitator/moderator in certain situations.</p> <p><u>Recommendation 11</u>: That the face-to-face PDP Working Group pilot project be assessed when completed. If the results are beneficial, guidelines should be developed and support funding made available.</p>
Prioritization	Medium
Working Party Comments	<p>What does it mean to "engage"?; could be costly; develop criteria such as using an internal facilitator; should review existing pilot program already underway and that additional criteria be developed.</p> <p>The PDP Pilot Project has been done for two years. Need to evaluate. GNSO action items: i) Develop guidelines; ii) encourage support funding in the ICANN budget.</p>
Council Comments	Adopted by Council as recommended by Working Party.
Status of improvement	A pilot program with full-day face-to-face PDP Working Group

effort / staff lead	meetings (usually the Friday before an ICANN meeting), led by a facilitator, is already in place. The GNSO Council determines which Group is selected for each meeting. Staff lead: Marika Konings
Dependencies	Evaluation of the PDP Working Group Pilot Project. This is work in progress and will go back to the Council for approval, but could pass through this Working Group.
Who Will Implement?	Staff
Resource Requirements	Staff
Budget Effects	Depends on whether a PDP Working Group requests a moderator/facilitator.
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to provide the results of the evaluation of the facilitated PDP F2F Working Group Pilot Project the GNSO Review Working Group to review the results.</li> <li>2. Staff to provide a status update on the development of guidelines for facilitated PDP F2F Working Group meetings.</li> <li>3. Staff to work with the Finance Team to determine the best method to allow for unspecified/contingent funds, such as for a facilitator and face-to-face PDP Working Group meetings.</li> <li>4. The GNSO Review Working Group to determine whether the intent of this recommendation has been met or whether further steps need to be taken.</li> </ol>

<b>Recommendation 13</b>	
Independent Examiner's Final Recommendation	That the GNSO Council evaluate and, if appropriate, pilot a technology solution (such as Loomio or similar) to facilitate wider participation in Working Group consensus-based decision making.
Prioritization	Medium
Working Party Comments	Working Party believes in continuous improvement; no specific tool is being recommended; tool must meet need that is currently not being met.
Council Comments	Adopted by Council as recommended by Working Party.
Status of improvement effort / staff lead	This is part of the wider remit of the GNSO Review Working Group (taking over from the Standing Committee on GNSO Improvements Implementation (SCI)), which is managed by the GNSO Council SCI wiki: <a href="https://community.icann.org/x/5ILT">https://community.icann.org/x/5ILT</a> Staff lead: Marika Konings, Julie Hedlund
Dependencies	Some Working Groups, such as the PDP Working Group on New gTLD Subsequent Procedures, are using Google docs for collaboration.
Who Will Implement?	Staff
Resource Requirements	Staff resources
Budget Effects	Depends on the tool. Tools such as Google Drive are free.



Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to provide information concerning the types of tools available and in use and associated costs, if any.</li> <li>2. The GNSO Review Working Group to determine whether this recommendation has been implemented or whether further steps need to be taken to meet the intent of the recommendation.</li> </ol>
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<b>Recommendation 19</b>	
Independent Examiner's Final Recommendation	As strategic manager rather than a policy body the GNSO Council should continue to focus on ensuring that a Working Group has been properly constituted, has thoroughly fulfilled the terms of its charter and has followed due process.
Prioritization	Low
Working Party Comments	Work is already being done.
Council Comments	Adopted by Council as recommended by Working Party.
Status of improvement effort / staff lead	The GNSO Council, as the manager of policy development processes, oversees this ongoing effort. Updates of each PDP are given to the GNSO Council during each ICANN meeting. A post-PDP Working Group self-assessment is undertaken and the results are forwarded to the Council. Staff lead: Marika Konings
Dependencies	None
Who Will Implement?	Staff
Resource Requirements	Staff
Budget Effects	Minimal; staff time and effort.
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to provide applicable guidance from the current Working Group Guidelines and as directed by the Working Group develop a suggested procedure for periodic review of Working Group constitution, membership, and activity.</li> <li>2. The Working Group will determine whether this procedure will require changes to the GNSO Operating Procedures, and if so, direct staff to complete a revision for public comment and approval by the GNSO Council.</li> <li>3. The GNSO Review Working Group to determine whether this recommendation has been implemented or whether further steps need to be taken to meet the intent of the recommendation.</li> </ol>

### 3.2 Phase 2: High Priority Recommendations

These are the recommendations that were assessed by the GNSO Review Working Party as high priority. They were considered to have agreement by the Working Party to adopt them without modification. These recommendations could be placed in the first batch to be implemented within the first year and could overlap with the implementation of those recommendations that are considered to be underway / and or completed as a result of other activities, but which might need modifications to existing procedures. These also are organized into the three categories identified above, and then by high, medium, and low priority within each category.

## GNSO Council, Stakeholder Group, and Constituency Appointments, Members, Membership, Statements of Interest, Procedures, and Support

Recommendations 26, 27, 28, and 29	
Independent Examiner's Final Recommendation	<p><u>Recommendation 26</u>: That GNSO Council members, Executive Committee members of Stakeholder Groups and Constituencies and members of Working Groups complete and maintain a current, comprehensive Statement of Interest on the GNSO website. Where individuals represent bodies or clients, this information is to be posted. If not posted because of client confidentiality, the participant's interest or position must be disclosed. Failing either of these, the individual not be permitted to participate.</p> <p><u>Recommendation 27</u>: That the GNSO establish and maintain a centralized publicly available list of members and individual participants of every Constituency and Stakeholder Group (with a link to the individual's Statement of Interest where one is required and posted).</p> <p><u>Recommendation 28</u>: That section 6.1.2 Membership of Chapter 6.0 Stakeholder Groups and Constituencies: Operating Principles and Participation Guidelines of the GNSO Operating Procedures be revised to clarify that key clauses are mandatory rather than advisory, and to institute meaningful sanctions for non-compliance where appropriate.</p> <p><u>Recommendation 29</u>: That Statements of Interest of GNSO Council Members and Executive Committee members of all Stakeholder Groups and Constituencies include the total number of years that person has held leadership positions in ICANN.</p>
Prioritization	High -- Recommendations 26 and 27
	Medium -- Recommendation 29
	Low Recommendation 28
Working Party Comments	Adopt
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	Incorporate into Chapter 5.0 of the GNSO Operating Procedures and Chapter 6.0: Stakeholder Groups and Constituencies: Operating Principles and Participation Guidelines.
Who will implement?	The GNSO Review Working Group and GNSO Council.
Resource Requirements	Staff resources
Budget Effects	Determine whether increased staff resources are necessary
Proposed Implementation Steps	The GNSO Review Working Group to review current procedures in the GNSO Operating Procedures related to this recommendation and to work with staff on possible modifications, which are to be published for public comment followed by GNSO Council approval.

## Working Group Performance, Participation, Meeting Tools, Self-Evaluation, Outreach, Volunteers, and Leadership

<b>Recommendation 6</b>	
Independent Examiner's Final Recommendation	That the GNSO record and regularly publish statistics on Working Group participation (including diversity statistics).
Prioritization	High
Working Party Comments	Adopt
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	Agree on definition of diversity; development of metrics; data collection
Who will Implement?	Staff
Resource Requirements	Staff resources
Budget Effects	Determine whether increased staff resources are necessary
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. The GNSO Review Working Group will direct staff to determine whether there is a general ICANN effort relating to diversity, such as that related to the results of the CCWG-Accountability Work Stream 2 sub group.</li> <li>2. The GNSO Review Working Group to work with staff to develop a definition of diversity in the GNSO as well as metrics, and data collection guidelines that are consistent with ICANN efforts.</li> <li>3. Upon approval staff to collect and publish statistics.</li> </ol>

### 3.3 Phase 3: Medium and Low Priority Recommendations

These are the recommendations that were assessed by the GNSO Review Working Party as medium to low priority. Some also were considered to have agreement by the Working Party to adopt them, but with modifications. These recommendations could be placed in the third batch to be implemented within the second to third years and could overlap with the implementation of the second batch. These also are organized into the three categories identified above, and then by high, medium, and low priority within each category.

### PDP Improvements, Effectiveness, and Implementation

<b>Recommendation 20</b>	
Independent Examiner's Final Recommendation	That the GNSO Council should review annually ICANN's Strategic Objectives with a view to planning future policy development that strikes a balance between ICANN's Strategic Objectives and the GNSO resources available for policy development.
Prioritization	Low
Working Party Comments	Modify recommendation - input from GNSO should go into the Strategic Planning process.
Working Party Recommendation	That the GNSO Council should participate in developing ICANN's Strategic Objectives and plan future policy development that aligns the Strategic Objectives with GNSO resources.

Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	None
Who Will Implement?	GNSO Council
Resource Requirements	GNSO Council resources
Budget Effects	Minimal
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. The GNSO Review Working Group to review if/how the GNSO Council has done this to date, if at all.</li> <li>2. Based on the outcome of the review, the GNSO Review Working Group to work with staff to develop a light-weight process for the GNSO Council to participate in the development of ICANN's Strategic Objectives and guidance for planning future policy development that aligns the Strategic Objectives with GNSO resources.</li> </ol>

Recommendation 21	
Independent Examiner's Final Recommendation	That the GNSO Council should regularly undertake or commission analysis of trends in gTLDs in order to forecast likely requirements for policy and to ensure those affected are well-represented in the policy-making process.
Prioritization	N/A - Low
Working Party Comments and Rationale	This recommendation is not well phrased and does not conform to what is in the Final Report; additionally, the GNSO Review Working Party does not feel that it is appropriate to implement the recommendation at this time and would be difficult to implement. We did not believe it was in scope for the GNSO to collect and analyze trend data and would be more appropriately completed elsewhere within ICANN such as in other Reviews.
Working Party Recommendation	Initially, the Working Party recommended to 'not implement' this recommendation. However, the GNSO Council changed this to 'implement with low priority', to which the Working Party agreed.
Council Comments	Adopted by Council as 'implement (low priority) in contradiction to Working Party recommendation; Working Party supported Council action. Additional feedback: The Council recommends staff working with the GNSO to institute methods of information sharing of highly relevant research related to gTLDs to help the GNSO community members increase their knowledge base and ability to analyze potential impact (low priority)". The GNSO Working Party agrees that this modification addresses its concerns with the original recommendation and supports the modification because it benefits the community for the GNSO to be better informed about the trends and developments in the gTLD space.
Dependencies	<b>Develop staff briefings:</b> Aiming for the GNSO to be better informed on policy discussions. GNSO should consider working with staff to ensure that adequate briefings are provided on work being done, as opposed to the GNSO undertaking or commissioning the work itself.



	<p>General information about the elements of the gTLD space regardless of what PDP happens to be taking place at the time would be valuable general information and knowledge sharing for the GNSO community.</p> <p><b>Consider recommendations of the Data and Metrics for Policy-Making (DMPM) Working Group:</b> There is a lot of information out there which may generate empirical data that will help inform the community. Concern with the recommendation is that it effectively creates a commitment on the part of the GNSO Council, which was not supported by the study conducted by Westlake.</p> <p>Recommendation is not about studies to help inform PDPs, but rather to forecast the need for future PDP work. There have been a number of studies in the past that have informed PDPs.</p>
Dependencies, Cont.	<b>Consider CCT-RT Data:</b> There is a considerable amount of data being collected to inform the CCT-RT that could serve as a baseline for future collection.
Who Will Implement?	Staff
Resource Requirements	Staff resources
Budget Effects	Minimal
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to work with the GNSO to institute methods of information sharing of highly relevant research related to gTLDs to help the GNSO community members increase their knowledge base and ability to analyze potential impact (low priority)". These could, for example, include regular staff briefings, implementing the recommendations of the DMPM Working Group, and CCT-RT data.</li> <li>2. The GNSO Review Working Group to develop a timeline for reporting on a recurring basis. This timeline could include regular reporting/updating to the GNSO Council at every ICANN meeting as a status report to the GNSO, and as an item on the GNSO Council meeting agenda.</li> </ol>

### **GNSO Council, Stakeholder Group, and Constituency Appointments, Members, Membership, Statements of Interest, Procedures, and Support**

<b>Recommendation 7</b>	
Independent Examiner's Final Recommendation	That Stakeholder Groups and Constituencies engage more deeply with community members whose first language is other than English, as a means to overcoming language barriers.
Prioritization	<b>Medium</b>
Working Party Comments	Include summaries in multiple languages; combine with other similar recommendations; further discussions with representatives from Stakeholder Groups and Constituencies together and see what needs are before the Working Party makes a recommendation.
Working Party Recommendation	That Stakeholder Groups and Constituencies strive to overcome language barriers by participating in the Working Group established

	under <b>Recommendation 35</b> .
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	Rewording may need to be adjusted as it refers to the Working Group mentioned under <b>recommendation 35</b> , which was deemed impractical during feedback. Consultation with Stakeholder Groups and Constituencies. <b>Dependencies with Recommendations 6</b> -- definition of diversity, metrics, and data collection guidelines, <b>33, 35; 12 (re: real-time translation); and also possibly 1.</b>
Who will implement?	Stakeholder Groups and Constituencies
Resource Requirements	Community volunteer and staff resources
Budget Effects	Depends on the solution; costs could be high
Proposed Implementation Steps	<b>Implement following the implementation of recommendation 6.</b> 1. Staff to provide an overview and cost-benefit analysis of existing measures to overcome language barriers. 2. Based on its review of these existing measures and the cost-benefit analysis, the GNSO Review Working Group to work with staff to develop possible solutions to reduce language barriers. .

<b>Recommendation 35</b>	
Independent Examiner's Final Recommendation	That the GNSO Council establish a Working Group, whose membership specifically reflects the demographic, cultural, gender and age diversity of the Internet as a whole, to recommend to Council ways to reduce barriers to participation in the GNSO by non- English speakers and those with limited command of English.
Prioritization	<b>Medium</b>
Working Party Comments	The metrics used to measure diversity should be specified with more consideration to what can actually be defined and measured.
Working Party Recommendation	That the GNSO Council establish a Working Group to recommend ways to reduce barriers to participation by non-English speakers and those with limited command of English. To the extent practicable, the members of the Working Group should be diverse and reflect demographic, cultural, gender and age diversity.
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	<b>Develop and Gather Metrics:</b> Metrics needed at Stakeholder Group/Constituency, Working Group, and Council levels on what people feel are the key metrics that matter on supporting diversity commitment. <b>Data Storage Considerations:</b> How would the data be stored? Under what privacy policy? <b>Feasibility of Real-Time Translation:</b> So long as PDP calls are in English and convenient to specific time zones, current meeting procedures and tools may discourage diverse participation. Actions such as translations of calls need to be put in place to encourage diverse participation. <b>Dependencies with Recommendations 6 (which must first be implemented) and 33; 12 (re: real-time translation); and also</b>

	<b>possibly 1.</b>
Who will implement?	GNSO Council with staff support
Resource Requirements	Staff and community volunteer resources
Budget Effects	Depends on level of data collection and also cost of real time translation
Proposed Implementation Steps	<p><b>Implement following the implementation of recommendation 6.</b></p> <ol style="list-style-type: none"> <li>1. Staff to review ongoing efforts in relation to the same subject to determine whether a separate Working Group is needed. Staff should ensure that any new effort is coordinated with the work that has been done by the CCWG-Accountability Work Stream 2 subgroup on diversity.</li> <li>2. If it is determined that a new Working Group would not duplicate existing efforts, the GNSO Council should establish a charter drafting team for the Working Group, which would be linked to the outcome of diversity subgroup.</li> <li>3. Upon approval of the Charter staff will issue a call for volunteers.</li> </ol>

<b>Recommendation 22</b>	
Independent Examiner's Final Recommendation	That the GNSO Council develop a competency-based framework, which its members should use to identify development needs and opportunities.
Prioritization	Low
Working Party Comments	Reword recommendation: develop a framework to identify training needs for PDPs so that members have appropriate skills and background to participate effectively in the PDP. This training is not intended to address technical issues.
Working Party Recommendation	That the GNSO Council develop a technical competency-based expectation of its members and provide training on the PDP.
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	None
Who will implement?	GNSO Council and staff
Resource Requirements	GNSO Council and staff resources
Budget Effects	Depends on the training options
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to provide an overview of the available training and skills development mechanisms.</li> <li>2. Based on a review of the overview, the GNSO Review Working Group to work with staff to develop a competency framework implementation plan.</li> </ol>

## Working Group Performance, Participation, Meeting Tools, Self-Evaluation, Outreach, Volunteers, and Leadership

Recommendations 1, 2, and 3	
Independent Examiner's Final Recommendation	<p><u>Recommendation 1</u>: That the GNSO develop and monitor metrics to evaluate the ongoing effectiveness of current outreach strategies and pilot programs with regard to GNSO Working Groups.</p> <p><u>Recommendation 2</u>: That the GNSO develop and fund more targeted programs to recruit volunteers and broaden participation in PDP Working Groups, given the vital role volunteers play in Working Groups and policy development.</p> <p><u>Recommendation 3</u>: That the GNSO Council reduce or remove cost barriers to volunteer participation in Working Groups.</p>
Prioritization	Medium
Working Party Comments	<p>Adopt Need strategic goals, objectives, and KPIs - themes around problems that we want to solve. Should measure the shared effectiveness between ICANN and community.</p> <p>In-depth program should be developed; stronger volunteer drive that includes metrics to capture volunteers based on outreach efforts.</p> <p>GNSO Council should not determine how finances are allocated to Working Group members; what are cost barriers (time and costs); training (wiki for example); identify cost barriers.</p>
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	Some overlap with recommendations 12, and 34; definition and development of metrics.
Who will Implement?	Staff
Resource Requirements	Staff resources
Budget Effects	Determine whether increased staff resources are necessary as well as projected costs for the outreach program.
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>Staff to provide an overview of current outreach strategies and pilot programs with regard to GNSO Working Groups.</li> <li>Following the review of this overview, the GNSO Working Group to work with staff to: <ul style="list-style-type: none"> <li>Develop strategic goals, objectives, and KPIs. Develop measurements of the shared effectiveness between ICANN and community.</li> <li>Develop an in-depth program with a stronger volunteer drive that includes metrics to capture volunteers based on outreach efforts.</li> <li>Determine cost barriers and solutions.</li> </ul> </li> </ol>



<b>Recommendations 5 and 9</b>	
Independent Examiner's Final Recommendation	<p><u>Recommendation 5</u>: That, during each Working Group self-assessment, new members be asked how their input has been solicited and considered.</p> <p><u>Recommendation 9</u>: That a formal Working Group leadership assessment program be developed as part of the overall training and development program.</p>
Prioritization	Medium
Working Party Comments	Adopt
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	Modify Working Group Self-Assessment Survey and include leadership assessment.
Who will Implement?	Staff
Resource Requirements	Staff resources
Budget Effects	Determine whether increased staff resources are necessary
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>Staff to provide the GNSO Review Working Group with a proposed modification of the Working Group Self-Assessment Survey to include a) new questions on how Working Group member input has been solicited and considered and; b) a new assessment survey for Working Group leadership.</li> <li>Based on the proposed modifications the GNSO Review Working Group to determine if revisions are necessary to the GNSO Working Group Guidelines and, if so, draft them for public comment and then present them for approval to the GNSO Council.</li> </ol>

<b>Recommendation 12</b>	
Independent Examiner's Final Recommendation	That ICANN assess the feasibility of providing a real-time transcription service in audio conferences for Working Group meetings.
Prioritization	Medium
Working Party Comments	Adopt and consider work already done in the ALAC.
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	Need to determine feasibility and cost
Who will Implement?	Staff
Resource Requirements	Staff resources
Budget Effects	Cost could be significant; analyze costs from ALAC work already underway.
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>Staff to review work already done in the ALAC in relation to this topic and propose possible approaches for the GNSO, including an analysis of costs versus benefits, and present this to the GNSO Review Working Group.</li> <li>The GNSO Review Working Group to analyze the review and possible approaches and determine recommended approaches to the GNSO Council.</li> </ol>

<b>Recommendation 17</b>	
Independent Examiner's Final Recommendation	That the practice of Working Group self-evaluation be incorporated into the PDP; and that these evaluations should be published and used as a basis for continual process improvement in the PDP.
Prioritization	Medium
Working Party Comments	Adopt
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	Modify the PDP manual to include Working Group self-evaluation.
Who will Implement?	Staff
Resource Requirements	Staff resources
Budget Effects	Minimal
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. The GNSO Review Working Group to review current procedures for self-evaluation in the PDP Working Group Guidelines and will work with staff on possible modifications, which will be published for public comment and then provided to the GNSO Council for approval.</li> <li>2. Following GNSO Council approval, staff to amend the GNSO Operating Procedures with the new revisions.</li> <li>3. The GNSO Review Working Group will determine whether this recommendation has been implemented.</li> </ol>

<b>Recommendation 4</b>	
Independent Examiner's Final Recommendation	That the GNSO Council introduce non-financial rewards and recognition for volunteers.
Prioritization	Low
Working Party Comments	Adopt; no financial rewards - such as travel funding.
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	None
Who will Implement?	Staff
Resource Requirements	Staff resources
Budget Effects	Minimal
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. Staff to provide an overview of existing non-financial rewards and recognition for volunteers as well as suggestions for non-financial rewards and recognition to the GNSO Review Working Group for consideration.</li> <li>2. GNSO Review Working Group to assess the overview as well as suggestions made and determine what steps are to be taken next, subject to GNSO Council agreement.</li> </ol>

<b>Recommendation 34</b>	
Independent Examiner's Final Recommendation	That PDP Working Groups rotate the start time of their meetings in order not to disadvantage people who wish to participate from anywhere in the world.
Prioritization	Low
Working Party Comments	Adopt; some groups already do this, but it's not a standard. Add some language to flag that this should be tested for effectiveness.

Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	Test with existing Working Groups for effectiveness
Who will Implement?	Staff
Resource Requirements	Staff resources
Budget Effects	Minimal
Proposed Implementation Steps	<ol style="list-style-type: none"> <li>1. The GNSO Review Working Group to develop a definition of effectiveness, taking into consideration such criteria as participation, time standardization (e.g. UTC), and regional neutrality.</li> <li>2. Staff to review GNSO Working Groups where rotations are used and provide indication of effectiveness.</li> <li>3. Staff to provide this review to the GNSO Review Working Group for its consideration.</li> <li>4. The GNSO Review Working Group to determine whether this recommendation has been implemented or whether further work needs to be undertaken to meet the intent of this recommendation.</li> </ol>

<b>Recommendation 36</b>	
Independent Examiner's Final Recommendation	That, when approving the formation of a PDP Working Group, the GNSO Council requires that its membership represent as far as reasonably practicable the geographic, cultural and gender diversity of the Internet as a whole. Additionally, that when approving GNSO Policy, the ICANN Board explicitly satisfy itself that the GNSO Council undertook these actions when approving the formation of a PDP Working Group.
Prioritization	Low
Working Party Comments	Reword recommendation so that it corresponds to the process that Council goes through in terms of approving a PDP, forming a working group, etc. and that Council review accomplishment toward achieving diversity and proper representation of all stakeholders; begin data collection as soon as possible. The metrics used to measure diversity should be specified with more consideration to what can actually be defined and measured.
Working Party Recommendation	That, when approving the formation of a PDP Working Group, the GNSO Council strive for its membership to be diverse and reflect demographic, cultural, gender and age diversity. When approving GNSO Policy, the Board should take into consideration if reasonable measures were taken to achieve such diversity.
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	<b>Dependencies with Recommendations 6 -- definition of diversity, metrics, and data collection guidelines, 33, 35; 12 (re: real-time translation); and also possibly 1.</b>
Who will Implement?	GNSO Council and ICANN Board
Resource Requirements	None
Budget Effects	Minimal

Proposed Implementation Steps	<ol style="list-style-type: none"><li data-bbox="574 205 1382 369">1. The GNSO Review Working Group to review this recommendation following implementation of <b>Recommendations 6</b> -- definition of diversity, metrics, and data collection guidelines, <b>33, 35; 12 (re: real-time translation); and also possibly 1.</b></li><li data-bbox="574 380 1382 434">2. The GNSO Review Working Group to determine whether further steps are needed to implement this recommendation.</li></ol>
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## 3. Methodology

ICANN has developed project plan charter templates for implementing recommendations. These were originally developed for the ATRT 2 implementation, but can easily be applied to the implementation of the GNSO Review recommendations. This format follows best practices under project management principles and guidelines and is a standard practice that ICANN is using across all implementations. Keep in mind that since there are 34 recommendations it is not necessary to create a project plan for each recommendation. Rather, several recommendations could be combined into one project charter plan and as noted in Section 2 above many recommendations already can be grouped according to implementation dependencies. See the template in Annex 1 below.

The GNSO Review Recommendation Charter recognizes the existence of a project and supports the decision to further refine the project solution. This charter signifies consensus on the vision, scope, authority and overall deliverables of the project.

The template includes the following details:

- Recommendation Team;
- Background;
- Scope, assumptions, and deliverables;
- Solution analysis: options and proposed solution;
- Key dependencies;
- Risk identification; and
- Key performance indicators.

In addition, staff will use template to gather information from staff and the community, as appropriate, concerning the status of each step in the implementation process. Upon completion of all steps the GNSO Review Working Group will acknowledge whether the recommendation is considered to be implemented, or whether additional steps are required for completion.

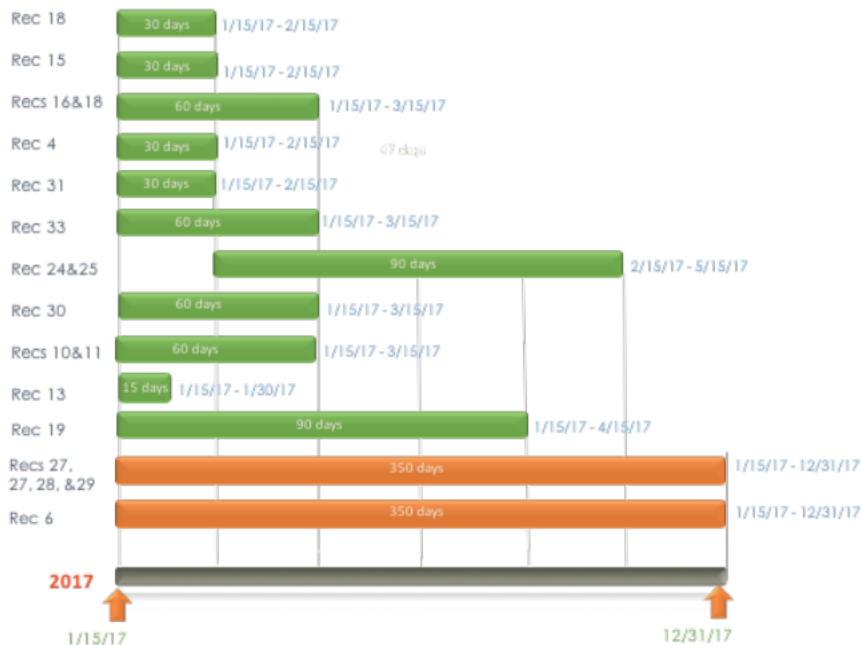
## 4. Timeline

In accordance with the ICANN Board request that the implementation plan should contain a realistic timeline, this document includes a suggested general timeline as well as sample GANTT charts showing possible start and end dates for implementation. In particular, the GNSO Review Working Group suggests that Phase I and Phase II work and mostly run concurrently, while Phase III will not start until the completion of Phase II, due to priorities and dependences. Below are suggested timelines. These will be adjusted as more details become available during implementation.



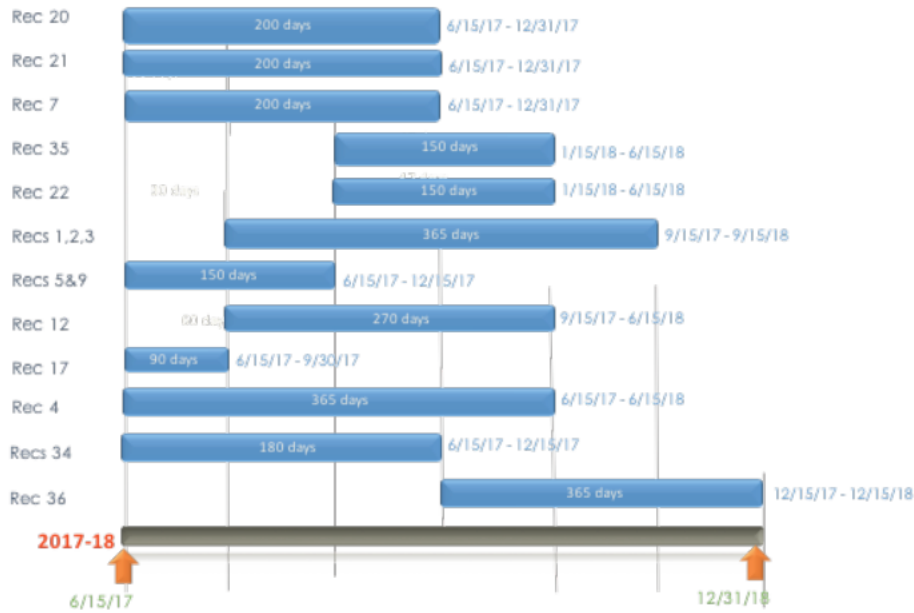
### Sample Gantt Chart for Possible Phase I and II Timeline

Phase 1: Work Already Underway and Phase 2: High Priority Recommendations



### Sample Gantt Chart for Possible Phase III Timeline

#### Phase 3: Medium and Low Priority Recommendations



## ANNEX 1: Background

The most recent GNSO review was initiated in July 2014 by ICANN with the assistance of the GNSO Review Working Party, which was comprised of GNSO community members in accordance with ICANN's Bylaws. The Organizational Effectiveness Committee (OEC) -- formerly the Structural Improvements Committee (SIC) -- of the ICANN Board is responsible for review and oversight of policies relating to ICANN's ongoing organizational review process, as mandated by ICANN's Bylaws. The ICANN Board appointed Westlake Governance as the independent examiner for the GNSO review.

Each GNSO Stakeholder Group and Constituency appointed representatives to serve on the Working Party. The GNSO Review Working Party provided input on the review criteria, 360 assessment, and served as a conduit for input from GNSO Stakeholder Groups, Constituencies as well as the GNSO Council. The GNSO Review Working Party offered guidance to the independent examiner to ensure the draft report accurately reflected the GNSO structure, scope and dynamics.

The scope of the GNSO review was to assess the extent to which the improvements resulting from the 2008 review have been implemented and whether they successfully addressed the concerns that led to the review, and to consider whether the GNSO, as it is currently constituted, can respond to its changing environment. The independent examiner was not asked to assess various options and alternatives pertaining to the structure of the GNSO, but its inquiry into the effectiveness of GNSO operations led to structural considerations. The Draft Report was put out for [public comment](#) on 01 June 2105, and subsequently Westlake published its [Final Report](#) on 15 September 2015, with a [correction](#) to Recommendation 1 issued on 5 October 2015, with 36 recommendations. The recommendations were organized into the following themes:

1. Participation & Representation;
2. Continuous Development;
3. Transparency; and
4. Alignment with ICANN's future.

The GNSO Review Working Party reviewed the recommendations and conducted a [Feasibility and Prioritization Analysis](#), which it submitted to the GNSO Council on 28 February 2016. In its analysis document, the Working Party recommended to adopt all but three recommendations (21, 23, 32).

On 14 April 2016 the GNSO Council approved a [motion](#) to adopt the GNSO Review Recommendations Feasibility and Prioritization Analysis. In its adoption the GNSO Council amended the Feasibility and Prioritization Analysis to support the implementation of recommendation 21, to which the Working Party in turn agreed. On 21 July 2016 the GNSO Council adopted the [Charter](#) of the GNSO Review Working Group. This Working Group is tasked to develop an implementation plan for the [GNSO Review recommendations](#) which were [adopted](#) by the ICANN Board on 25 June 2016.

Per the GNSO Review Working Group Charter the GNSO Review Working Group is responsible for developing an implementation plan, containing a realistic timeline for the implementation, definition of desired outcomes and a way to measure current state as well as progress toward the desired outcome for the GNSO Review recommendations adopted by the ICANN Board (thirty-four (34) recommendations of the [Final Report](#) of the Independent Examiner (i.e. all recommendations excluding recommendations 23 and 32).

This implementation plan is to be submitted for approval to the GNSO Council, followed by consideration by the ICANN Board. Following the approval of the implementation plan, the Working Group is also expected to execute and oversee the implementation of the GNSO Review recommendations unless specified differently in the implementation plan.

The GNSO Review Working Group is also be responsible for considering any new requests<sup>[1]</sup> by the GNSO Council concerning issues related to the GNSO Council processes and procedures and to Working Group guidelines that have been identified either by the GNSO Council, or a group chartered by the GNSO Council, as needing discussion. However, the first priority of the Working Group will be the development of an implementation plan and the subsequent implementation of the GNSO Review recommendations.

The GNSO Review Working Group is expected to deliver the implementation plan to the GNSO Council for consideration at the GNSO Council meeting at ICANN57 at the latest in order to meet the Board set objective of ‘an implementation plan, containing a realistic timeline for the implementation, definition of desired outcomes and a way to measure current state as well as progress toward the desired outcome, shall be submitted to the Board as soon as possible, but no later than six (6) months after the adoption of this resolution’<sup>[2]</sup> i.e., December 2016.

## ANNEX 2: GNSO Review Recommendation Charter

**DOCUMENT PURPOSE:** The GNSO Review Recommendation Charter recognizes the existence of a project and supports the decision to further refine the project solution. This charter signifies consensus on the vision, scope, authority and overall deliverables of the project.

**PROJECT PURPOSE:** The purpose of this project is to implement GNSO Review Recommendation(s) #XX.

Note – multiple projects may be needed to implement one recommendation. If this case, state this explicitly in the “project purpose” above. E.g. Three distinct projects will be completed in order to implement the full scope of this recommendation. This is first of the three with the other two being; XXXX and XXXXX. This note should be deleted from the final project charter.

## RECOMMENDATION IDENTIFICATION

RECOMMENDATION TEAM		
<b>Recommendation Name</b>	<b>Recommendation Number</b>	<b>Date</b>
<b>Project Sponsor</b>	<b>Project Owner</b>	
<b>Project Manager</b>	<b>Cross Functional Departments Involved</b>	

RECOMMENDATION BACKGROUND
<b>Recommendation Background</b> Historical information that relates to this project

STRATEGIC ALIGNMENT	
<b>Part One</b> Which ICANN Objective does this meet	
<b>Alignment with Strategic Objectives</b>	
<b>Goal</b>	
<b>Portfolio</b>	
<b>Project/Recommendation</b>	

SCOPE DESCRIPTION CHARACTERISTICS OF THE PRODUCT OR SERVICE THAT THE PROJECT IS TO OPERATIONALIZE

<b>Scope Statement</b> What work needs to be completed during the project
Recommendation #XX, as directed by the Board (link to Board Resolution). Recommendation states:  Summarize the spirit of the recommendation as interpreted by the team. Indicate why this approach was chosen.  List the scope of the work to be completed during this project in order to implement this recommendation
<b>Out of Scope</b> Implied project work that will not be part of the project
<b>Assumptions</b> What assumptions have been made regarding the implementation of the project
<b>Deliverables</b> What will be delivered at the end of the project

<b>OPTION ANALYSIS - THE ALTERNATIVE SOLUTIONS THAT WERE CONSIDERED</b>
List all approaches considered and why they were not chosen
<b>PROPOSED SOLUTION – “TO BE” SITUATION; THE SOLUTION TO THE BUSINESS NEED</b>
List what it looks like when this project moves from implementation to operationalization List the triggers that will move this recommendation to operationalization

<b>KEY DEPENDENCIES – KEY DEPENDENCIES NEEDED TO MEET PROJECT OBJECTIVES</b>

<b>RISK IDENTIFICATION – FACTORS THAT MAY HAVE A NEGATIVE IMPACT ON THE PROJECT</b>

<b>KEY PERFORMANCE INDICATORS – WHAT TO MEASURE BEFORE AND AFTER OPERATIONALIZATION</b>

<b>NECESSARY TO PROCEED</b>
<b>Next Phase Activities/Resources</b>



<b>APPROVERS</b>			
<b>Name</b>	<b>Title</b>	<b>Approval Status</b>	<b>Date</b>

<b>REVIEWERS</b>		
<b>Name</b>	<b>Title</b>	<b>Date Sent</b>

<b>REVISION HISTORY</b>			
<b>Date</b>	<b>Version</b>	<b>Description</b>	<b>Author</b>

**Attachments, as applicable:**

- None

## **REFERENCE MATERIALS - BOARD PAPER NO. 2017.02.03.1h**

**TITLE: GNSO Council Letter: IRTP-C Implementation**

These Reference Materials provide additional details on the revisions to the Transfer Policy.

1. The Transfer Policy is an ICANN consensus policy that governs how domain name holders may transfer their names from one ICANN-accredited registrar to another, and includes standardized requirements for registrars handling of such transfer requests.
2. In 2008, the GNSO undertook a review of the Transfer Policy and identified areas that required clarification or improvement. It launched a series of five policy development processes (Parts A – E) to consider changes to the Policy. In 2012, the GNSO Council recommended, and the Board [approved](#) changes to the Policy in Part C. Among other things, Part C governs a series of requirements for a “Change of Registrant,” which the Working Group defined as any material changes to the registered name holder’s name, organization or email address.
3. As part of the process for implementing consensus policy recommendations, the ICANN organization worked with an Implementation Review Team made up of community members who volunteered to assist in developing the implementation details for the policy to ensure that the implementation conforms to the intent of the policy recommendations.
4. At issue in the letter from the GNSO Council is if the removal or addition of a privacy/proxy service should be considered a Change of Registrant, and why the Council believes it should not be. This is an issue that was raised during the

implementation phase. The Working Group's Report was silent on the issue, but ultimately the Implementation Review Team decided that the current language, wherein the removal or addition of privacy/proxy services is a Change of Registrant, reflected the intent of the policy recommendations. ICANN published for comment the final implementation and provided registrars with 15 months' lead time to come into compliance with the new requirements.

5. In August 2016, (1 year after the Transfer Policy was announced and 3 months before the Policy Effective Date), some members of the registrar community raised the same issue about privacy/proxy as it relates to the Transfer Policy. They asked ICANN org to revise the policy and not consider updates to privacy/proxy services a Change of Registrant. ICANN org indicated that this is an issue that was discussed with the Implementation Review Team and it was decided that the language reflected the intent of the policy recommendations. Also, ICANN org reminded those concerned about the process established by the GNSO to handle such issues (established in the [GNSO Policy & Implementation Working Group Final Recommendations Report](#)). Because the Transfer Policy has already been implemented, the process requires the Board to direct ICANN org if the Policy should be changed. Accordingly, ICANN org advised the Council to write a letter to the ICANN Board, detailing its specific concerns with respect to the Transfer Policy.
6. The GNSO Council is now asking the Board to: (1) instruct ICANN org to work with the RrSG and other interested parties to evaluate alternatives for evaluation of the implementation concerns, which could include moving this issue to the

PPSAI IRT, reconstituting the IRTP-C IRT, or employing some other new mechanisms under Policy & Implementation, and (2) instruct ICANN org to defer any privacy/proxy service compliance enforcement from the Transfer Policy relating to the enabling or disabling of privacy/proxy services pending further consultation and determination of this issue.

7. ICANN org supports the GNSO Council's above requests.

**Signature Block:**

Submitted by: Cyrus Namazi

Position: Vice President, Domain Name Services & Industry Engagement, Global Domains Division

Date Noted: 20 January 2017

Email: [cyrus.namazi@icann.org](mailto:cyrus.namazi@icann.org)

GAC Advice – Hyderabad Communiqué: Actions and Updates (3 February 2017)  
Board Resolution 2017.02.03.1i

GAC Advice Item	Advice Text	Board Understanding Following Board-GAC Call	Board Response
§1.a.I, Future gTLDs Policies & Procedures: Process and Timing	<p><b>The GAC advises the ICANN Board:</b></p> <p>I. The GAC reiterates its advice contained in the Helsinki Communiqué concerning process and timing with regard to development of future gTLD policies and procedures.</p>	<p>The Board understands that the GAC’s objective and rationale remains as stated in its Helsinki Communiqué. The Board understands further that the GAC is concerned the last round of the New gTLD Program be assessed prior to a launch of another round.</p>	<p>The Board accepts this advice and confirms that it will continue to monitor the work of the community regarding reviews of the current round of the New gTLD Program and the policy development work for subsequent rounds of the New gTLD Program.</p>
§2.a.I, Mitigation of Domain Name Abuse	<p><b>The GAC advises the ICANN Board that:</b></p> <p>I. To provide written responses to the questions listed in Annex 1 to this Communiqué no later than five weeks before the ICANN 58 meeting in Copenhagen.</p>	<p>The Board understands that the GAC requests responses to the Annex 1 questions no later than five weeks prior to the ICANN 58 meeting in Copenhagen.</p>	<p>The Board directs the ICANN CEO to provide the requested responses.</p>
§3.a.I, Two-letter country/territory codes at the second level	<p><b>The GAC advises the ICANN Board to:</b></p> <p>I. Clearly indicate whether the actions taken by the Board as referred to in the resolution adopted on 8 November 2016 are fully consistent with the GAC advice given in the Helsinki Communiqué.</p>	<p>The Board understands that the GAC seeks to understand if the Board considers the resolution adopted on 8 November 2016 to be consistent with the GAC Advice of the Helsinki Communiqué.</p>	<p>As mentioned during the ICANN Board meeting at ICANN 57, the topic of two-character domain names corresponding to country codes had been thoroughly examined over the past two years; at least five public comment periods on the topic as well as discussions with the Governmental Advisory Committee (GAC). As mentioned at the meeting, the Board examined the issue with respect to ICANN’s mission, commitments and core values, and commented that the Board shared the GAC’s concern that use of two-character strings corresponding to country codes should not be done in a way to deceive or confuse consumers. The Board’s position is that the adopted resolution is consistent with the GAC’s advice on the topic.</p>
§3.a.II, Two-letter country/territory codes at the second level	<p><b>The GAC advises the ICANN Board to:</b></p> <p>II. Always communicate in future the position of the Board regarding GAC advice on any matter in due time before adopting any measure directly related to that advice.</p>	<p>The Board understands that the GAC requests the Board communicate its position regarding GAC Advice prior to adopting resolutions pertaining to GAC Advice.</p>	<p>The Board will be implementing a new process for consideration and processing of GAC advice, starting with the ICANN 58 Copenhagen Communiqué. This process is intended to support greater clarity and improve collaboration.</p>
§4.a.I, Protection of IGO Names and Acronyms	<p><b>The GAC advises the ICANN Board:</b></p>	<p>The Board understands that the GAC wishes to engage in a facilitated dialogue</p>	<p>Based on the Board’s understanding, the Board accepts this advice. We note that at ICANN58 the</p>

GAC Advice – Hyderabad Communiqué: Actions and Updates (3 February 2017)  
Board Resolution 2017.02.03.1i

GAC Advice Item	Advice Text	Board Understanding Following Board-GAC Call	Board Response
	<p>I. To take action and engage with all parties in order to facilitate, through a transparent and good faith dialogue, the resolution of outstanding inconsistencies between GAC advice and GNSO recommendations with regard to the protection of IGO acronyms in the DNS and to report on progress at ICANN 58.</p>	<p>with the GNSO, desires the Board to encourage the GNSO to engage in the process, and requests that an update be provided to the GAC at ICANN58.</p>	<p>Board proposed that the GAC and the GNSO engage in a facilitated, good faith discussion to attempt to resolve the outstanding inconsistencies. This suggestion reflects the Board’s wish, as expressed in its response to the GAC’s Helsinki Communiqué, to facilitate a procedural way forward for the reconciliation of GAC advice and GNSO policy prior to the Board formally considering the substantive policy recommendations. The Board acknowledges that any outcome of any dialogue between the affected parties is conditioned on, and will be reviewed according to, the GAC’s and the GNSO’s own internal processes.</p>
<p>§4.a.II, Protection of IGO Names and Acronyms</p>	<p>II. That a starting basis for resolution of differences between GAC Advice and existing GNSO Recommendations would be the small group compromise proposal set out in the October 4, 2016 letter from the ICANN Board Chair to the GNSO, namely that ICANN would establish all of the following, with respect to IGO acronyms at the second level:</p> <ul style="list-style-type: none"> <li>• a procedure to notify IGOs of third-party registration of their acronyms;</li> <li>• a dispute resolution mechanism modeled on but separate from the UDRP, which provides in particular for appeal to an arbitral tribunal instead of national courts, in conformity with relevant principles of international law; and</li> <li>• an emergency relief (e.g., 24-48 hours) domain name suspension mechanism to combat risk of imminent harm.</li> </ul>		<p>The Board thanks the participants in the IGO small group that worked to produce the October 2016 proposal, which is likely to provide useful points for consideration as the GAC and the GNSO continue to work to resolve the remaining differences between GAC advice and GNSO policy recommendations. The Board acknowledges the ongoing GNSO’s Policy Development Process regarding curative rights protections for IGOs and other organizations, and urges all parties to work towards a practicable and timely resolution of the outstanding issues.</p>
<p>§4.a.III, Protection of IGO Names and</p>	<p>III. That, to facilitate the implementation of the above advice, the GAC invites the GNSO Working</p>		<p>The Board accepts this advice and notes that the GNSO Council has confirmed that the GNSO</p>



GAC Advice – Hyderabad Communiqué: Actions and Updates (3 February 2017)  
Board Resolution 2017.02.03.1i

GAC Advice Item	Advice Text	Board Understanding Following Board-GAC Call	Board Response
Acronyms	Group on Curative Rights Protection Mechanisms to take the small group proposal into account.		Working Group in question has reviewed the proposal.
§4.a.IV, Protection of IGO Names and Acronyms	IV. That, until such measures are implemented, IGO acronyms on the GAC provided list remain reserved in two languages.		Pending completion of the facilitated dialogue, temporary protections continue to remain in place.  New gTLD Registry Operators continue to be required to reserve the IGO names and acronyms as per the " <a href="#">IGOList dated 22/03/2013</a> ".
§5.a.I, Protection of Red Cross/ Red Crescent/ Red Crystal Identifiers and names of national committees	<p><b>The GAC hence advises the ICANN Board to, without further delay:</b></p> <p>I. Request the GNSO Council, as a matter of urgency, to re-examine and revise its PDP recommendations pertaining to the protection of the names and identifiers of the respective international and national Red Cross and Red Crescent organizations which are not consistent with GAC advice; and in due course</p>	The Board understands that the GAC believes a separate facilitated discussion with the GNSO on this issue is appropriate, and the Board should provide any clarifications that the GNSO needs to enable the GNSO to consider possible amendments to its adopted policies.	The Board notes that in June 2014 the Board's New gTLD Program Committee had provided the GNSO with an update on the Board's work on this topic, which highlighted the possibility of the GNSO's amending its adopted policy recommendations regarding these Red Cross names and identifiers. The Board will continue to engage with the GAC and the GNSO on this topic, and provide any guidance that it believes appropriate while respecting the community's processes and the parties' good faith attempts to reach a resolution of the issue.
§5.a.II, Protection of Red Cross/ Red Crescent/ Red Crystal Identifiers and names of national committees	<p><b>The GAC hence advises the ICANN Board to, without further delay:</b></p> <p>II. Confirm the protections of the Red Cross and Red Crescent names and identifiers as permanent.</p>	The Board understands that the GAC wishes the Board to confirm the existing protections for Red Cross and Red Crescent names and identifiers are permanent.	The Board notes that the Bylaws prescribe the mechanisms by which Consensus Policies are developed by the community as well as the Board's scope for actions based on the community's consensus.  As a temporary measure, the Board required New gTLD registry operators to reserve from registration the following identifiers of the Red Cross/Red Crescent: Second level names of the Int'l Committee of the Red Cross and Int'l Federation of Red Cross Societies, names of the 189 national societies (in English and associated national language), and the acronyms ICRC, IFRC, CICR, FICR (in UN6); as identified in the GAC Register of Advice (see <a href="#">2014-03-27-RCRC</a> ).
§6.a.I., Underserved	I. Take required action to enable implementation	The Board understands that the GAC	The ICANN organization is helping the GAC Under-

GAC Advice – Hyderabad Communiqué: Actions and Updates (3 February 2017)  
Board Resolution 2017.02.03.1i

GAC Advice Item	Advice Text	Board Understanding Following Board-GAC Call	Board Response
Regions	of GAC Underserved Regions activities, including but not limited to capacity building and participation in ICANN policy processes.	wishes the Board to support the implementation of initiatives to support Underserved Regions.	<p>served Region and Public Safety Working Groups in organizing workshops to support capacity- building for diverse and efficient participation at GAC and in ICANN policy development processes in general. These workshops started in Africa in January 2017 and will take place in other underserved regions as appropriate and following the Under-served Region Working Group work plan.</p> <p>The Board looks forward to receiving the GAC’s recommendations in order to enable inclusiveness and diversity amongst all stakeholders, especially in underserved regions.</p>
§7.a.i., String Similarity Review	<p><b>The GAC advises the ICANN Board that:</b></p> <p>I. The Board should apply the views expressed by the GAC in the letter from the GAC Chair of 28 September 2016 to the ccNSO Chair concerning the Extended Process Similarity Review Panel Working Group proposed guidelines on the second string similarity review process.</p>	The Board understands that the GAC’s views expressed in its September 2016 letter to the ccNSO Chair are to be considered GAC advice to the Board.	The Board understands that the GAC has provided comments to the ccNSO’s Extended Process Similarly Review Panel Working Group, and looks forward to reviewing the final report after it has been submitted.
§8.a.i., Enhancement of mutual cooperation and understanding	<p><b>The GAC advises the ICANN Board to:</b></p> <p>I. Engage in enhanced and more regular communication with the GAC and Supporting Organisations with a view to fostering better mutual understanding of each other and of procedures in the ICANN framework.</p>	The Board understands that the GAC believes that communication processes between and among the Board and the broader community needs to be further improved.	The Board accepts this advice and will continue to look for ways to engage in more regular communication to foster better mutual understanding with the GAC and Supporting Organizations.
§8.a.II., Enhancement of mutual cooperation and understanding	<p><b>The GAC advises the ICANN Board to:</b></p> <p>II. Engage in enhanced and more regular communication with the GAC with a view to foster mutual understanding of the nature and purposes of the GAC’s advice on issues of public policy and related to international and national law, and also with a view to better understand</p>	The Board understands that the GAC seeks to continue regular communications with the Board to foster mutual understanding, to provide the Board with a clearer understanding of the GAC’s expectations and for the GAC to better understand the Board’s deliberations pertaining to the implementation of GAC Advice.	The Board accepts this advice. The Board will continue the practice implemented with the Helsinki and Hyderabad communiques to hold a meeting between the Board and the GAC approximately four weeks after a Communiqué is issued to ensure that the Board has a clear understanding of the GAC advice issued.

GAC Advice – Hyderabad Communiqué: Actions and Updates (3 February 2017)  
Board Resolution 2017.02.03.1i

GAC Advice Item	Advice Text	Board Understanding Following Board-GAC Call	Board Response
	the GAC’s expectations and the Board’s deliberations related to the implementation of GAC advice.		
§8.a.III., Enhancement of mutual cooperation and understanding	<p><b>The GAC advises the ICANN Board to:</b></p> <p>III. Make it a regular practice to schedule a post-Communiqué Board-GAC meeting to ensure mutual understanding of its provisions, either at the relevant ICANN meeting or in a call four weeks of a Communiqué being issued.</p>	The Board understands that the GAC wishes to continue the practice of holding Board-GAC meetings to discuss GAC communiques within a reasonable amount of time following the issuance of such Communiqué.	The Board accepts this advice and reiterates its intentions described in 8.a.II
§8.a.IV., Enhancement of mutual cooperation and understanding	<p><b>The GAC advises the ICANN Board to:</b></p> <p>IV. Consider publicly posting draft resolutions in advance of Board Meetings</p>	The Board understands that the GAC requests that the Board consider publicly posting draft resolutions in advance of Board Meetings.	The Board has considered this advice. The Board continues to examine various ways to improve transparency of its processes. The Board has instituted an ongoing dialogue with the GAC, via regular calls to discuss the GAC Communiques. It is also the intent of the Board to provide the GAC with a scorecard reflecting its consideration of GAC advice, in advance of upcoming ICANN meetings. However, after due considerations, the Board does not deem it feasible, at this time, to publicly post draft resolutions in advance of Board Meetings.



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