ICANN BOARD PAPER NO. 2017.04.19.1a

TITLE: **Initiating the Second Review of the Root Server System Advisory Committee (RSSAC)**

PROPOSED ACTION: **For Board Consideration and Approval**

EXECUTIVE SUMMARY:

The ICANN Bylaws mandate organizational reviews of its Supporting Organizations and Advisory Committees, with the Organizational Effectiveness Committee of the Board (OEC) having oversight responsibility. To provide a clear and consistent indication of compliance with bylaws mandate to conduct reviews, the OEC proposes that the Board adopt a practice of formally taking action to initiate each review. Therefore, the OEC recommends that the Board initiate the second Review of the Root Server System Advisory Committee (RSSAC), as per Article 4, Section 4.4 of the ICANN Bylaws, which is now due.

ORGANIZATIONAL EFFECTIVEINESS COMMITTEE RECOMMENDATION:

The practice of initiating a review with a Board resolution for clear and consistent illustration of ICANN's compliance with the Bylaws is proposed to take place for each subsequent review. The OEC recommends that the Board initiate the second Review of the RSSAC, per the guidelines and provisions contained in Article 4, Section 4.4 of the ICANN Bylaws. The OEC further recommends that the Board direct ICANN organization to initiate a Request for Proposals from candidates interested to serve as an independent examiner, in accordance with ICANN's procurement policies and practices. To support an efficient and effective review, the leadership of the RSSAC is encouraged to assemble a review working party to serve as a liaison between the independent examiner, the OEC and the RSSAC. This recommendation is based on useful past practices and lessons learned from previous reviews.

BACKGROUND:

The ICANN Bylaws, in Article 4, Section 4.4, stipulate that: 'the Board shall cause a periodic review of the performance and operation of each Supporting Organization, each Supporting Organization Council, each Advisory Committee (other than the Governmental Advisory Committee), and the Nominating Committee (as defined in Section 8.1) by an entity or entities independent of the organization under review.' The Bylaws also state that '[t]hese periodic reviews shall be conducted no less frequently than every five years, based on feasibility as determined by the Board.'

The timeline of the first RSSAC Review shows that the independent examiner submitted their Final Report on 9 March 2009. Subsequently, the RSSAC Review Working Group, appointed by the ICANN Board, submitted its Final Report on 5 August 2010.

In accordance with the Bylaws, each SO/AC is to undergo an organizational review every five years 'computed from the moment of the reception by the Board of the final report of the relevant review Working Group'. Consequently, on 28 July 2015, the ICANN Board resolved to defer the second RSSAC Review in response to community concerns about volunteer bandwidth.

In March 2017, the Chair of the ICANN Board's Organizational Effectiveness Committee (OEC), enquired with all of ICANN's Supporting Organizations and Advisory Committees that were scheduled for organizational reviews in 2017, whether they would like to defer their review by twelve months, due to the considerable number of other, ongoing community work efforts. The RSSAC leadership declined that offer and affirmed their preference to proceed with the second RSSAC Review as soon as possible.

PROPOSED RESOLUTION:

Whereas, the ICANN Bylaws state that the ICANN Board 'shall cause a periodic review of the performance and operation of each Supporting Organization,

each Supporting Organization Council, each Advisory Committee (other than the Governmental Advisory Committee), and the Nominating Committee (as defined in Section 8.1) by an entity or entities independent of the organization under review';

Whereas, as part of the first Root Server System Advisory Committee (RSSAC) Review, the RSSAC Review Working Group submitted its Final Report to the ICANN Board on 5 August 2010;

Whereas, on 28 July 2015 the Board resolved to defer the second RSSAC Review until 2017.

Resolved (2017.04.19.xx), that the Board initiates the second RSSAC Review and directs ICANN organization to post a Request for Proposal to procure an independent examiner to begin the review as soon as practically feasible.

Resolved (2017.04.19.xx), that the Board encourages the RSSAC to prepare for the second RSSAC review by organizing a Review Working Party to serve as a liaison during the review and to conduct a self-assessment of effectiveness of implementation of recommendations from the first review.

PROPOSED RATIONALE:

Why is the Board addressing the issue?

This action is taken to provide a clear and consistent approach towards complying with ICANN Bylaws' mandate to conduct reviews. Moreover, the Board is addressing this issue because the Bylaws stipulate organizational reviews take place every five years. The ICANN Board had deferred the RSSAC Review in 2015 to commence in 2017. After the RSSAC declined the offer to defer the review by another 12 months, the Board is now initiating the second Review of the Root Server System Advisory Committee (RSSAC).

Which stakeholders or others were consulted?

The OEC reached out to the RSSAC leadership to confirm their support to initiate the second RSSAC Review in 2017.

<u>Are there fiscal impacts or ramifications on ICANN (strategic plan, operating plan, and budget); the community; and/or the public?</u>

Timely conduct of organizational reviews is consistent with ICANN's strategic and operating plans. The budget for the second RSSAC Review has been approved as part of ICANN's annual budget cycle and the funds allocated to the RSSAC Review are managed by the ICANN organization team responsible for these reviews. No additional budgetary requirements are foreseen at this time and separate consideration will be given to the budget impact of the implementation of recommendations that may result from the review.

<u>Are there any security, stability or resiliency issues relating to the DNS?</u>

There are no security, stability or resiliency issues relating to the DNS as the result of this action.

Signature Block:

Submitted by: Larisa Gurnick

Position: Senior Director, Multistakeholder
Strategy and Strategic Initiatives

Date Noted: 5 April, 2017

Email: Larisa.gurnick@icann.org

ICANN BOARD PAPER NO. 2017.04.19.1b

TITLE:	SO/AC FY18 Additional Budget Requests Approval
PROPOSED ACTION:	For Board Consideration and Approval

EXECUTIVE SUMMARY:

As a result of prior discussions between community members and staff, an additional budget requests process was created to enable each Supporting Organizations (SO) and Advisory Committees (AC) to formulate requests for funding of actions to be carried out in the following fiscal year. To allow both community members and staff sufficient time to organize events that occur very soon after the Board approves the overall budget, the approval of these additional budget requests must happen in advance of the approval of the overall ICANN Operating Plan and Budget.

The process includes a deadline of 15 February 2017 for the SOs and ACs to present additional budget requests and an indication that the Board would take action on recommendations relating to those requests by mid-April 2017.

STAFF AND BOARD FINANCE COMMITTEE RECOMMENDATION:

The staff and the Board Finance Committee (BFC) recommend that the Board approve funds in the amount of $646,800 to cover the costs of the FY18 SO and AC additional budget requests.

PROPOSED RESOLUTION:

Whereas, prior discussions between community members and ICANN staff members identified the need for an earlier decision on the funding of additional budget requests from ICANN's Supporting Organizations (SO) and Advisory Committees (AC).

Whereas, the staff created an SO/AC additional budget requests process, to collect, review and submit for Board approval funding requests from the SOs and ACs.

Whereas, requests were submitted by the ICANN Community by the set deadline, and were reviewed by a panel of staff members representing the Policy, Stakeholders Engagement and Finance personnel.

Whereas, the review panel recommended the approval of requests representing $646,800 for approval.

Whereas the Board Finance Committee, reviewed the process followed and the staff's proposal, and has recommended that the Board approve staff's recommendation.

Resolved (2017.04.19.xx), the Board approves committing $646,800 during Fiscal Year 2018 to cover the costs associated with the adopted SO/AC additional budget requests.

PROPOSED RATIONALE:

The budget approval earlier in the year is a reasonable accommodation of the established budget approval process and timeline, that facilitate the work of the ICANN community and of the ICANN staff, and does not create additional expenses. The amount of the committed expenses resulting from this resolution is considered sufficiently small to not require that funding resources are specifically identified and approved by the Board.

There is no anticipated impact from this decision on the security, stability and resiliency of the domain name system as a result of this decision.

The approval process is an Organizational Administrative process that has already been subject to significant input from the community.

Submitted by:	Xavier Calvez
Position:	CFO
Date Noted:	06 April 2017
Email:	Xavier.calvez@icann.org

AGENDA – 19 APRIL 2017 SPECIAL BOARD Meeting

Time, etc.	Agenda Item	Shepherd
Assembly, Roll Call & Consent Agenda Vote	**1. Consent Agenda**	
15 min	1.a. Initiating the Second Review of the Root Server System Advisory Committee (RSSAC)	Rinalia Abdul Rahim
	1.b. SO/AC FY18 Additional Budget Requests Approval	Asha Hemrajani
Discussion & Decision	**2. Main Agenda**	
	2.a. AOB	

Directors and Liaisons,

Attached below please find Notice of date and time for a Special Meeting of the ICANN Board.

19 April 2017 – Special Meeting of the ICANN Board of Directors - at 14:00 UTC. This Board meeting is estimated to last approximately 30 minutes, though we have allocated 60 minutes to be safe.

https://www.timeanddate.com/worldclock/fixedtime.html?iso=20170419T1400

Some other time zones:

19 April 2017 – 7:00am PDT Los Angeles

19 April 2017 – 10:00am EDT Washington, D.C.

19 April 2017 – 4:00pm CEST Brussels

SPECIAL MEETING OF THE ICANN BOARD

Consent Agenda

- Initiating the Second Review of the Root Server System Advisory Committee (RSSAC)
- SO/AC FY18 Additional Budget Requests

AOB

MATERIALS – You can access the Board Meeting materials in Google Drive here:

Contact Information Redacted

If you have trouble with access, please let us know and we will work with you to assure that you get access to the documents.

If call information is required, it will be distributed separately.

If you have any questions, or we can be of assistance to you, please let us know.

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