

ASO AC Meeting

9 January
12pm UTC

Minutes

Attendees	Observers	Apologies
AFRINIC Noah Maina (NM)	AFRINIC Ernest Byaruhanga (EB)	AFRINIC Wafa Dahmani Zaafouri (WZ) Omo Oaiya (OO)
APNIC Brajesh Jain (BJ) Aftab Siddiqui (AS) – Chair Simon Sohel Baroi (SB)	APNIC Sunny Chendi (SC)	ARIN Jason Schiller (JS)
ARIN Kevin Blumberg (KB) – Vice Chair Louie Lee (LL)	ARIN Richard Jimmerson (RJ)	
LACNIC Esteban Lescano (EL) Ricardo Patara (RP) Jorge Villa (JV) – Vice Chair	ICANN Carlos Reyes (CR) Ron da Silva (RdS)	
RIPE NCC Nurani Nimpuno (NN) Filiz Yilmaz (FY) Hervé Clément (HC)		
Secretariat Susannah Gray (SG) – Scribe German Valdez		

New Action Items From This Meeting

- NEW ACTION ITEM 190109-1: Secretariat to prepare an implementation plan for the proposed ASO AC mailing lists (Recommendation #16) and share with the ASO AC prior to the ICANN 64 Meeting.
- NEW ACTION ITEM 190109-2: ALL to review and comment upon the 2019 ASO AC Work Plan during the seven-day comment period.
- NEW ACTION ITEM 190109-3: ALL to review and comment upon the Annual Transparency Review as circulated on 12 December.
- NEW ACTION ITEM 190109-4: AS to re-circulate the text relating to Recommendation 9 (Chair and Vice Chair term limits) for further discussion.
- NEW ACTION ITEM 190109-5: GV to inform the NRO EC that the ASO AC has agreed to implement Recommendation #10: *The ASO AC should ensure that the duties of the Address Council Chair and the Address Council Vice-Chairs need to be added to the ASO AC Operating Procedures.*

- NEW ACTION ITEM 190109-6: GV to inform the NRO EC that the ASO AC has agreed to implement Recommendation #15: *ASO AC meetings should be open to the public, except for discussions regarding the selection of individuals for ICANN roles.*
 - NEW ACTION ITEM 190109-7: Secretariat to remove personal data from legacy Seat 9/10 Candidates pages and from former ASO AC Members' bios on the ASO AC website.
 - NEW ACTION ITEM 190109-8: AS to discuss the ASO AC appointment to the 2019 ICANN Multi-stakeholder Ethos Award selection committee and to ask for volunteers on the ASO AC mailing list.
 - NEW ACTION ITEM 190109-9: AS to send a draft plan for ASO AC sessions during ICANN 64 to the mailing list.
 - NEW ACTION ITEM 190109-10: GV to request formal CVs from the three Board Seat 10 candidates to be forwarded to ICANN for Due Diligence.
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Agenda

0. Welcome
 1. Agenda Review
 2. 2019 ASO AC Chair Election
 3. 2019 Chair and Vice Chairs
 4. Review of Open Action Items
 5. Approval of Minutes
 - a) December 2018
 6. ASO Independent Review Recommendation Status
 - a) Recommendations #6 and #7
 - b) Recommendation #9
 - c) Recommendation #10
 - d) Recommendation #15
 7. 2018 ASO Work Plan Review
 8. 2019 ASO Work Plan Review
 - 9 ASO Website Personal Data
 10. Annual Transparency Review
 11. ASO AC Appointments Review
 12. ICANN 64 Preparation
 13. Any Other Business
 14. ICANN Board Election Status
 - a) Interview Committee Members
 - b) Interview Phase Planning
 15. Adjourn
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0. Welcome and Roll Call

AS welcomed the attendees. GV performed the roll call and declared quorum.

1. Agenda Review

No items were added to the agenda.

2. 2019 ASO AC Chair Election

GV noted that an email about the ASO AC Chair Election results had been sent to the mailing list: all ASO AC members had received a voting token. 14 votes were received, 11 of which were for AS and three of which were abstentions. GV added that, as per the procedures, AS received the majority of the votes and could be named ASO AC Chair for 2019.

The ASO AC members congratulated AS.

AS thanked the ASO AC for its support and hard work in 2018.

3. 2019 Chair and Vice Chairs

AS asked KB and JV to accept positions as Vice Chair for 2019. KB and JV accepted. AS thanked RP for his hard work and support as Vice Chair in 2018.

4. Review of Open Action Items

- **ACTION ITEM 181205-01: AS to send final proposed text regarding *Recommendation #9: The ASO AC should implement term limits for the positions of Chair and Vice-Chair* to the mailing list on 5 December for a seven-day comment period > **CLOSED.****
- **ACTION ITEM 181205-02: ALL to review the final proposed text regarding *Recommendation #10 The ASO AC should ensure that the duties of the Address Council Chair and the Address Council Vice-Chairs need to be added to the ASO AC Operating Procedures* and be ready to vote at the end of the seven-day comment period (12 December 2018) > **CLOSED.****
- **ACTION ITEM 181205-03: AS to send final proposed text regarding Recommendations #16 (Changes to ASO mailing lists) to the mailing list on 5 December for a seven-day comment period > **CLOSED.****

AS noted that the proposed text regarding *Recommendations #16 (Changes to ASO mailing lists)* had been sent to the mailing list on 5 December.

KB asked about the implementation schedule for the mailing lists: he was under the impression that the new mailing lists were going live on 1 January 2019.

AS noted that the new mailing lists needed to be created, the ac-coord mailing list archived and the relevant parties in ICANN needed to be informed of the new situation. He asked CR and the Secretariat to work on this.

NEW ACTION ITEM 190109-1: Secretariat to prepare an implementation plan for the proposed ASO AC mailing lists (Recommendation #16) and share with the ASO AC prior to the ICANN 64 Meeting.

- **ACTION ITEM 181205-04: ALL to review the final proposed text regarding Recommendations #16 (Changes to ASO mailing lists) and be ready to vote at the end of the seven-day comment period (12 December 2018) > **CLOSED.****

AS noted that no e-vote was necessary, as making changes to mailing lists did not require a change to the Operating Procedures.

- **ACTION ITEM 181205-05: HC to further edit the 2018 Work Plan Activity Review and recirculate to the mailing list > *CLOSED*.**

HC noted that he had circulated the final version of the 2018 Work Plan Activity Review and no further comments had been received.

LL proposed the motion to accept the 2018 Work Plan Activity Review as written. BJ seconded the motion. There were no objections. Motion carried.

- **ACTION ITEM 181205-06: LL to finalize the 2019 ASO AC Work Plan and re-circulate to the mailing list before the next ASO AC Teleconference (9 Jan 2019) > *CLOSED*.**
- **ACTION ITEM 181205-07: ALL to review the second draft of the 2019 ASO AC Work Plan and be ready to finalize during the next ASO AC Teleconference (9 Jan 2019). > *CLOSED*.**

LL noted that the 2019 ASO AC Work Plan had been available for comment for the last month and no changes to the text had been made during that period.

After HC raised concerns regarding point 4 (formation of the PPFT) and 7.2 (composition of the QRC and IC), and NN raised concerns about 11.1 (participation in all ICANN meetings), the ASO AC discussed the following sections of the 2019 ASO AC Work Plan:

- **4. Form the Policy Proposal Facilitator Teams (PPFT).**
After clarification that a new PPFT should be convened for each new Global policy proposal, the text was edited to read:
"4.1 In January, confirm or re-appoint PPFT members for Global Policy Proposals (GPP).
- **7.2. The Interview Committee is formed from the members of the QRC. The IC will finalize details concerning the various interviews in the selection process.**
There was discussion about the Interview Committee (IC) and the Qualification Review Committee (QRC). Several ASO AC members noted that it was inaccurate to state that the IC was formed from members of the QRC: in the past, the QRC and the IC had been comprised of different representatives and, for 2019, the RIPE region's IC and QRC representatives were different people. It was agreed that the text needed to be edited to read that two separate teams should to be formed and that the representatives on the IC and QRC could be the same or different people. The following text was proposed:
"7.2. The Interview Committee should be formed to finalize details concerning the various interviews in the selection process.
- **11.1. At every ICANN meeting, plan for attendance by at least one ASO AC member from every region.**
There was discussion on this point as it was noted that not every RIR covers travel costs for every ASO AC member to attend each ICANN Meeting. The RIRs usually only fund its ASO AC representatives to attend the ICANN meeting during which the annual ASO AC F2F Meeting takes place. The following text was proposed:

“11.1. At every ICANN meeting, plan for attendance by as many ASO AC members as possible.”

- **12.2. The ASO AC report should include: ASO AC Meeting attendance record by all members.**

It was noted that the current text sounded as if the attendance record was the most important part of providing regular updates to the Numbers community. The following text was proposed:

“12.2 The ASO AC report should include, among other things, the ASO AC Meeting attendance record by all members.”

LL noted that he would recirculate the 2019 ASO AC Work Plan to the list for further comment over the next seven days.

FY noted that there were issues with using Google Docs to edit documents: changes to a document might not be shown to a user depending on whether or not they log in to view it. She added that the RC had had issues with document permissions and user views while using Google Docs.

FY continued that it was unclear how the seven-day comment period worked: if the document was viewed and approved by someone on day one and then on day seven, a change was made, how would they know that changes had been made? She believed that using the mailing list was a more effective way to collaborate on a document.

KB agreed with FY. He noted that using Google Docs to edit documents meant that there was no transparency for the community. Using the mailing list would ensure that the community could see how edits were being made. He added that the lack of push notifications and updates from Google Docs was an issue.

AS agreed that, moving forward, more transparency was necessary. However, he thought that Google Docs was a useful tool but understood that the AC preferred to use the mailing lists for editing documents.

LL noted that any changes to texts should be highlighted in an email and the updated text pasted below. He noted that, during the seven-day comment period, the comments would refer only to the version of the document that was posted on day one. If there were significant comments or changes suggested, the Chair would decide whether a new seven-day comment period should occur and would post an updated version of the document for further comment.

KB requested that document editing and workflows be added to the ICANN 64 F2F Meeting agenda so that the ASO AC could find a more practical way of working in the future.

NEW ACTION ITEM 190109-2: ALL to review and comment upon the 2019 ASO AC Work Plan during the seven-day comment period.

- **ACTION ITEM 181205-08: AS to send the list of ASO AC Appointments to the mailing list > **CLOSED.****

AS noted that the only appointment made by the ASO AC in 2018 was BJ, who was appointed to the ICANN Board Nominating Committee.

- **ACTION ITEM 181107-06: AS, KB and RP to draft the Annual Transparency Review and circulate before the December ASO AC Teleconference.**

AS noted that Annual Transparency Review had been circulated to the list on 12 December. He asked the ASO AC to review and comment.

NEW ACTION ITEM 190109-3: ALL to review and comment upon the Annual Transparency Review as circulated on 12 December.

5. Approval of Minutes

a) December 2018

KB proposed the motion to approve the minutes from the December 2018 ASO AC Teleconference. HC seconded the motion. There were no objections. AS asked the Secretariat to publish the minutes on the ASO AC website.

6. ASO Independent Review Recommendation Status

a) Recommendations #6 and #7

AS noted that he had sent the finalized text regarding *Recommendations #6 and #7* to the NRO EC.

GV noted that the next NRO EC meeting would take place on 22 January and he would make sure that this item was on the agenda.

b) Recommendation #9

Recommendation #9: The ASO AC should implement term limits for the positions of Chair and Vice-Chair.

AS explained that the text had been circulated on the mailing list in December and an e-vote had been held. 12 ASO AC members voted and three did not vote. 10 voted Yes and two voted No. There were no abstentions. As per the operating procedures, the threshold for approval (80% of the entire ASO AC) was therefore not met. Due to some opposition, the text was sent back to the mailing list for further discussion.

FY asked what the opposition was, as the discussion on the text had already taken place and the ASO AC was voting on the finalized version.

AS noted that LL and JS had strong concerns about imposing term limits. During the e-vote, JS had commented: *"I think the procedures are good and if we have to have term limits, then the set of rules is good. I generally oppose term limits as I dislike the idea of someone who is doing a great job being forced out unless there is a clear abuse that needs to be addressed"*.

LL noted that he agreed in principle with JS's comment and thought that a Chair should not be artificially forced out because of a term limit. He added that he was unconvinced that the ASO AC should have term limits just because there was a recommendation to do so. He noted that, for the recent Chair election, there was only one candidate.

KB noted that, while he had no concerns with implementing term limits, he was concerned about the workflow. Unless there was a fundamental issue with the text rather than with the actual concept of term limits, the text should have been approved. It was something that the community had asked for and the ASO AC had agreed to do. He noted concerns about holding another e-vote, as there were now three new ASO AC members who were not part of the original discussion on this recommendation.

LL noted that the e-vote could be held again, including the three new members.

FY agreed with KB: the ASO AC should strive for its own good governance. Term limits had been discussed over many months and now the ASO AC finds itself in an unresolvable situation: some people fundamentally disagree and some agree. She noted that it was important to remember that this recommendation came with community support. She added that it should not matter that the ASO AC didn't want to put a new Chair in place at a given moment: the idea was to document certain practices in writing. She added that she would like to hear from JS on the reasons behind his comments and his suggestions for solving the issue.

NN also agreed. She noted that the ASO AC had discussed term limits for a long time and had put it to a vote. Two comments arose during the voting phase that should have been addressed during the discussion phase, which resulted in no agreement being achieved. She believed that, for a group that works in a bottom-up, consensus based manner, the ASO AC had failed but should learn from this and should address why agreement could not be reached. She added that the ASO AC should examine how it makes its decisions and be more efficient in the future. The solution was not to keep on holding e-votes until the vote was eventually successful.

AS agreed that the ASO AC should review its decision-making processes and that such comments should have been discussed before the e-vote was held. However, the ASO AC should now address the concerns raised: he would re-circulate the text on the mailing list for further discussion and clarification.

NEW ACTION ITEM 190109-4: AS to re-circulate the text relating to Recommendation 9 (Chair and Vice Chair term limits) for further discussion.

c) Recommendation #10

Recommendation # 10: The ASO AC should ensure that the duties of the Address Council Chair and the Address Council Vice-Chairs need to be added to the ASO AC Operating Procedures.

AS explained that the text had been discussed on the mailing list and an e-vote had been held. 12 ASO AC members voted Yes and three did not vote. There were no abstentions or No votes. As per the Operating Procedures, the ASO AC had therefore agreed to amend the Operating Procedures to comply with Recommendation #10.

LL asked if the three non-voters came from the same region. AS confirmed that the three non-voters were from different regions.

NEW ACTION ITEM 190109-5: GV to inform the NRO EC that the ASO AC has agreed to implement Recommendation #10: *The ASO AC should ensure that the duties of the Address Council Chair and the Address Council Vice-Chairs need to be added to the ASO AC Operating Procedures.*

d) Recommendation #15

Recommendation # 15: ASO AC meetings should be open to the public, except for discussions regarding the selection of individuals for ICANN roles.

AS explained that the text had been discussed on the mailing list and an e-vote was held. 12 ASO AC members voted Yes and three did not vote. There were no abstentions or No votes. As per the Operating Procedures, the ASO AC had therefore agreed to amend the Operating Procedures to comply with Recommendation #15.

He added that the public ASO AC meeting format had been tested twice during the ICANN Meetings and some implementation logistics, such as meeting announcement, how people can join etc. need to be further discussed.

NEW ACTION ITEM 190109-6: GV to inform the NRO EC that the ASO AC has agreed to implement Recommendation #15: ASO AC meetings should be open to the public, except for discussions regarding the selection of individuals for ICANN roles.

7. 2018 ASO Work Plan Review

This item was discussed under section 4. *Review of Open Action Items.*

8. 2019 ASO Work Plan Review

This item was discussed under section 4. *Review of Open Action Items.*

9 ASO Website Personal Data

AS noted that the Secretariat had proposed the following course of action, which was circulated on the mailing list and discussed during the December 2018 teleconference:

Seat 9/10 Candidates:

For transparency reasons, each candidate's page will need to be kept online because of the comments/statements of support. All bios/resumes/personal details will be redacted when each election is over and replaced with a note stating, "After the election period ended, XYZ's personal information/resume was removed in accordance with privacy guidelines. All comments and statements of support for this candidate are still displayed below".

ASO AC Members Bios:

To tidy up the website and reduce the number of obsolete pages, all former ASO AC member's bios will be deleted.

KB proposed the motion to make the changes, as proposed by the Secretariat, to the Seat 9/10 candidate pages and former ASO AC members' bio pages on the ASO website. BJ seconded the motion. There were no objections. AS asked the Secretariat to make the proposed changes.

NEW ACTION ITEM 190109-7: Secretariat to remove personal data from legacy Seat 9/10 Candidates pages and from former ASO AC Members' bios on the ASO AC website.

10. Annual Transparency Review

This item was discussed under section 4. *Review of Open Action Items.*

11. ASO AC Appointments Review

AS noted that the ASO AC currently has one active appointment: BJ to the ICANN NomCom.

He added that an invite had been received for appointments to the 2019 ICANN Multi-stakeholder Ethos Award selection committee. He continued that, in the past, the ASO AC had discussed this appointment but that there had been no agreement on whether to appoint someone or not. The request was for one NRO EC representative and one ASO AC representative.

KB noted that the NRO EC should be asked whether it wants the ASO to participate in this selection committee. He was the ASO AC appointee in 2018 and the workload was not particularly high.

NN noted that she had understood that the workload was high. She suggested that a community member might take on this role.

FY noted that the workload varied. Each new yearly committee was free to develop its own procedures: it could use the previous year's procedures or develop its own. She noted that when she was the ASO AC appointee to the committee in 2017, the workload was very high as the procedures were being newly developed. The workload would also vary depending on how many candidates needed to be reviewed. She suggested asking the NRO EC if it saw a need to participate.

KB agreed: the workload would change each year. He thought that there was value in the ASO AC participating in the committee, as there was a benefit to the Numbers community and to ICANN. He added that someone with historical knowledge of the multi-stakeholder community should serve on the committee and that there should be representatives from both the NRO EC and the ASO AC on it.

AS noted that if the invitation to participate was going to come each year, the ASO AC should make appointing someone to the committee part of its work plan.

BJ agreed that the ASO AC should participate and that someone from the community could also serve if necessary. He wondered why the ASO AC needed to ask the NRO EC: if there was already a willing volunteer, the name should just be proposed.

AS noted that the invitation to participate had been sent to the ASO. In the current format, the NRO EC handles requests to the ASO and the NRO EC has the final say on whether the ASO participates or not.

NEW ACTION ITEM 190109-8: AS to discuss the ASO AC appointment to the 2019 ICANN Multi-stakeholder Ethos Award selection committee and to ask for volunteers on the ASO AC mailing list.

12. ICANN 64 Preparation

AS noted that a team had been formed to prepare for the ICANN 64 ASO Public Session.

The ASO AC and CR discussed the ICANN meeting schedule, ASO sessions and room requirements.

HC requested that the date of the ASO AC F2F Meetings be decided upon earlier in the year so that ASO AC members could manage their trips in a more efficient way to ensure their participation.

The ASO AC decided that the dates for the upcoming F2F Meeting should be confirmed by October of the previous year and that this milestone would be added to the 2020 ASO AC Work Plan.

NEW ACTION ITEM 190109-9: AS to send a draft plan for ASO AC sessions during ICANN 64 to the mailing list.

13. Any Other Business

No other business was discussed.

14. ICANN Board Election Status

a) Interview Committee Members

b) Interview Phase Planning

AS asked all observers to leave the call.

GV confirmed that all observers had disconnected and that only the ASO AC members and the ASO/NRO Secretariat remained on the call.

The ASO AC discussed the upcoming elections for the ICANN Board Seat 10 candidates.

NEW ACTION ITEM 190109-10: GV to request formal CVs from the three Board Seat 10 candidates to be forwarded to ICANN for Due Diligence.

15. Adjourn

BJ proposed the motion to adjourn. KB seconded the motion. There were no objections. The meeting ended at 14:21 UTC.